

## REQUEST FOR BIDS ("RFB")

**FOR** 

LANDSCAPE, MOWING, AND SNOWPLOWING WORK
FOR
CONNECTICUT RESOURCES RECOVERY AUTHORITY
LANDFILLS

(RFB Number FY12-EN-003)

BID DUE DATE - APRIL 26, 2012

Connecticut Resources Recovery Authority 100 Constitution Plaza, 6<sup>th</sup> Floor Hartford, Connecticut 06103-1722

March 19, 2012

#### **REQUEST FOR BIDS**

For

# LANDSCAPE, MOWING AND SNOWPLOWING WORK FOR CONNECTICUT RESOURCES RECOVERY AUTHORITY LANDFILLS

(RFB Number 12-EN-003)

Connecticut Resources Recovery Authority 100 Constitution Plaza, 6<sup>th</sup> Floor Hartford, Connecticut 06103-1722

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bidder]

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#### **REQUEST FOR BIDS**

**FOR** 

LANDSCAPE, MOWING, AND SNOWPLOWING WORK FOR CONNECTICUT RESOURCES RECOVERY AUTHORITY LANDFILLS

**SECTION 1** 

NOTICE TO CONTRACTORS
REQUEST FOR BIDS

## CONNECTICUT RESOURCES RECOVERY AUTHORITY NOTICE TO CONTRACTORS – REQUEST FOR BIDS

CRRA is a quasi-public entity, a body politic and corporate, created pursuant to C.G.S. Chapter 446e, Section 22a-261, as a public instrumentality and political subdivision of the State of Connecticut (the "State"). CRRA has the responsibility for developing and implementing environmentally sound solutions and best practices for solid waste disposal and recycling on behalf of, and in the best interests of the municipalities and residents of the State of Connecticut. CRRA oversees a statewide network of three regional waste-to-energy systems, a single-stream recycling facility, five transfer stations, and five landfills.

CRRA is seeking bids from qualified contractors to furnish all materials, labor, equipment and incidentals to provide landscape, mowing and snowplowing work at CRRA's landfills (the "Work") for the three-year period from July 1, 2012 through June 30, 2015. The following are CRRA's landfills (collectively, the "Landfills"):

- The Ellington Landfill, located at 217 Sadds Mill Road (Route 140) in Ellington, Connecticut 06029;
- The Hartford Landfill, located at 180 Leibert Road (in the North Meadows off of Exit 33 on I-91) in Hartford, Connecticut 06120;
- The Shelton Landfill, located at 866 River Road (Route 110) in Shelton, Connecticut 06484;
- The Wallingford Landfill, located on Pent Road (off of South Cherry Street) in Wallingford, Connecticut 06492; and
- The Waterbury Bulky Waste Landfill, located at the intersection of Highland Avenue and Highview Road in Waterbury, Connecticut 06708.

The Ellington, Shelton, Wallingford and Waterbury landfills have been closed. While the Hartford Landfill is no longer accepting shipments of waste, closure activities continue.

Bidders may submit bids to provide the Work at any or all of the Landfills. While one bid may cover multiple landfills, a separate Bid Price Form must be submitted for each landfill for which a bidder wishes to be considered.

Request For Bid ("RFB") package documents may be obtained on the World Wide Web at <a href="http://www.crra.org">http://www.crra.org</a> under the "Business Opportunities" page beginning Monday, March 19, 2012. The documents will also be available Monday through Friday, from 8:30 a.m. to 5:00 p.m. at the offices of CRRA, 100 Constitution Plaza, 6<sup>th</sup> Floor, Hartford, Connecticut 06103-1722, beginning on the same date. Anyone intending to pick up the documents at CRRA's offices must contact Roger Guzowski [(860) 757-7703] at least 24 hours in advance. There is a charge of \$25.00 for anyone picking up the documents at CRRA's office. Payment should be made by check payable to "Connecticut Resources Recovery Authority."

There will be a **mandatory pre-bid site tour** for prospective bidders at each of the Landfills at the following locations and times:

- Ellington Landfill 9am, Wednesday April 4, 2012;
- Hartford Landfill 11am, Wednesday April 4, 2012;
- Wallingford Landfill 9:00 a.m., Thursday April 5, 2012;
- Shelton Landfill 11:00 am, Thursday April 5, 2012;
- Waterbury Landfill 1:00 pm, Thursday April 5, 2012.

All bidders must attend the mandatory site tour for any of the Landfills for which they intend to submit a bid.

Any prospective bidder intending to participate in the pre-bid site tours must submit a Notice of Interest form (<u>Section 3</u> of the RFB Package Documents) to Roger Guzowski (via email at rguzowski@crra.org or fax at (860) 757-7742) by 3pm, Tuesday, April 3, 2012.

Sealed bids will be received at the offices of CRRA, 100 Constitution Plaza, 6<sup>th</sup> Floor, Hartford, Connecticut 06103-1722 no later than **3:00 p.m., Eastern Time, on Thursday, April 26, 2012**. Bids received after the time and date set forth above shall be rejected. All bids shall remain open for ninety (90) days after the bid due date.

Bids will be opened at CRRA's convenience on or after the bid due date. CRRA reserves the right to waive any informality or informalities in any bid or the bidding process and to reject any or all of the bids, or any part(s) thereof. Note that all information submitted by bidder is subject to the Freedom of Information Act.

CRRA is an Equal Opportunity and Affirmative Action employer and does not discriminate in its hiring, employment, contracting, or business practices. CRRA is committed to complying with the Americans with Disability Act of 1990 (ADA) and does not discriminate on the basis of disability in admission to, access to, or operation of its programs, services, or activities.

All questions regarding this RFB must be submitted **in writing** to Roger Guzowski, Contract and Procurement Manager, by e-mail (<u>rguzowski@crra.org</u>) by fax (860) 757-7742), or by correspondence (CRRA, 100 Constitution Plaza, 6<sup>th</sup> Floor, Hartford, Connecticut 06103) no later than April 12, 2012. Any firm considering submitting a proposal is prohibited from having any communications about this RPF or any resulting contract with any CRRA staff member or CRRA Board member except Mr. Guzowski.

#### **REQUEST FOR BIDS**

**FOR** 

LANDSCAPE, MOWING, AND SNOWPLOWING WORK FOR CONNECTICUT RESOURCES RECOVERY AUTHORITY LANDFILLS

#### **SECTION 2**

**INSTRUCTIONS TO BIDDERS** 

#### **INSTRUCTIONS TO BIDDERS**

# LANDSCAPE, MOWING AND SNOWPLOWING WORK AT CONNECTICUT RESOURCES RECOVERY AUTHORITY LANDFILLS (RFB Number FY09-EN-003)

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#### 1. Introduction

CRRA is a quasi-public entity, a body politic and corporate, created pursuant to C.G.S. Chapter 446e, Section 22a-261, as a public instrumentality and political subdivision of the State of Connecticut (the "State"). CRRA has the responsibility for developing and implementing environmentally sound solutions and best practices for solid waste disposal and recycling on behalf of, and in the best interests of the municipalities and residents of the State of Connecticut.

CRRA is seeking bids from qualified contractors to furnish all materials, labor, equipment and incidentals to provide landscape, mowing and snowplowing work at CRRA's landfills (the "Work") for the three-year period from July 1, 2012 through June 30, 2015. The following are CRRA's landfills (collectively, the "Landfills"):

• The Ellington Landfill, located at 217 Sadds Mill Road (Route 140) in Ellington, Connecticut 06029;

- The Hartford Landfill, located at 180 Leibert Road (in the North Meadows off of Exit 33 on I-91) in Hartford, Connecticut 06120;
- The Shelton Landfill, located at 866 River Road (Route 110) in Shelton, Connecticut 06484;
- The Wallingford Landfill, located on Pent Road (off of South Cherry Street) in Wallingford, Connecticut 06492; and
- The Waterbury Bulky Waste Landfill, located at the intersection of Highland Avenue and Highview Road in Waterbury, Connecticut 06708.

The Ellington, Shelton, Wallingford and Waterbury landfills have been closed. While the Hartford Landfill is no longer accepting shipments of waste, closure activities continue.

Bidders may submit bids to provide the Work at any or all of the Landfills. CRRA will consider all bids and may award all of the Work at the five Landfills to one bidder or may divide up the Work at the Landfills among two or more bidders.

The Work will be reimbursed on a fixed unit price basis. Based on the prices provided by the successful bidder(s) and CRRA's own judgment, CRRA will establish a "not-to-exceed" contract price.

#### 2. RFB Projected Timeline

The following is the projected timeline for the RFB process:

ITEM	DATE		
RFB Documents Available	Wednesday, March 19, 2012		
Mandatory Pre-Bid Site Tours	Ellington - Wednesday April 4 <sup>-</sup> 2012, 9am Hartford – Wednesday April 4, 2012, 11am Wallingford – Thursday April 5, 2012, 9am Shelton – Thursday April 5, 2012, 11am Waterbury – Thursday April 5, 2012, 1pm		
Deadline for Written Questions	3:00 p.m., Thursday, April 12, 2012		
Response to Written Questions	No Later Than Thursday, April 19, 2012		
Bids Due at CRRA	3:00 p.m., Thursday, April 26, 2012		
Expected start date for successful bidder(s)	July 1, 2012		

CRRA reserves the right at its sole and absolute discretion to extend any of the actual or proposed dates in the above Projected Timeline and further reserves the right to reject any and all bids and republish this RFB. CRRA also reserves the right at its sole and absolute discretion to terminate this RFB process at any time prior to the execution of any Agreement

#### 3. Definitions

As used in this Instructions To Bidders and in other Contract Documents (as defined herein), the following terms shall have the meanings as set forth below:

(a) **Addenda**: Written or graphic documents issued prior to the bid due date that clarify, correct or change any or all of the Contract Documents.

#### (b) Contract Documents:

- (1) Agreement For Landscape, Mowing And Snowplowing Work At Connecticut Resources Recovery Authority [NAME OF LANDFILL(S) FOR WHICH CONTRACTOR SELECTED] Landfill(s) (the "Agreement");
- (2) RFB Package Documents (defined in (h) below)
- (3) Addenda;
- (4) Contractor's Bid (including all documentation attached to or accompanying such Bid, all other documentation submitted in connection with such Bid, and all post-bid documentation submitted prior to the Notice Of Award);
- (5) Notice Of Award; and
- (6) Any written amendments to the Agreement.
- (c) **Landfills:** Collectively, the Ellington Landfill, the Hartford Landfill, the Shelton Landfill, the Wallingford Landfill and the Waterbury Landfill.
- (d) **Laws And Regulations**: Any and all applicable laws, rules, regulations, ordinances, codes, orders and permits of any and all federal, state and local governmental and quasi-governmental bodies, agencies, authorities and courts having jurisdiction.
- (e) **Notice Of Award**: Written notification from CRRA to an apparent successful bidder that states that CRRA has accepted such bidder's bid and sets forth the remaining conditions that must be fulfilled by such bidder before CRRA executes the Agreement.
- (f) **Project**: The provision by the successful bidder(s) of landscape, mowing and snowplowing work at Connecticut Resources Recovery Authority Landfills during the three-year period from July 1, 2012 through June 30, 2015, in accordance with the Contract Documents.

**Properties**: Collectively, the certain parcel of real property owned by CRRA (g) located at 217 Sadds Mill Road (Route 140) in Ellington, Connecticut, upon which property CRRA formerly operated and now provides post-closure monitoring and maintenance services for a certain sanitary landfill known as the Ellington Landfill (the "Ellington Landfill"); the certain parcel of real property leased by CRRA located at 180 Leibert Road in Hartford, Connecticut, upon which property CRRA formerly operated and is now in the process of closing a certain sanitary landfill known as the Hartford Landfill (the "Hartford Landfill"); the certain parcel of real property owned by CRRA located at 866 River Road (Route 110) in Shelton Connecticut, upon which property CRRA formerly operated and now provides post-closure monitoring and maintenance services for a certain sanitary landfill known as the Shelton Landfill (the "Shelton Landfill"); the certain parcel of real property leased by CRRA located on Pent Road in Wallingford, Connecticut upon which Property CRRA formerly operated and now provides post-closure monitoring and maintenance services for a certain sanitary landfill known as the Wallingford Landfill (the "Wallingford Landfill"); and the certain parcel of real property owned by CRRA located at 109 Nichols Drive (the intersection of Highland Avenue and Highview Street) in Waterbury, Connecticut, upon which property CRRA formerly operated and now provides post-closure monitoring and maintenance series for operates a certain sanitary landfill known as the Waterbury Landfill (the "Waterbury Landfill");

#### (h) RFB Package Documents:

- 1. Notice To Contractors Request For Bids
- 2. Instructions To Bidders
- 3. Notice of Interest Form (for **MANDATORY** pre-bid conference and site tour)
- 4. Bid Bond Form
- 5. Required Bid Forms
- 5.1. Bid Form
- 5.2. Bid Payment Rate Schedule Form
- 5.3. Background and Experience Form
- 5.4. References Form
- 5.5. Subcontractor Identification Form
- 5.6. Equipment Form
- 5.7. Questionnaire Concerning Affirmative Action, Small Business Contractors And Occupational Health And Safety
- 5.8. Affidavit Concerning Nondiscrimination
- 5.9. Background Questionnaire
- 5.10. Business Disclosure Form
- 5.11. SEEC Form 11, Notice To Executive Branch State Contractors And Prospective State Contractors Of Campaign Contribution And Solicitation Ban

#### 6. Notice Of Award

7. Form of Agreement For On-Call Operations & Maintenance Work At The Hartford Landfill

Exhibit A: Scope Of Work (includes A1- Ellington, A2 – Hartford, A3 – Shelton, A4 – Wallinford, and A5 – Waterbury)

Exhibit B: Site Plans (includes B1- Ellington, B2 – Hartford, B3 – Shelton, B4 – Wallinford, and B5 – Waterbury)

Exhibit C: Compensation Schedule

Exhibit D: Monthly Bill Format

Exhibit E: CRRA's Travel And Expense Reporting Policy And Procedure

Exhibit F: SEEC Form 11, Notice To Executive Branch State Contractors And Prospective State Contractors Of Campaign Contribution And Solicitation Ban

Exhibit G: Affidavit Concerning Nondiscrimination [as submitted in proposal]

Exhibit H: Affidavit Concerning Consulting Fees [to be executed by successful bidder]

Exhibit I: Contractor's Certification Concerning Gifts [to be executed by successful bidder]

Exhibit J: CRRA President's Certification Concerning Gifts

(i) **Sites**: Those areas of the Properties upon which any of the Work is to be performed, furnished and completed by the successful bidder in accordance with the Contract Documents.

Terms used, but not defined, in this Instructions To Bidders shall have the same respective meanings assigned to such terms in the Agreement.

#### 4. Communications With CRRA Staff and Board Members

Except as otherwise authorized by this Instructions To Bidders, during the period while the RFB process is active (i.e., from the date CRRA issues the RFB until the date the successful bidder accepts the Notice Of Award), contractors contemplating or preparing bids are prohibited from contacting CRRA staff or CRRA Board of Director members in an ex parte manner to discuss the RFB submission process. A contractor's RFB submission shall be rejected if any of the foregoing ex parte communications take place.

#### 5. Scope Of Work

CRRA is seeking bids from qualified contractors to furnish all tools, materials, labor, equipment and incidentals thereto to provide landscape, mowing and snowplowing work at CRRA's Landfills (the "Work") for the three-year period from July 1, 2012 through June 30, 2015.

Bidders may submit bids to provide the requested Work at any or all of the Landfills. CRRA may select a bidder to provide the requested Work at any or all of the Landfills for which bidder has requested consideration in its bid.

The Work for each of the Landfills is more particularly described in **Exhibit A** ("Scope Of Work") and **Exhibit B** ("Site Plan") of the Agreement attached hereto and made a part hereof. Only the portions of **Exhibit A** and **Exhibit B** pertaining to the Landfill(s) for which a bidder has been successful will be included in the Agreement between CRRA and a successful bidder. Specific instructions about how the Work is to be performed at each of the Landfills are included in the Agreement.

#### 6. Availability of RFB Package Documents

Complete sets of the RFB Package Documents may be obtained on the World Wide Web beginning Monday, March 19, 2012 at:

<u>http://www.crra.org</u> under the "Business Opportunities" page; select the "RFB: Landscape, Mowing And Snowplowing Work For Connecticut Resources Recovery Authority Landfills" link.

The RFB Package Documents are in PDF format. All of the forms included in the documents are also available for downloading in Microsoft Word format at the same place on CRRA's web site where the PDF of the RFB is located. Prospective bidders can fill the forms out by typing the answers on their computer's keyboard. The forms can then be printed and submitted with the bid. CRRA encourages firms to make use of the downloaded Word forms.

The RFB Package Documents are also available Monday through Friday, from 8:30 a.m. to 5:00 p.m. at CRRA's offices, 100 Constitution Plaza, 6<sup>th</sup> Floor, Hartford, Connecticut 06103-1722, beginning on the same date. Anyone intending to pick up the documents at CRRA's offices must contact Roger Guzowski [(860) 757-7703] at least 24 hours in advance.

#### 7. Mandatory Pre-Bid Conference And Site Tour

There will be a mandatory pre-bid site tour at each of the Landfills as Follows: There will also be site tours at each of the Landfills as follows:

- Ellington Landfill 9am, Wednesday April 4, 2012;
- Hartford Landfill 11am, Wednesday April 4, 2012;
- Wallingford Landfill 9:00 a.m., Thursday April 5, 2012;
- Shelton Landfill 11:00 am, Thursday April 5, 2012;

Waterbury Landfill – 1:00 pm, Thursday April 5, 2012.

All bidders must attend the mandatory site tour for any of the Landfills for which they intend to submit a bid.

Any prospective bidder intending to participate in the pre-bid site tours must submit a Notice of Interest form (<u>Section 3</u> of the RFB Package Documents) to Roger Guzowski (via email at <u>rguzowski@crra.org</u> or fax at (860) 757-7742) by 3pm, Tuesday, April 3, 2012. Except as otherwise authorized by this Instructions To Bidders, bidders are expressly prohibited from contacting any CRRA personnel regarding this bid solicitation.

Bids submitted by a bidder who did not attend the mandatory site tour for that landfill shall be rejected. Alternate times for visiting the Landfills will not be allowed.

#### 8. Addenda And Interpretations

CRRA may issue Addenda to the RFB Package Documents that shall, upon issuance, become part of this package and binding upon all potential or actual bidders for the Work. Such Addenda may be issued in response to requests for interpretation or clarification received from potential bidders. Any request for interpretation or clarification of any documents included in the RFB Package Documents must be submitted in writing to Roger Guzowski, by e-mail (rguzowski@crra.org), by fax ((860) 757-7742), or by correspondence (CRRA, 100 Constitution Plaza, 6<sup>th</sup> Floor, Hartford, Connecticut 06103-1722). To be given consideration, any such written request must be received by CRRA by 3:00 p.m., Thursday, April 12, 2012.

Addenda, if any, issued prior to the mandatory pre-bid conference and site tours will be mailed and/or e-mailed to all persons who picked up or requested a printed copy from CRRA of the RFB Package Documents or who otherwise notified CRRA of their interest in the RFB. Such addenda will also be posted on CRRA's web site (http://www.crra.org on the "Business Opportunities" page under the "RFB: Landscape, Mowing, And Snowplowing Work For Connecticut Resources Recovery Authority Landfills" heading).

Addenda issued after the mandatory pre-bid conference and site tours will be mailed and/or e-mailed to all persons who attended the pre-bid conference and site tours and will be posted on CRRA's web site (http://www.crra.org on the "Business Opportunities" page under the "RFB: Landscape, Mowing And Snowplowing Work For Connecticut Resources Recovery Authority Landfills" heading). Such addenda will be mailed/e-mailed and posted on the web site no later than three (3) days before the submittal deadline.

Failure of any bidder to receive any such Addenda shall not relieve such bidder from any conditions stipulated in such Addenda. Only questions answered or issues addressed by formal written Addenda will be binding. All oral and other written responses, statements, interpretations or clarifications shall be without legal effect and shall not be binding upon CRRA.

#### 9. Bid Submittal Procedures

Sealed bids shall be submitted no later than 3:00 p.m., Eastern Time, Thursday, April 26, 2012 at the offices of CRRA, 100 Constitution Plaza, 6th Floor, Hartford, Connecticut 06103-1722, Attn: Roger Guzowski. Bids received after the time and date set forth above shall be rejected.

Each bidder must submit one (1) original and two (2) copies of its bid. The original bid shall be stamped or otherwise marked as such.

Each bid (the original and two copies) shall be enclosed in a sealed envelope that shall be clearly marked "Bid For Landscape, Mowing And Snowplowing Work For CRRA Landfills – [INSERT THE NAME(S) OF THE LANDFILL(S) FOR WHICH THE BID IS SUBMITTED]."

Bids shall remain open and subject to acceptance for ninety (90) days after the bid due date.

The terms and conditions of the Agreement (Section 7 of the RFB Package Documents), as attached, are non-negotiable. Any potential bidder that will be unable to execute the Agreement, as attached, should not submit a bid.

Bids may be modified or withdrawn by a letter requesting modification or withdrawal that is signed by the person who signed the cover letter for the bid or other person authorized to commit the bidder to contractual arrangements with CRRA. The letter must be delivered to the Roger Guzowski, Connecticut Resources Recovery Authority, 100 Constitution Plaza, 6<sup>th</sup> Floor, Hartford, Connecticut 06103 at any time prior to the bid due date.

#### 10. Bid Security

Each bid shall be accompanied by a Bid Security. Any bid that does not contain a Bid Security or any bid that contains a Bid Security that does not comply with the following requirements shall be rejected as non-responsive.

#### 10.1 Amount of Bid Security

The Bid Security shall be in an amount equal to Two thousand dollars (\$2,000).

#### 10.2 Type of Security

The following are the acceptable forms of Bid Security:

- a) A cashier's check;
- b) A certified check; or
- c) A bid bond in the form included in Section 3 of the RFB Package Documents.

The Bid Security shall be made payable to CRRA.

Any bid bond submitted as Bid Security shall be in the form provided for such bid bond in Section 3 of the RFB Package Documents and such bid bond shall be executed and issued by a surety company acceptable to CRRA. Any bid that does not contain the above requisite Bid Security or any bid that contains Bid Security that does not comply with the foregoing requirements shall be rejected as non-responsive.

#### 10.3 Disposition of Bid Security

The Bid Security of the successful bidder will be retained until such bidder has executed the Agreement, and satisfied all other conditions of the Notice of Award, including execution and submission of the Contractor's Certification Concerning Gifts, whereupon such Bid Security will be returned.

If the successful bidder fails to execute and deliver the Agreement, furnish the required contract security, or satisfy all other conditions of the Notice Of Award within ten (10) days after the issuance of such Notice Of Award, CRRA may annul the Notice Of Award and the Bid Security of that bidder shall be forfeited.

The Bid Security of other bidders whom CRRA believes to have a reasonable chance of receiving the award may be retained by CRRA until the earlier of the seventh (7<sup>th</sup>) day after the Effective Date of the Agreement or ninety (90) days after the bid due date, whereupon the Bid Security furnished by such bidders will be returned. Bid Security with bids that are not competitive will be returned within seven (7) days after the opening of such bids.

#### 11. Bid Contents

Bids shall be submitted on forms provided by CRRA as part of the RFB Package Documents, all of which forms must be completed with the appropriate information required and all blanks on such forms filled in.

A bid must consist of the following and must be in the following order:

- (a) A title page for the bidder's bid, including the title of the project, the name of the bidder and the date the bid is submitted;
- (b) Cover letter, signed by a person authorized to commit the bidder to the contractual arrangements with CRRA, which includes the following:
  - (1) The name of the bidder;
  - (2) The legal structure of the bidder (e.g., corporation, joint venture, etc.) and the state in which the bidder is organized;
  - (3) A clear statement indicating that the attached bid constitutes a firm and binding offer by the bidder to CRRA considering the terms and condi-

- tions outlined in the RFB Package Documents and noting any technical exceptions taken thereto; and
- (4) The bidder's promise, if any, to set aside a portion of the contract for legitimate minority business enterprises (see Section 132.2 of this Instructions To Bidders);
- (c) Table of Contents for the bidder's bid;
- (d) The Bid Form (Section 5.1 of the RFB Package Documents), with the landfill(s) for which the bid is submitted checked in the appropriate place (Page 1), Addenda, if any, listed in the appropriate place (Page 3), the name and address of the contact for Notices listed in the appropriate place (Page 7) and the completed agreement section (Page 7);
- (e) The completed Bid Price Form (Section 5.2 of the RFB Package Documents) for each Landfill for which the bidder wishes to be considered;
- (f) The completed Background And Experience Form (Section 5.3 of the RFB Package Documents);
- (g) The completed References Form (Section 5.4 of the RFB Package Documents);
- (h) The completed Subcontractor Identification Form (Section 5.5 of the RFB Package Documents);
- (i) The completed Equipment Form (Section 5.6 of the RFB Package Documents);
- (j) The completed Questionnaire Concerning Affirmative Action, Small Business Contractors And Occupational Health And Safety form (Section 5.7 of the RFB Package Documents), with the Bidder's most recent EEO-1 data attached if the Bidder wishes such data to be considered in the evaluation of its Bid;
- (k) The completed Affidavit Concerning Nondiscrimination (Section 5.8 of the RFB Package Documents), with the bidder's nondiscrimination policies and procedures attached;
- (l) The completed Bidder's Background Questionnaire (Section 5.9 of the RFB Package Documents);
- (m) The completed Business Disclosure Form (Section 5.10 of the RFB Package Documents); and
- (n) A copy of the bidder's up-to-date certificate of insurance showing all current insurance coverage.

Bidders should <u>not</u> include in their bids other portions of the RFB Package Documents (e.g., this Instructions To Bidders or the Agreement).

A bidder may include additional information as an addendum/appendix to its bid if the bidder thinks that it will assist CRRA in evaluating the bidder's bid. A bidder should not include information that is not directly related to the subject matter of this solicitation.

#### 12. Bid Opening

All bids will be opened at CRRA's convenience on or after the bid due date.

CRRA reserves the right to reject any or all of the bids, or any part(s) thereof, and/or to waive any informality or informalities in any bid or the RFB process for this Project.

#### 13. Bid Evaluation

The award of the contract for the Work will be made, if at all, to the bidder(s) whose evaluation by CRRA results in CRRA determining that such award to such bidder(s) is in the best interests of CRRA. However, the selection of a bidder(s) and the award of such contract, while anticipated, are not guaranteed.

CRRA is an Equal Opportunity and Affirmative Action employer and does not discriminate in its hiring, employment, contracting, or business practices. CRRA is committed to complying with the Americans with Disability Act of 1990 (ADA) and does not discriminate on the basis of disability in admission to, access to, or operation of its programs, services, or activities.

#### 13.1 Evaluation Criteria

CRRA will base its evaluation of the bids on price, qualifications, demonstrated skill, ability and integrity of each bidder to perform the Work required by the Contract Documents and any other factor or criterion that CRRA, in its sole discretion, deems or may deem relevant or pertinent for such evaluation.

#### 13.2 Affirmative Action Evaluation Criteria

Bids will also be rated on the bidder's demonstrated commitment to affirmative action. Sections 46a-68-1 to 46a-68-17 of the *Regulations of Connecticut State Agencies* require CRRA to consider the following factors when awarding a contract that is subject to contract compliance requirements:

(a) The bidder's success in implementing an affirmative action plan (See Question 4 of the Questionnaire Concerning Affirmative Action, Small Business Contractors And Occupational Health And Safety (Section 9 of the RFB Package Documents));

Exhibit K:The bidder's success in developing an apprenticeship program complying with Sections 46a-68-1 to 46a-68-17 of the *Regulations of Connecticut State Agencies*, inclusive (See Question 5 of the Question-

naire Concerning Affirmative Action, Small Business Contractors And Occupational Health And Safety (Section 9 of the RFB Package Documents));

Exhibit L: The bidder's promise to develop and implement a successful affirmative action plan (See Question 4B of the Questionnaire Concerning Affirmative Action, Small Business Contractors And Occupational Health And Safety (Section 9 of the RFB Package Documents));

Exhibit M: The bidder's submission of EEO-1 data indicating that the composition of its work force is at or near parity when compared to the racial and sexual composition of the work force in the relevant labor market area (See Section 10(j) of this Instructions To Bidders); and

Exhibit N:The bidder's promise to set aside a portion of the contract for legitimate minority business enterprises (See Section 10(b)(4) of this Instructions To Bidders).

#### 14. Contract Award

If the contract is to be awarded, CRRA will issue to the successful bidder(s) a Notice Of Award within ninety (90) days after the bid due date.

CRRA reserves the right to correct inaccurate awards resulting from CRRA's errors. This may include, in extreme circumstances, revoking a Notice Of Award already made to a bidder and subsequently awarding the Notice of Award to another bidder. Such action by CRRA shall not constitute a breach of this RFB by CRRA since the Notice Of Award to the initial bidder is deemed to be void ab initio and of no effect as if no Agreement ever existed between CRRA and the initial bidder.

#### 15. Contractor's Certification Concerning Gifts

Pursuant to *Connecticut General Statutes* Section 4-252, an apparently successful bidder must submit a document certifying that it has not given any gifts to certain individuals between the date CRRA started planning the RFB and the date the Agreement is executed. If an apparently successful bidder does not execute the Certification, it will be disqualified for the Agreement. The dates between which a bidder may not give gifts and the identities of those to whom it may not give gifts are specified in the attachment to the Notice Of Award included in the RFB Package Documents (see Exhibit J of the Agreement – Section 7 of the RFB Package Documents).

#### 16. Bidder's Qualifications

CRRA may make any investigation deemed necessary to determine the ability of any bidder to perform the Work required. Each bidder shall furnish CRRA with all such information as may be required for this purpose.

#### 17. Bid Preparation And Other Costs

Each bidder shall be solely responsible for all costs and expenses associated with the preparation and/or submission of its bid, or incurred in connection with any interviews and negotiations with CRRA, and CRRA shall have no responsibility or liability whatsoever for any such costs and expenses.

### **REQUEST FOR BIDS**

**FOR** 

LANDSCAPE, MOWING, AND SNOWPLOWING WORK FOR CONNECTICUT RESOURCES RECOVERY AUTHORITY LANDFILLS

#### **SECTION 3**

**NOTICE OF INTEREST FORM** 



#### NOTICE OF INTEREST FORM

Individuals and firms that have an interest in the Connecticut Resources Recovery Authority ("CRRA") solicitation listed below are encouraged to submit this Notice Of Interest Form to CRRA as early as they can. Forms should be submitted no later than the date specified below. Request For Bid documents and other information released by CRRA related to the solicitation will be directly provided to those firms that have submitted this Form to CRRA by the Form Due Date.

Solicitation:	ANDSCAPE, MOWING AND SNOWPLOWING WORK FOR DNNECTICUT RESOURCES RECOVERY AUTHORITY ANDFILLS					
RFB Number:	12-EN-003					
Form Due Time/Date:	3pm Eastern Time, Tuesday April 3, 2012					
In the table below, pleas	se indicate which site tour(s) you are planning to attend:					
Ellington Landfill	Hartford Shelton Wallingford Waterbury Landfill Landfill					
Provide the following inf	formation about the individual/firm and the contact person for the firm.					
Name of Individual/Fi	irm:					
Name of Contact Pers	son:					
Title of Contact Pers	son:					
Mailing Address	s 1:					
Mailing Address	s 2:					
City, State, Zip Co	ode					
Telephone Numb	ber:					
E-Mail Addre	ess:					
Submit this form to the CRRA contact listed below via e-mail, fax or correspondence as listed below.						
CRRA Conta	Roger Guzowski					
E-Mail Addre	rguzowski@crra.org					
Fax Numb	ber: (860) 757-7742					
Correspondence Addre	Connecticut Resources Recovery Authority 100 Constitution Plaza, 6 <sup>th</sup> Floor Hartford, CT 06103					

### **REQUEST FOR BIDS**

**FOR** 

LANDSCAPE, MOWING, AND SNOWPLOWING WORK FOR CONNECTICUT RESOURCES RECOVERY AUTHORITY LANDFILLS

**SECTION 4** 

**BID BOND FORM** 



#### **Bid Bond**

#### CONTRACTOR:

SURETY:

(Name, legal status and address)

(Name, legal status and principal place of business)

#### OWNER:

(Name, legal status and address)
Connecticut Resources Recovery Authority
100 constitution Plaza, 6th floor
Hartford, CT 06103

**BOND AMOUNT:** \$ 2,000.00

#### PROJECT:

(Name, location or address, and Project number, if any)
Landscape, Mowing, and Snowplowing Work For Connecticut Resource Recovery
Authority Landfills

The Contractor and Surety are bound to the Owner in the amount set forth above, for the payment of which the Contractor and Surety bind themselves, their heirs, executors, administrators, successors and assigns, jointly and severally, as provided herein. The conditions of this Bond are such that if the Owner accepts the bid of the Contractor within the time specified in the bid documents, or within such time period as may be agreed to by the Owner and Contractor, and the Contractor either (1) enters into a contract with the Owner in accordance with the terms of such bid, and gives such bond or bonds as may be specified in the bidding or Contract Documents, with a surety admitted in the jurisdiction of the Project and otherwise acceptable to the Owner, for the faithful performance of such Contract and for the prompt payment of labor and material furnished in the prosecution thereof; or (2) pays to the Owner the difference, not to exceed the amount of this Bond, between the amount specified in said bid and such larger amount for which the Owner may in good faith contract with another party to perform the work covered by said bid, then this obligation shall be null and void, otherwise to remain in full force and effect. The Surety hereby waives any notice of an agreement between the Owner and Contractor to extend the time in which the Owner may accept the bid. Waiver of notice by the Surety shall not apply to any extension exceeding sixty (60) days in the aggregate beyond the time for acceptance of bids specified in the bid documents, and the Owner and Contractor shall obtain the Surety's consent for an extension beyond sixty (60) days.

If this Bond is issued in connection with a subcontractor's bid to a Contractor, the term Contractor in this Bond shall be deemed to be Subcontractor and the term Owner shall be deemed to be Contractor.

When this Bond has been furnished to comply with a statutory or other legal requirement in the location of the Project, any provision in this Bond conflicting with said statutory or legal requirement shall be deemed deleted herefrom and provisions conforming to such statutory or other legal requirement shall be deemed incorporated herein. When so

#### ADDITIONS AND DELETIONS:

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An Additions and Deletions Report that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

Any singular reference to Contractor, Surety, Owner or other party shall be considered plural where applicable.

Signed and sealed this day of ,	nstrued as a statutory bond and not as a common	ian bona.
e e	(Contractor as Principal)	(Seal)
(Witness)	(Title)	
	(Surety)	(Seal)
(Witness)	(Title)	

# REQUEST FOR BIDS FOR

LANDSCAPE, MOWING, AND SNOWPLOWING WORK FOR CONNECTICUT RESOURCES RECOVERY AUTHORITY LANDFILLS

# SECTION 5 REQUIRED BID FORMS



#### **BID FORM**

**PROJECT**: Various

**RFB NUMBER**: FY12-EN-003

**CONTRACT FOR:** Landscape, Mowing and Snowplowing Work For Connecticut

Resources Recovery Authority Landfills

BID SUBMITTED Connecticut Resources Recovery Authority

**TO**: 100 Constitution Plaza, 6<sup>th</sup> Floor

Hartford, Connecticut 06103-1722

#### 1. LANDFILL(S) FOR WHICH BID IS SUBMITTED

In the table below, place a check mark in the box for the landfill(s) for which the bidder wishes to be considered

Ellington Landfill
Hartford Landfill
Shelton Landfill
Wallingford Landfill
Waterbury Landfill

#### 2. **DEFINITIONS**

Unless otherwise defined herein, all terms that are not defined and used in this Bid Form (a "Bid") shall have the same respective meanings assigned to such terms in the Contract Documents.

#### 3. TERMS AND CONDITIONS

The undersigned (the "Bidder") accepts and agrees to all terms and conditions of the Request For Bids, Instructions To Bidders, the Agreement and any Addenda to any such

documents. This Bid shall remain open and subject to acceptance for ninety (90) days after the bid due date.

If CRRA issues a Notice Of Award to Bidder, Bidder shall within ten (10) days after the date thereof:

- (a) Execute and deliver to CRRA the required number of counterparts of the non-negotiable Agreement;
- (b) Execute and deliver to CRRA the Contractor's Affidavit Concerning Consulting Fees;
- (c) Execute and deliver to CRRA the Contractor's Certification Concerning Gifts;
- (d) Deliver to CRRA the requisite certificates of insurance;
- (e) Execute and deliver to CRRA all other Contract Documents attached to the Notice Of Award, if any, along with any other documents required by the Contract Documents; and
- (f) Satisfy all other conditions of the Notice Of Award.

#### 4. BIDDER'S OBLIGATIONS

Bidder proposes and agrees, if this Bid is accepted by CRRA and CRRA issues a Notice Of Award to Bidder, to the following:

- (a) To perform, furnish and complete all the Work as specified or indicated in the Contract Documents and Agreement for the prices specified in Bid Price Form set forth in this Bid, in accordance with the terms and conditions of the Contract Documents and Agreement; and
- (b) At the request of CRRA and if the successful Bidder qualifies, to apply with the State of Connecticut Department Administrative Services, and do all that is necessary to make itself qualify, as a Small Contractor and/or Minority/Women/Disabled Person Business Enterprise in accordance with Section 4a-60g of the Connecticut General Statutes.

### 5. BIDDER'S REPRESENTATIONS CONCERNING NON-NEGOTIABILITY OF THE AGREEMENT

In submitting this Bid, Bidder acknowledges and agrees that the terms and conditions of the Agreement (including all Exhibits thereto), as included in the RFB Package Documents, are non-negotiable, and Bidder is willing to and shall, if CRRA accepts its Bid for the Work and issues a Notice Of Award to Bidder, execute such Agreement. However, CRRA reserves the right to negotiate with Bidder over Bidder's prices for the Work submitted on its Bid Price Form.

## 6. BIDDER'S REPRESENTATIONS CONCERNING EXAMINATION OF CONTRACT DOCUMENTS

In submitting this Bid, Bidder represents that:

(a) Bidder has thoroughly examined and carefully studied the RFB Package Documents and the following Addenda, receipt of which is hereby acknowledged (list Addenda by Addendum number and date):

Addendum Number	Date Issued

- (b) Without exception the Bid is premised upon performing, furnishing and completing the Work required by the Contract Documents and applying the specific means, methods, techniques, sequences or procedures (if any) that may be shown, indicated or expressly required by the Contract Documents;
- (c) Bidder is fully informed and is satisfied as to all Laws And Regulations that may affect cost, progress, performance, furnishing and/or completion of the Work;
- (d) Bidder has studied and carefully correlated Bidder's knowledge and observations with the Contract Documents and such other related data;
- (e) Bidder has given CRRA written notice of all conflicts, errors, ambiguities and discrepancies that Bidder has discovered in the Contract Documents and the written resolutions thereof by CRRA are acceptable to Bidder;
- (f) If Bidder has failed to promptly notify CRRA of all conflicts, errors, ambiguities and discrepancies that Bidder has discovered in the Contract Documents, such failure shall be deemed by both Bidder and CRRA to be a waiver to assert these issues and claims in the future;
- (g) Bidder is aware of the general nature of work to be performed by CRRA and others that relates to the Work for which this Bid is submitted; and
- (h) The Contract Documents are generally sufficient to indicate and convey understanding by Bidder of all terms and conditions for performing, furnishing and completing the Work for which this Bid is submitted.

#### 7. BIDDER'S REPRESENTATIONS CONCERNING SITE CONDITIONS

In submitting this Bid, Bidder acknowledges and agrees that:

- (a) All information and data included in the RFB Package Documents relating to the surface, subsurface and other conditions of the Sites are from presently available sources and are being provided only for the information and convenience of the bidders:
- (b) CRRA does not assume any responsibility for the accuracy or completeness of such information and data, if any, shown or indicated in the Contract Documents with respect to any surface, subsurface or other conditions of the Sites;
- (c) Bidder is solely responsible for investigating and satisfying itself as to all actual and existing Site conditions, including surface conditions, subsurface conditions and underground facilities; and
- (d) Bidder has become familiar with and is satisfied as to the general, local, and site conditions that may affect cost, progress, performance, furnishing and completion of the Work.

## 8. BIDDER'S REPRESENTATIONS CONCERNING INFORMATION MADE AVAILABLE

In submitting this Bid, Bidder acknowledges and agrees that Bidder shall not use any information made available to it or obtained in any examination made by it in connection with this RFB in any manner as a basis or grounds for a claim or demand of any nature against CRRA arising from or by reason of any variance which may exist between information offered or so obtained and the actual materials, conditions, or structures encountered during performance of any of the Work.

## 9. BIDDER'S REPRESENTATIONS CONCERNING STATE OF CONNECTICUT TAXES

In submitting this Bid, Bidder acknowledges and agrees that CRRA is exempt from all State of Connecticut taxes and assessments, including sales and use taxes. Accordingly, Bidder shall not charge CRRA any State of Connecticut taxes or assessments at any time in connection with Bidder's performance of this Agreement, nor shall Bidder include any State of Connecticut taxes or assessments in any rates, costs, prices or other charges to CRRA hereunder. Bidder represents and warrants that no State of Connecticut taxes or assessments were included in any rates, costs, prices or other charges presented to CRRA in any bid or other submittal to CRRA in connection with this RFB.

## 10. BIDDER'S REPRESENTATIONS CONCERNING DISCLOSURE OF INFORMATION

In submitting this Bid, Bidder:

(a) Recognizes and agrees that CRRA is subject to the Freedom of Information provisions of the *Connecticut General Statutes* and, as such, any information contained in or submitted with or in connection with Bidder's Bid is subject to disclosure if required by law or otherwise; and

(b) Expressly waives any claim(s) that Bidder or any of its successors and/or assigns has or may have against CRRA or any of its directors, officers, employees or authorized agents as a result of any such disclosure.

#### 11. BIDDER'S REPRESENTATIONS CONCERNING NON-COLLUSION

By submission of this Bid, the Bidder, together with any affiliates or related persons, the guarantor, if any, and any joint ventures, hereby represents that, under risk of termination of the Agreement, if awarded, to the best of its knowledge and belief:

- (a) The prices in the Bid have been arrived at as the result of an independent business judgment without collusion, consultation, communication, agreement or otherwise for the purpose of restricting competition, as to any matter relating to such prices and any other person or company;
- (b) Unless otherwise required by law, the prices that have been quoted in this Bid have not, directly or indirectly, been knowingly disclosed by the Bidder prior to the "bid opening" to any other person or company;
- (c) No attempt has been made or will be made by the Bidder to induce any other person, partnership of corporation to submit, or not to submit, a bid for the purpose of restricting competition;
- (d) Bidder has not directly or indirectly induced or solicited any other bidder to submit a false or sham bid; and
- (e) Bidder has not sought by collusion to obtain for itself any advantage for the Work over any other bidder for the Work or over CRRA.

#### 12. BIDDER'S REPRESENTATIONS CONCERNING RFB FORMS

By submission of this Bid, the Bidder, together with any affiliates or related business entities or persons, the guarantor, if any, and any joint ventures, hereby represents that, under risk of termination of the Agreement, if awarded, all of the forms included in the RFB that are submitted to CRRA as part of its Bid are identical in form and content to the preprinted forms in the RFB Package Documents except that information requested by the forms has been inserted in the spaces on the forms provided for the insertion of such requested information.

#### 13. BIDDER'S WAIVER OF DAMAGES

Bidder and all its affiliates and subsidiaries understand that by submitting a Bid, Bidder is acting at its and their own risk and Bidder does for itself and all its affiliates, subsidiaries, successors and assigns hereby waive any rights any of them may have to receive any damages for any liability, claim, loss or injury resulting from:

(a) Any action or inaction on the part of CRRA or any of its directors, officers, employees or authorized agents concerning the evaluation, selection, non-

- selection and/or rejection of any or all bids by CRRA or any of its directors, officers, employees or authorized agents;
- (b) Any agreement entered into for the Work (or any part thereof) described in the Contract Documents; and/or
- (c) Any award or non-award of a contract for the Work (or any part thereof) pursuant to the Contract Documents.

## 14. BIDDER'S REPRESENTATION REGARDING THE CONNECTICUT CAMPAIGN CONTRIBUTION AND SOLICITATION BAN

With regard to a State contract as defined in P.A. 07-1 having a value in a calendar year of \$50,000 or more or a combination or series of such agreement or contracts having a value of \$100,000 or more, the authorized signatory to this submission in response to CRRA's solicitation expressly acknowledges receipt of the State Elections Enforcement Commission's notice advising prospective state contractors of state campaign contribution and solicitation prohibitions, and will inform its principals of the contents of the notice. See Section 12 [SEEC Form 11] of the Contract Documents.

#### 15. ATTACHMENTS

The following documents are attached hereto and made a part of this Bid:

- (a) The completed Bid Price Form for each Landfill for which the bidder wishes to be considered;
- (b) The completed References Form;
- (c) The completed Background And Experience Form;
- (d) The completed Subcontractor Identification Form;
- (e) The completed Equipment Form;
- (f) Background Questionnaire that has been completely filled out by the Bidder and signed before a Notary Public or Commissioner of the Superior Court;
- (g) Questionnaire Concerning Affirmative Action, Small Business Contractors And Occupational Health And Safety that has been completely filled out by the Bidder;
- (h) Affidavit Concerning Nondiscrimination that has been completely filled out by the Bidder and signed before a Notary Public or Commissioner of the Superior Court;
- (i) Background Questionnaire that has been completely filled out by the Bidder and signed before a Notary Public or Commissioner of the Superior Court; and

(j) A copy of the Bidder's up-to-date certificate of insurance showing all current insurance coverage.

#### 16. NOTICES

**17**.

Communications concerning this Bid should be addressed to Bidder at the address set forth below.

Bidder Name:					
Bidder Contact:					
Title:					
Address 1:					
Address 2:					
City, State, Zip Code					
Telephone Number:					
Fax Number:					
E-Mail Address:					
ADDITIONAL REPRESENTATION  Bidder hereby represents that the undersigned is duly authorized to submit this Bid on behalf of Bidder.					

Name of Bidder (Firm):

Signature of Bidder Representative:

Name (Typed/Printed):

Title (Typed/Printed):



## BID PRICE FORM ELLINGTON LANDFILL

# LANDSCAPE, MOWING AND SNOWPLOWING WORK AT CONNECTICUT RESOURCES RECOVERY AUTHORITY LANDFILLS (RFB Number 12-EN-003)

#### **ELLINGTON LANDFILL**

Bidder affirms that the bid prices below represent the entire cost to complete the Work in accordance with the Contract Documents, and that no claim will be made on account of any increase in wage scales, material prices, delivery delays, taxes, insurance, cost indexes or any other rates affecting the lawn care industry or this Project, and that each and every such claim is hereby expressly waived by Bidder.

Name of Bidder (Firm):	
Signature of Bidder Representative:	
Name (Type/Print):	
Title:	
Date:	

Task	# Per - Year	Year 1 (07/01/12 – 06/30/13)		Year 2 (07/01/13 – 06/30/14)		Year 3 (07/01/14 – 06/30/15)		TOTAL FOR 3
Idak		Price for Each	Total Price <sup>1</sup>	Price for Each	Total Price <sup>1</sup>	Price for Each	Total Price <sup>1</sup>	YEARS
Bi-Weekly Mowing	11	\$	\$	\$	\$	\$	\$	\$
Landfill Mowing	1	\$	\$	\$	\$	\$	\$	\$
Gas System Vegetative Control	5	\$	\$	\$	\$	\$	\$	\$
Drainage System Vegetative Control	1	\$	\$	\$	\$	\$	\$	\$
Snowplowing – 3" to 6" snow fall	5	\$	\$	\$	\$	\$	\$	\$
Snowplowing – 6" to 12" snow fall	2	\$	\$	\$	\$	\$	\$	\$
Snowplowing –12" to 18" snow fall	1	\$	\$	\$	\$	\$	\$	\$
Snowplowing – Greater than 18" snow fall	1	\$	\$	\$	\$	\$	\$	\$
TOTAL		\$	\$	\$	\$	\$	\$	\$

<sup>&</sup>lt;sup>1</sup> Total Price equals the "Price For Each" multiplied by the "# Per Year."

#### NOTES:

**Bi-Weekly Mowing** (Section 1 of the Scope Of Work) consists of the following:

- (a) Mowing all lawn and landscaped areas, including the Landfill side of Route 140 (Sadds Mill Road), both sides of the road between the transfer station and the thermal oxidizer station and around the thermal oxidizer station enclosure; and
- (b) Trimming around all planting and landscaped areas, trees, buildings, telephone poles, above-ground pipes, fencing, guardrails and other structures described in (a) and all areas described in (a) that are not accessible with push or ride-on mowing equipment.

**Landfill Mowing** (Section 2 of the Scope Of Work) consist of the following:

- (a) Mowing all slopes and flat areas on the Landfill (except areas of large trees and shrubbery) and the sides of all interior gravel access roads;
- (b) Mowing, using a power trimmer or similar equipment, all areas that are not accessible with mowing equipment; and
- (c) Trimming all vegetation from around all above-ground piping, gas wells, utilities and structures on the Landfill.

Gas System Vegetative Control (Section 3 of the Scope Of Work) consists of the following:

- (a) Clearing and maintaining walking paths to all gas extraction wells and gas monitoring wells;
- (b) Clearing and maintaining vegetation around all gas extraction wells and gas monitoring wells; and
- (c) Clearing overhead vegetation within 20 feet of the above ground header pipe from the landfill slope to the oxidizer station; and, within 20 feet of the oxidizer station fence line.

**Drainage System Vegetative Control** (Section 4 of the Scope Of Work) consists of clearing brush and excessive vegetation from all drainage channels, ditches, berms, rock-lined downchutes and other drainage features.

**Snowplowing** (Section 5 of the Scope Of Work) consists of plowing the following areas whenever 3 or more inches of frozen precipitation falls:

- (a) The paved road from the transfer station exit road to the thermal oxidizer station, including the turnaround at the station;
- (b) The paved access road encircling a portion of the perimeter of the Landfill from just inside the Landfill entrance; and
- (c) The paved access road to the top of the Landfill that begins from the transfer station access road.
- (d) Snowplowing Services shall be paid based on the depth of snow plowed. Provide prices for the various depths specified on the Bid Price Form



## BID PRICE FORM HARTFORD LANDFILL

# LANDSCAPE, MOWING AND SNOWPLOWING WORK AT CONNECTICUT RESOURCES RECOVERY AUTHORITY LANDFILLS (RFB Number 12-EN-003)

#### HARTFORD LANDFILL

Bidder affirms that the bid prices below represent the entire cost to complete the Work in accordance with the Contract Documents, and that no claim will be made on account of any increase in wage scales, material prices, delivery delays, taxes, insurance, cost indexes or any other rates affecting the lawn care industry or this Project, and that each and every such claim is hereby expressly waived by Bidder.

Name of Bidder (Firm):	
Signature of Bidder Representative:	
Name (Type/Print):	
Title:	
Date:	

	# Per	Year 1 (07/01/12 – 06/30/113)		Year 2 (07/01/13 – 06/30/14)		Year 3 (07/01/14 – 06/30/15)		TOTAL FOR 3
	Year	Price for Each	Total Price <sup>1</sup>	Price for Each	Total Price <sup>1</sup>	Price for Each	Total Price <sup>1</sup>	YEARS
Bi-Weekly Mowing	11	\$	\$	\$	\$	\$	\$	\$
Landfill Mowing "Flat" <sup>2</sup>	1	\$	\$	\$	\$	\$	\$	\$
Landfill Mowing "Steep" <sup>2</sup>	1	\$	\$	\$	\$	\$	\$	\$
Trimming and Pruning	1	\$	\$	\$	\$	\$	\$	\$
Weeding and Mulching	1	\$	\$	\$	\$	\$	\$	\$
Gas and Groundwater Well System Vegetative Control	5	\$	\$	\$	\$	\$	\$	\$
Drainage System Vegetative Control	1	\$	\$	\$	\$	\$	\$	\$
TOTAL		\$	\$	\$	\$	\$	\$	\$

<sup>&</sup>lt;sup>1</sup> Total Price equals the "Price For Each" multiplied by the "# Per Year."

Indicate the cost to mow the entire +/-25 acre "Flat" area and the entire +/-37 acre "Steep" area one time per year. If CRRA directs Contractor to mow less than the entire "Flat" or "Steep" areas, the price paid Contractor by CRRA will be reduced proportionally based on this bid price.

### NOTES:

Bi-Weekly Mowing (Section 1 of the Scope Of Work) consists of the following:

- (a) Mowing all lawn and landscaped areas including the sides of Leibert Road to the Landfill entrance (including the cul-de-sac), the Landfill Entrance (including all paved parking areas and side roads), both sides of the main entrance road from the main gate to the leachate storage tank and around all building and structures; and
- (b) Trimming around all planting and landscaped areas, trees, buildings, telephone poles, above-ground pipes, fencing, guardrails and other structures described in (a) and all areas described in (a) that are not accessible with push or ride-on mowing equipment.

**Landfill Mowing** (Section 2 of the Scope Of Work) consist of the following:

- (a) Mowing the vegetated portion of the Bulky Waste/Interim Ash Area and the Phase 1 Ash Area;
- (b) Mowing, using a power trimmer or similar equipment, all areas that are not accessible with mowing equipment; and
- (c) Trimming all vegetation from around all above-ground piping, gas wells, utilities and structures on the Landfill disposal area.

**Trimming And Pruning** (Section 3 of the Scope Of Work) consist of trimming and pruning all landscape plantings including evergreens, shrubbery, bushes and ornamental trees in areas covered by the Bi-Weekly Mowing Services.

Weeding And Mulching Services (Section 4 of the Scope Of Work) consist of the following:

- (a) Weeding landscape beds and removing unwanted vegetation from around the planting areas; and
- (b) Providing a 3 inch depth of bark mulch (not wood mulch) around all plantings in the landscape beds.

Gas and Groundwater Well Access (Section 5 of the Scope Of Work) consists of the following:

(a) Clearing and maintaining walking paths free of brush and vegetation to all above-ground piping and gas extraction wells within the Bulky Waste/Interim Ash Area and the four groundwater flow control wells, including piezometers, gas monitoring wells, and groundwater monitoring wells along the north and west perimeter access roads.

**Drainage System Vegetative Control** (Section 6 of the Scope Of Work) consists of clearing brush and excessive vegetation from all drainage channels, ditches, berms, rock-lined downchutes and other drainage features.



## BID PRICE FORM SHELTON LANDFILL

# LANDSCAPE, MOWING AND SNOWPLOWING WORK AT CONNECTICUT RESOURCES RECOVERY AUTHORITY LANDFILLS (RFB Number 12-EN-003)

### SHELTON LANDFILL

Bidder affirms that the bid prices below represent the entire cost to complete the Work in accordance with the Contract Documents, and that no claim will be made on account of any increase in wage scales, material prices, delivery delays, taxes, insurance, cost indexes or any other rates affecting the lawn care industry or this Project, and that each and every such claim is hereby expressly waived by Bidder.

Name of Bidder (Firm):	
Signature of Bidder Representative:	
Name (Type/Print):	
Title:	
Date:	

Task	# Per	Year 1 (07/01/12 – 06/30/13)		Year 2 (07/01/13 – 06/30/14)		Year 3 (07/01/14 – 06/30/15)		TOTAL FOR 3
IdSK	Year	Price for Each	Total Price <sup>1</sup>	Price for Each	Total Price <sup>1</sup>	Price for Each	Total Price <sup>1</sup>	YEARS
Bi-Weekly Mowing	11	\$	\$	\$	\$	\$	\$	\$
Landfill Mowing	1	\$	\$	\$	\$	\$	\$	\$
Trimming and Pruning	1	\$	\$	\$	\$	\$	\$	\$
Gas System Vegetative Control	5	\$	\$	\$	\$	\$	\$	\$
Drainage System Vegetative Control	1	\$	\$	\$	\$	\$	\$	\$
Monthly Metal Hydroxide Cell Mowing	5	\$	\$	\$	\$	\$	\$	\$
Snowplowing – 3" to 6" snow fall	5	\$	\$	\$	\$	\$	\$	\$
Snowplowing – 6" to 12" snow fall	3	\$	\$	\$	\$	\$	\$	\$
Snowplowing –12" to 18" snow fall	1	\$	\$	\$	\$	\$	\$	\$
Snowplowing – Greater than 18" snow fall	1	\$	\$	\$	\$	\$	\$	\$
Sanding	1	\$	\$	\$	\$	\$	\$	\$
TOTAL		\$	\$	\$	\$	\$	\$	\$

<sup>&</sup>lt;sup>1</sup> Total Price equals the "Price For Each" multiplied by the "# Per Year."

### NOTES:

**Bi-Weekly Mowing** (Section 1 of the Scope Of Work) consists of the following:

- (a) Mowing all lawn and landscaped areas, including the Landfill side of Route 110 (River Road), the Landfill entrance, paved parking areas and side roads, the main entrance roadway and around all buildings and structures; and
- (b) Trimming around all planting and landscaped areas, trees, buildings, telephone poles, above-ground pipes, fencing, guardrails and other structures described in (a) and all areas described in (a) that are not accessible with push or ride-on moving equipment.

**Landfill Mowing** (Section 2 of the Scope Of Work) consist of the following:

- (a) Mowing all areas within the Landfill disposal area (primary landfill and the Northeast and Southeast Ash areas);
- (b) Mowing, using a power trimmer or similar equipment, all areas that are not accessible with mowing equipment; and
- (c) Trimming all vegetation from around all above-ground piping, gas wells, utilities and structures on the Landfill disposal area.
- (d) Trimming all vegetation beneath and within 10 feet of all overhead utility lines.
- (e) Trimming all vegetation within two feet of the edge of all on-site access roads, including overhead vegetation within five feet of the edge of the access roads.

**Trimming And Pruning** (Section 3 of the Scope Of Work) consist of trimming and pruning all landscape plantings including evergreens, shrubbery, bushes and ornamental trees in areas covered by the Bi-Weekly Mowing Services.

Gas System Vegetative Control (Section 4 of the Scope Of Work) consists of the following:

- (a) Clearing and maintaining of continuous walking paths for access to all gas extraction and monitoring wells. All vegetation shall be cleared to a maximum ground height of 6 inches and a minimum width of 5 feet and all overhead vegetation within 8 feet of the ground shall be cleared;
- (b) Clearing and maintaining of vegetation around all gas extraction wells. All vegetation shall be cleared to a maximum ground height of 6 inches and a minimum radius of 5 feet and all overhead vegetation within 8 feet of the ground shall be cleared;
- (c) Clearing and maintaining of overhead vegetation outside the thermal oxidizer station enclosure and the generator enclosure. All overhead vegetation within 20 feet of the fence line shall be cleared;
- (d) Removal of all vegetation inside the thermal oxidizer enclosure and mowing of all vegetation inside the generator enclosure, without damaging any of the conduits, electrical wires, or other components of the facility; and
- (e) Removal of all vegetation around the Northeast and Southeast vaults and lift stations, and the main lift station.

**Drainage System Vegetative Control** (Section 5 of the Scope Of Work) consists of clearing brush and excessive vegetation from all drainage channels, ditches, berms, rock-lined downchutes and other drainage features.

Metal Hydroxide Cell Mowing (Section 6 of the Scope Of Work) consists of the following:

(a) Mowing the vegetative grass cover on the Metal Hydroxide cell; and

(b) Trimming all grass around signs, fencing and posts.

**Snowplowing** (Section 7 of the Scope Of Work) consists of plowing the following areas whenever 3 or more inches of frozen precipitation falls:

- (a) All paved areas from the north gate at the southern entrance to the site, past the old scale, and down to the flare station;
- (b) The paved and gravel access road to the top of the Primary Landfill;
- (c) The gravel access road to the metal hydroxide area; and
- (d) The gravel perimeter access road around the Primary Landfill, the Northeast Ash Area and the Southeast Ash Area, including all connecting roads.
- (e) Contractor shall clear snow from in front of all swinging gates including the north gate of the southern entrance to the site, the gate near the old truck wash, the gates at the flare and generator enclosure, and the gate at the north end of the landfill.
- (f) Snowplowing Services shall be paid based on the depth of snow plowed. Provide prices for the various depths specified on the Bid Price Form

**Sanding** (Section 8 of the Scope of Work) consists of sanding per event in areas directed by CRRA.



## BID PRICE FORM WALLINGFORD LANDFILL

# LANDSCAPE, MOWING AND SNOWPLOWING WORK AT CONNECTICUT RESOURCES RECOVERY AUTHORITY LANDFILLS (RFB Number 12-EN-003)

### WALLINGFORD LANDFILL

Bidder affirms that the bid prices below represent the entire cost to complete the Work in accordance with the Contract Documents, and that no claim will be made on account of any increase in wage scales, material prices, delivery delays, taxes, insurance, cost indexes or any other rates affecting the lawn care industry or this Project, and that each and every such claim is hereby expressly waived by Bidder.

Name of Bidder (Firm):	
Signature of Bidder Representative:	
Name (Type/Print):	
Title:	
Date:	

Task F	#	Year 1 (07/01/12 – 06/30/13)		Year 2 (07/01/13 – 06/30/14)		Year 3 (07/01/14 – 06/30/15)		TOTAL FOR 3
	Per Year	Price for Each	Total Price <sup>1</sup>	Price for Each	Total Price <sup>1</sup>	Price for Each	Total Price <sup>1</sup>	YEARS
Bi-Weekly Mowing	11	\$	\$	\$	\$	\$	\$	\$
Landfill Mowing	1	\$	\$	\$	\$	\$	\$	\$
Drainage System Vegetative Control	1	\$	\$	\$	\$	\$	\$	\$
Metal Hydroxide Cell Mowing	5	\$	\$	\$	\$	\$	\$	\$
TOTAL		\$	\$	\$	\$	\$	\$	\$

<sup>&</sup>lt;sup>1</sup> Total Price equals the "Price For Each" multiplied by the "# Per Year."

#### NOTES:

**Bi-Weekly Mowing** (Section 1 of the Scope Of Work) consists of the following:

- (a) Mowing all lawn and landscaped areas, including the Landfill entrance, from the entrance to and around the scale house, along the Landfill site of Pent Road, Ball Road and South Cherry Street, inside the perimeter fence along Ball Road and South Cherry Street and the areas along the interior east side of the Landfill; and
- (b) Trimming around all planting and landscaped areas, trees, buildings, telephone poles, above-ground pipes, fencing, guardrails and other structures described in (a) and all areas described in (a) that are not accessible with push or ride-on mowing equipment.

**Landfill Mowing** (Section 2 of the Scope Of Work) consist of the following:

- (a) Mowing all slopes and flat areas on the Landfill (except areas of large trees and shrubbery) and the sides of all interior gravel access roads;
- (b) Mowing, using a power trimmer or similar equipment, all areas that are not accessible with mowing equipment; and
- (c) Trimming all vegetation from around all above-ground piping, gas wells, groundwater wells, utilities and structures on the Landfill disposal area.

**Drainage System Vegetative Control** (Section 3 of the Scope Of Work) consists of clearing brush and excessive vegetation from all drainage channels, ditches, berms, rock-lined downchutes and other drainage features.

Metal Hydroxide Cell Mowing (Section 4 of the Scope Of Work) consists of the following:

- (a) Mowing the vegetative grass cover on the Metal Hydroxide cell; and
- (b) Trimming all grass around signs, fencing and posts.



## BID PRICE FORM WATERBURY LANDFILL

# LANDSCAPE, MOWING AND SNOWPLOWING WORK AT CONNECTICUT RESOURCES RECOVERY AUTHORITY LANDFILLS (RFB Number 12-EN-003)

### **WATERBURY LANDFILL**

Bidder affirms that the bid prices below represent the entire cost to complete the Work in accordance with the Contract Documents, and that no claim will be made on account of any increase in wage scales, material prices, delivery delays, taxes, insurance, cost indexes or any other rates affecting the lawn care industry or this Project, and that each and every such claim is hereby expressly waived by Bidder.

Name of Bidder (Firm):	
Signature of Bidder Representative:	
Name (Type/Print):	
Title:	
Date:	

Task			Year 1 (07/01/12 – 06/30/13)		Year 2 (07/01/13 – 06/30/14)		Year 3 (07/01/14 – 06/30/15)	
		Price for Each	Total Price <sup>1</sup>	Price for Each	Total Price <sup>1</sup>	Price for Each	Total Price <sup>1</sup>	YEARS
Landfill Mowing	1	\$	\$	\$	\$	\$	\$	\$
TOTAL		\$	\$	\$	\$	\$	\$	\$

<sup>&</sup>lt;sup>1</sup> Total Price equals the "Price For Each" multiplied by the "# Per Year."

## NOTES:

Landfill Mowing (Section 1 of the Scope Of Work) consist of the following:

- (a) Mowing all slopes and flat areas on the Landfill (except areas of large trees and shrubbery) and the sides of all interior gravel access roads;
- (b) Mowing, using a power trimmer or similar equipment, all areas that are not accessible with mowing equipment;
- (c) Trimming all vegetation from around all above-ground piping, gas wells, utilities and structures on the Landfill disposal area; and
- (d) Clearing brush and excessive vegetation from all drainage channels, ditches, berms, rock-lined downchutes and other drainage features.



## BACKGROUND AND EXPERIENCE FORM

In the space below, summarize work performed/services provided of a similar nature to that specified in the Contract Documents which has been performed by the bidder and which will enable CRRA to evaluate the experience and professional capabilities of the bidder.

[Attach Additional Pages If Necessary]					



Name of Person:

## **REFERENCES FORM**

In space below, provide the names of three (3) non-CRRA references who can attest to the quality of work performed/services provided by Bidder/Proposer/Statement of Qualifications Submitter. Include job title, the name, address and phone number of the business and a brief description of the work performed/services provided for each reference.

### **REFERENCE 1**

	Title:	
	Name of Business:	
	Address:	
	Telephone Number:	
	Brief Description Of Work Performed/ Services Provided:	
REFE	ERENCE 2	
	Name of Person:	
	Title:	
	Name of Business:	
	Address:	
	Telephone Number:	
	Brief Description Of Work Performed/ Services Provided:	

## **REFERENCE 3**

Name of Person:	
Title:	
Name of Business:	
Address:	
Telephone Number:	
Brief Description Of Work Performed/ Services Provided:	



## SUBCONTRACTOR IDENTIFICATION FORM

Bidder/Proposer/Statement of Qualifications Submitter (hereinafter collectively referred to as "Contractor") shall list below all subcontractor(s) Contractor intends to use in the performance of Work/Services if Contractor is selected to perform the Work/Services and awarded the Agreement. Contractor shall include a description of the Work/Services to be provided by each of the subcontractor(s).

Subc	ontractor 1	
	Company Name	
	Work/Services To Be Provided	
Subc	ontractor 2	
	Company Name	
	Work/Services To Be Provided	
Subc	ontractor 3	
	Company Name	
	Work/Services To Be Provided	
Subc	ontractor 4	
	Company Name	
	Work/Services To Be Provided	



## **EQUIPMENT FORM**

# LANDSCAPE, MOWING, AND SNOWPLOWING WORK AT CONNECTICUT RESOURCES RECOVERY AUTHORITY LANDFILLS (RFB Number 12-EN-003)

In the table below, list the equipment that would be used to perform the Work. For each piece of equipment, indicate the age of the equipment in years. Check one of the boxes to indicate whether the equipment is presently owned by the bidder or will be acquired if the bidder is successful.

Description of Equipment (Make, Model, Type, Etc.)	Age of Equipment (Years)	Currently Owned by Bidder	Will be Acquired if Bidder is Successful



## QUESTIONNAIRE CONCERNING AFFIRMATIVE ACTION, SMALL BUSINESS CONTRACTORS AND OCCUPATIONAL HEALTH AND SAFETY

Because CRRA is a political subdivision of the State of Connecticut, it is required by various statutes and regulations to obtain background information on prospective contractors prior to entering into a contract. The questions below are designed to assist CRRA in procuring this information. Many of the questions are required to be asked by RCSA 46a-68j-31. For the purposes of this form, "Contractor" means Bidder, Proposer or Statement of Qualifications Submitter, as appropriate.

		Yes	No
1.	Is the Contractor an Individual?		
	If you answered "Yes" to Question 1, skip to Question 2.		
	If you answered "No" to Question 1, proceed to Question 1A and then to Question 2.		
	1A. How many employees does the Contractor have?		
2.	Is the Contractor a Small Business Enterprise based on the criteria in Schedule A?		
	If you answered "Yes" to Question 2, proceed to Question 2A and then to Question 3.		
	If you answered "No" to Question 2, skip to Question 3.		
	2A. Is the Contractor certified by DAS as a Small Business Enterprise? <sup>1</sup>		
3.	Is the Contractor a Minority Owned Business Enterprise based on the criteria in Schedule B?		
	If you answered "Yes" to Question 3, proceed to Question 3A and then to Question 4.  If you answered "No" to Question 3, skip to Question 4.		
	3A. Is the Contractor certified by DAS as a Minority Owned Business Enterprise? <sup>1</sup>		
4.	Does the Contractor have an Affirmative Action Plan? <sup>2</sup>		
	If you answered "Yes" to Question 4, proceed to Question 4A and then to Question 5.		
	If you answered "No" to Question 4, skip to Question 4B and then to Question 5.	ļ	
	4A. Has the Affirmative Action Plan been approved by the CHRO?		
	4B. Will the Contractor develop and implement an Affirmative Action Plan?		
5.	Does the Contractor have an apprenticeship program complying with RCSA 46a-68-1 through 46a-68-17?		
6.	Has the Contractor been cited for three or more willful or serious violations of any occupational safety and health act?		
7.	Has the Contractor received one or more criminal convictions related to the injury or death of any employee in the three-year period preceding the issuance of this Request For Bids/Proposals/Qualifications?		
8.	Has the Contractor been the recipient of one or more ethical violations from the State of Connecticut Ethics Commission during the three-year period preceding the issuance of this Request For Bids/Proposals/Qualifications?		
9.	Will subcontractors be involved?		
	If you answered "Yes" to Question 9, proceed to Question 9A.		
	If you answered "No" to Question 9, you are finished with the questionnaire.		
	9A. How many subcontractors will be involved?		

#### LIST OF ACRONYMS

RCSA - Regulations of Connecticut State Agencies

CHRO - State of Connecticut Commission on Human Rights and Opportunities

DAS - State of Connecticut Department of Administrative Services

#### **FOOTNOTES**

If the Contractor answered "yes" to Question 2A and/or 3A, Contractor must attach a copy of its DAS Set-Aside Certificate to this Questionnaire.

If the Contract is a "public works contract" (as defined in Section 46a-68b of the Connecticut General Statutes), the dollar amount exceeds Fifty Thousand Dollars (\$50,000.00) in any fiscal year, and the Contractor has fifty (50) or more employees, the Contractor, in accordance with the provisions of Section 46a-68c of the Connecticut General Statutes, shall develop and file an affirmative action plan with the Connecticut Commission on Human Rights and Opportunities.

## SCHEDULE A CRITERIA FOR A SMALL BUSINESS ENTERPRISE

Contractor must meet all of the following criteria to qualify as a Small Business Enterprise:

- 1. Has been doing business under the same ownership or management and has maintained its principal place of business in the Connecticut for at least one year immediately prior to the issuance of the Request For Bids/ Proposals/Qualifications;
- 2. Has had gross revenues not exceeding fifteen million dollars (\$15,000,000) during its most recent fiscal year; and
- 3. At least 51% of the ownership of the Contractor is held by a person(s) who exercises the operational authority over daily affairs of the business and has the power to direct policies and management and receives beneficial interests of the business.

## SCHEDULE B CRITERIA FOR A MINORITY OWNED BUSINESS ENTERPRISE

Contractor must meet all of the following criteria to qualify as a Minority Owned Business Enterprise:

- 1. Satisfies all of the criteria in Schedule A for a Small Business Enterprise;
- 2. At least 51% of the ownership of the Contractor by one or more minority person(s) who exercises operational authority over daily affairs of the business, has the power to direct management and policies and receives the beneficial interests of the business;
- 3. A minority is a person(s) who is American Indian, Asian, Black, Hispanic, has origins in the Iberian Peninsula, a woman, or an individual with a disability.

#### **CONNECTICUT GENERAL STATUTES SECTION 46a-68b**

As used in this section and sections 4a-60, 4a-60a, 4a-60g, 4a-62, 46a-56 and 46a-68c to 46a-68k, inclusive: "Public works contract" means any agreement between any individual, firm or corporation and the state or any political subdivision of the state other than a municipality for construction, rehabilitation, conversion, extension, demolition or repair of a public building, highway or other changes or improvements in real property, or which is financed in whole or in part by the state, including, but not limited to, matching expenditures, grants, loans, insurance or guarantees.



## AFFIDAVIT CONCERNING NONDISCRIMINATION

This Affidavit must be completed and properly executed under penalty of false statement by a chief executive officer, president, chairperson, member or other corporate officer duly authorized to adopt company, corporate or partnership policy of the business entity submitting a bid/proposal/statement of qualifications to the Connecticut Resources Recovery Authority that certifies such business entity complies with the nondiscrimination agreement and warranties contained in Connecticut General Statutes §§ 4a-60(a)(1) and 4a-60a(a)(1), as amended, regarding nondiscrimination against persons on account of their race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, mental retardation, mental disability, physical disability or sexual orientation.

I, the undersigned, am over the age of eighteen and understand and appreciate the obligation of an oath.

l am		(title) of
_		(firm name), an entity duly
formed and e	existing under the laws of	(name of state or commonwealth)
("Contractor"	).	
I certify that I	am authorized to execute and deliver this affidavit on behalf o	f Contractor, as follows:
1.	Contractor seeks to enter into the "METAL FABRICATION TRAILER-LOADING DUMP GUARD AT CRRA'S ESSEX "Agreement") with the Connecticut Resources Recovery Author	TRANSFER STATION" (the
2.	Contractor has in place a company or corporate policy that contion agreements and warranties required under Connection 60(a)(1) and 4a-60a(a)(1), as amended, and the said companient as of the date hereof.	cut General Statutes §§ 4a-
By (Signature):		
Name (Print):		
Title:		
Sworn to befo	ore me this day of	20
Notary Public	c/Commissioner of the Superior Court Commission	Expiration Date

Sections 4a-60(a)(1) and 4a-60a(a)(1) of the Connecticut General Statutes follow.

## Sec. 4a-60. (Formerly Sec. 4-114a). Nondiscrimination and affirmative action provisions in contracts of the state and political subdivisions other than municipalities.

- (a) Every contract to which the state or any political subdivision of the state other than a municipality is a party shall contain the following provisions:
  - The contractor agrees and warrants that in the performance of the contract such contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, mental retardation, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such contractor that such disability prevents performance of the work involved, in any manner prohibited by the laws of the United States or of the state of Connecticut; and the contractor further agrees to take affirmative action to insure that applicants with job-related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, gender identity or expression, mental retardation, mental disability or physical disability, including, but not limited to, blindness, unless it is shown by such contractor that such disability prevents performance of the work involved;

## Sec. 4a-60a. Contracts of the state and political subdivisions, other than municipalities, to contain provisions re nondiscrimination on the basis of sexual orientation.

- (a) Every contract to which the state or any political subdivision of the state other than a municipality is a party shall contain the following provisions:
  - (1) The contractor agrees and warrants that in the performance of the contract such contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of sexual orientation, in any manner prohibited by the laws of the United States or of the state of Connecticut, and that employees are treated when employed without regard to their sexual orientation;



## **BACKGROUND QUESTIONNAIRE**

This Questionnaire must be completed and properly executed by an individual or business entity submitting a bid to the Connecticut Resources Recovery Authority (such individual or business entity hereinafter referred to as the "Contractor").

## Please answer the following questions by placing an "X" in the appropriate box.

		Yes	No
1.	Has the Contractor or any of the following ever been the subject of a <b><u>criminal</u></b> investigation?		
	<ul> <li>(a) A principal of the Contractor;</li> <li>(b) An owner of the Contractor;</li> <li>(c) An officer of the Contractor;</li> <li>(d) A partner in the Contractor;</li> <li>(e) A director of the Contractor; or</li> <li>(f) A stockholder of the Contractor holding 50% or more of the stock of the Contractor.</li> </ul>		
	If you answered "Yes" to Question 1, proceed to Question 1A and, on a separate sheet of paper, state the following: the court in which the investigation is taking or took place; the approximate date the investigation commenced and, if applicable, concluded; the subject matter of the investigation; and the identity of the person or entity involved.  If you answered "No" to Question 1, proceed to Question 2.		
	1A. Has any indictment arisen out of any such investigation?  If you answered "Yes" to Question 1A, proceed to Question 1B and, on a separate sheet of paper, state the following: the name of the person or entity indicted; and the status of any such indictment.  If you answered "No" to Question 1A, proceed to Question 2.		
	1B. Has any conviction arisen out of any such indictment?		
	If you answered "Yes" to Question 1B, proceed to Question 2 and, on a separate sheet of paper, state the following: the name of the person or entity convicted, the sentence imposed and whether or not an appeal of the conviction is pending.		
	If you answered "No" to Question 1B, proceed to Question 2.		

2.	Has the Contractor or any of the following ever been the subject of a civil investigation 1?  (a) A principal of the Contractor; (b) An owner of the Contractor; (c) An officer of the Contractor; (d) A partner in the Contractor; (e) A director of the Contractor; or (f) A stockholder of the Contractor holding 50% or more of the stock of the Contractor.  If you answered "Yes" to Question 2, proceed to Question 3 and, on a separate sheet of paper, state the following: the court or other forum in which the investigation took or is taking place; the approximate date the investigation commenced and, if applicable, concluded; the subject matter of the investigation; the identity of the person or entity involved; the status of the investigation; and the outcome of the investigation.  If you answered "No" to Question 2, proceed to Question 3.	
3.	Has any entity (e.g., corporation, partnership, etc.) in which any of the following has an ownership interest of 50% or more in such entity ever been the subject of a <b>criminal</b> investigation?  (a) A principal of the Contractor; (b) An owner of the Contractor; (c) An officer of the Contractor; (d) A partner in the Contractor; (e) A director of the Contractor; or (f) A stockholder of the Contractor.  If you answered "Yes" to Question 3, proceed to Question 3A and, on a separate sheet of paper, state the following: the court in which the investigation is taking or took place; the approximate date the investigation commenced and, if applicable, concluded; the subject matter of the investigation; and the identity of the person or entity involved.  If you answered "No" to Question 3, proceed to Question 4.	
	3A. Has any indictment arisen out of any such investigation?  If you answered "Yes" to Question 3A, proceed to Question 3B and, on a separate sheet of paper, state the following: the name of the person or entity indicted; and the status of any such indictment.  If you answered "No" to question 3A, proceed to Question 4.	
	3B. Has any conviction arisen out of any such indictment?  If you answered "Yes" to Question 3B, proceed to Question 4 and, on a separate sheet of paper, state the following: the name of the person or entity convicted, the sentence imposed and whether or not an appeal of the conviction is pending.  If you answered "No" to Question 3B, proceed to Question 4.	

The phrase "civil investigation" means an investigation undertaken by a governmental entity (e.g., federal, state or municipal) that has investigative and enforcement authority (e.g., the Office of the Connecticut Attorney General, the Connecticut Ethics Commission, the Connecticut Elections Enforcement Commission, the federal Securities and Exchange Commission).

4. Has any entity (e.g., corporation, partnership, etc.) in which any of the following has an ownership interest of 50% or more in such entity ever been the subject of a <u>civil</u> investigation <sup>1</sup> ?		
<ul> <li>(a) A principal of the Contractor;</li> <li>(b) An owner of the Contractor;</li> <li>(c) An officer of the Contractor;</li> <li>(d) A partner in the Contractor;</li> <li>(e) A director of the Contractor; or</li> <li>(f) A stockholder of the Contractor.</li> </ul>		
If you answered "Yes" to Question 4, proceed to Question 5 and, on a separate sheet of paper state the following: the court in which the investigation is taking or took place; the approximate date the investigation commenced and, if applicable, concluded; the subject matter of the investigation; the identity of the person or entity involved; the status of the investigation; and the outcome of the investigation  If you answered "No" to question 4, proceed to Question 5.		
5. Has the Contractor or any of the following ever been debarred from bidding on, or otherwise applying for, any contract with the State of Connecticut or any other governmental authority?		
<ul> <li>(a) A principal of the Contractor;</li> <li>(b) An owner of the Contractor;</li> <li>(c) An officer of the Contractor;</li> <li>(d) A partner in the Contractor;</li> <li>(e) A director of the Contractor; or</li> <li>(f) A stockholder of the Contractor holding 50% or more of the stock of the Contractor.</li> </ul>		
If you answered "Yes" to Question 5, proceed to the Certification on the following page and, on a separate sheet of paper please explain.  If you answered "No" to question 5, proceed to the Certification on the following page.		
CERTIFICATION		
Signature:		
Name (print/type):		
Title:		
State Of:		
County Of:		
, being fully sworn, depose	s and s	ays tha
he/she is the		
	— `	n Name),
the Contractor herein, that he/she has provided answers to the foregoing questions on the background, and, under the penalty of perjury, certifies that each and every answer is true.	ne Conf	tractor's
Sworn to before me thisday of	20	
Notary Public/Commissioner of the Superior Court		



## BUSINESS DISCLOSURE FORM

Bidder/Proposer/Statement of Qualifications Submitter (hereinafter collectively referred to as "Consultant") must provide the information requested in the following sections/tables.

### 1. CONFLICTS OF INTEREST

In the table below, disclose any material assignments, relationships or other employment that the Consultant or any employee of the Consultant has with any member of CRRA's past or present Board of Directors, any CRRA employee, governmental entity, or other person or entities that may create a conflict of interest or the appearance of a conflict of interest in providing to CRRA the Services that are the subject of this solicitation.

[Attach Additional Pages If Necessary]

## 2. CONFLICT OF INTEREST MEASURES

In the table below, discuss any measures that the Consultant either has in place or would take to identify, disclose and resolve any possible conflicts of interest.

[Attach Additional Pages If Necessary]

### 3. BUSINESS WITH MAJOR CRRA CONTRACTORS

In the table below, disclose any services similar to the Services that are the subject of this solicitation that the Consultant has provided to any of the following major CRRA contractors. Place a check in the box for any such contractor for which the Consultant has provided the services. If the Consultant has provided any such services, provide a summary description of the services provided.

Having provided the services similar to the Services that are the subject of this solicitation to one or more of the contractors listed below does not disqualify a Consultant from consideration under this solicitation.

[Attach Additional Pages If Necessary]

Entity		Summary Description of Services Provided
	Covanta	
	Copes Rubbish Service	
	CWPM, LLC	
	The Metropolitan District	
	NAES Corporation	
	Wheelabrator (Waste Management)	
	ReCommunity/ FCR, LLC	

#### **SEEC FORM 11**

## NOTICE TO EXECUTIVE BRANCH STATE CONTRACTORS AND PROSPECTIVE STATE CONTRACTORS OF CAMPAIGN CONTRIBUTION AND SOLICITATION BAN

This notice is provided under the authority of Connecticut General Statutes 9-612(g)(2), as amended by P.A. 07-1, and is for the purpose of informing state contractors and prospective state contractors of the following law (italicized words are defined on the following page):

#### **Campaign Contribution and Solicitation Ban**

No state contractor, prospective state contractor, principal of a state contractor or principal of a prospective state contractor, with regard to a state contract or state contract solicitation with or from a state agency in the executive branch or a quasi-public agency or a holder, or principal of a holder of a valid prequalification certificate, shall make a contribution to, or solicit contributions on behalf of (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee;

In addition, no holder or principal of a holder of a valid prequalification certificate, shall make a contribution to, or solicit contributions on behalf of (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of State senator or State representative, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee.

#### **Duty to Inform**

State contractors and prospective state contractors are required to inform their principals of the above prohibitions, as applicable, and the possible penalties and other consequences of any violation thereof.

#### **Penalties for Violations**

Contributions or solicitations of contributions made in violation of the above prohibitions may result in the following civil and criminal penalties:

<u>Civil penalties</u>--\$2000 or twice the amount of the prohibited contribution, whichever is greater, against a principal or a contractor. Any state contractor or prospective state contractor which fails to make reasonable efforts to comply with the provisions requiring notice to its principals of these prohibitions and the possible consequences of their violations may also be subject to civil penalties of \$2000 or twice the amount of the prohibited contributions made by their principals.

<u>Criminal penalties</u>—Any knowing and willful violation of the prohibition is a Class D felony, which may subject the violator to imprisonment of not more than 5 years, or \$5000 in fines, or both.

#### **Contract Consequences**

Contributions made or solicited in violation of the above prohibitions may result, in the case of a state contractor, in the contract being voided.

Contributions made or solicited in violation of the above prohibitions, in the case of a prospective state contractor, shall result in the contract described in the state contract solicitation not being awarded to the prospective state contractor, unless the State Elections Enforcement Commission determines that mitigating circumstances exist concerning such violation.

The State will not award any other state contract to anyone found in violation of the above prohibitions for a period of one year after the election for which such contribution is made or solicited, unless the State Elections Enforcement Commission determines that mitigating circumstances exist concerning such violation.

Additional information and the entire text of P.A 07-1 may be found on the website of the State Elections Enforcement Commission, www.ct.gov/seec. Click on the link to "State Contractor Contribution Ban."

#### **Definitions**:

"State contractor" means a person, business entity or nonprofit organization that enters into a state contract. Such person, business entity or nonprofit organization shall be deemed to be a state contractor until December thirty-first of the year in which such contract terminates. "State contractor" does not include a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person's capacity as a state or quasi-public agency employee.

"Prospective state contractor" means a person, business entity or nonprofit organization that (i) submits a response to a state contract solicitation by the state, a state agency or a quasi-public agency, or a proposal in response to a request for proposals by the state, a state agency or a quasi-public agency, until the contract has been entered into, or (ii) holds a valid pregualification certificate issued by the Commissioner of Administrative Services under section 4a-100. "Prospective state contractor" does not include a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person's capacity as a state or quasipublic agency employee.

"Principal of a state contractor or prospective state contractor" means (i) any individual who is a member of the board of directors of, or has an ownership interest of five per cent or more in, a state contractor or prospective state contractor, which is a business entity, except for an individual who is a member of the board of directors of a nonprofit organization, (ii) an individual who is employed by a state contractor or prospective state contractor, which is a business entity, as president, treasurer or executive vice president, (iii) an individual who is the chief executive officer of a state contractor or prospective state contractor, which is not a business entity, or if a state contractor or prospective state contractor has no such officer, then the officer who duly possesses comparable powers and duties, (iv) an officer or an employee of any state contractor or prospective state contractor who has managerial or discretionary responsibilities with respect to a state contract, (v) the spouse or a dependent child who is eighteen years of age or older of an individual described in this subparagraph, or (vi) a political committee established or controlled by an individual described in this subparagraph or the business entity or nonprofit organization that is the state contractor or prospective state contractor.

"State contract" means an agreement or contract with the state or any state agency or any quasi-public agency, let through a procurement process or otherwise, having a value of fifty thousand dollars or more, or a combination or series of such agreements or contracts having a value of one hundred thousand dollars or more in a calendar year, for (i) the rendition of services, (ii) the furnishing of any goods, material, supplies, equipment or any items of any kind, (iii) the construction, alteration or repair of any public building or public work, (iv) the acquisition, sale or lease of any land or building, (v) a licensing arrangement, or (vi) a grant, loan or loan guarantee. "State contract" does not include any agreement or contract with the state, any state agency or any quasi-public agency that is exclusively federally funded, an education loan or a loan to an individual for other than commercial purposes.

"State contract solicitation" means a request by a state agency or quasi-public agency, in whatever form issued, including, but not limited to, an invitation to bid, request for proposals, request for information or request for quotes, inviting bids, quotes or other types of submittals, through a competitive procurement process or another process authorized by law waiving competitive procurement.

"Managerial or discretionary responsibilities with respect to a state contract" means having direct, extensive and substantive responsibilities with respect to the negotiation of the state contract and not peripheral, clerical or ministerial responsibilities.

"Dependent child" means a child residing in an individual's household who may legally be claimed as a dependent on the federal income tax of such individual.

"Solicit" means (A) requesting that a contribution be made, (B) participating in any fund-raising activities for a candidate committee, exploratory committee, political committee or party committee, including, but not limited to, forwarding tickets to potential contributors, receiving contributions for transmission to any such committee or bundling contributions, (C) serving as chairperson, treasurer or deputy treasurer of any such committee, or (D) establishing a political committee for the sole purpose of soliciting or receiving contributions for any committee. Solicit does not include: (i) making a contribution that is otherwise permitted by Chapter 155 of the Connecticut General Statutes; (ii) informing any person of a position taken by a candidate for public office or a public official, (iii) notifying the person of any activities of, or contact information for, any candidate for public office; or (iv) serving as a member in any party committee or as an officer of such committee that is not otherwise prohibited in this section.

## REQUEST FOR BIDS

**FOR** 

LANDSCAPE, MOWING, AND SNOWPLOWING WORK FOR CONNECTICUT RESOURCES RECOVERY AUTHORITY LANDFILLS

## **SECTION 6**

**NOTICE OF AWARD** 



## **NOTICE OF AWARD**

**TO:** [NAME OF SUCCESSFUL BIDDER'S CONTACT]

[NAME OF SUCCESSFUL BIDDER] [ADDRESS OF SUCCESSFUL BIDDER]

**PROJECT:** Various

**RFB NO.:** FY12-EN-003

**CONTRACT:** Landscape, Mowing And Snowplowing Work At Connecticut Resources

Recovery Authority Landfills

The Connecticut Resources Recovery Authority ("CRRA") has considered the Bid submitted by you dated [DATE OF BID] in response to CRRA's Notice To Contractors – Request For Bids for the above-referenced Work, which Work is more particularly described in the Agreement For Landscape, Mowing And Snowplowing Work At Connecticut Resources Recovery Authority Landfills (the "Work").

You are hereby notified that your firm has been selected to perform the Work at the [NAME OF LANDFILL(S)]. The amount of the award for the Work is as specified in **Exhibit C** of the Agreement.

Within ten (10) days from the date of this Notice of Award you are required to:

- (a) Execute the <u>two</u> attached counterparts of the non-negotiable Agreement and deliver such executed counterparts to CRRA. Such execution includes entering the requested information in the "Notices" Section (Section 7.11, Page 22) of the Agreement, signing the Agreement (Page 24), printing the signer's name under the signature line (Page 24) and printing the signer's title following the word "Its" (Page 24);
- (b) Execute the attached Affidavit Concerning Consulting Fees (Exhibit I) deliver such executed Certification to CRRA with the executed Agreement;
- (c) Execute the attached Contractor's Certification Concerning Gifts (Exhibit J) and deliver such executed Certification to CRRA;

- (d) Deliver to CRRA the requisite certificates of insurance;
- (e) Deliver to CRRA all other Contract Documents attached to the Notice Of Award, if any; and
- (f) Satisfy all other conditions set forth herein.

Connecticut Resources Recovery Authority

As you have agreed, the terms and conditions of the Agreement, as attached, are non-negotiable.

If you fail within ten (10) days from the date of this Notice Of Award to perform and complete any of your obligations set forth in items (a) through (e) above, CRRA will be entitled to consider all your rights arising out of CRRA's acceptance of your Bid as abandoned and terminated. CRRA will also be entitled to such other rights and remedies as may be granted at law or in equity.

You are required to acknowledge your receipt of this Notice Of Award by signing below and returning the same to CRRA at the following address:

100 Constitution Plaza, 6<sup>th</sup> Floor
Hartford, CT 06103
Attention: Roger Guzowski

Dated this \_\_ day of \_\_, 20012.

Connecticut Resources Recovery Authority

By:
Roger Guzowski
Title: Contract and Procurement Manager

ACCEPTANCE OF NOTICE

Receipt of this NOTICE OF AWARD is hereby acknowledged this \_\_\_\_\_\_ day of \_\_\_\_\_, 20012.

By:
Signature:
Name (print/type):

Title:

## **REQUEST FOR BIDS**

**FOR** 

LANDSCAPE, MOWING, AND SNOWPLOWING WORK FOR CONNECTICUT RESOURCES RECOVERY AUTHORITY LANDFILLS

## **SECTION 7**

## FORM OF AGREEMENT AND EXHIBITS

## (Form of) AGREEMENT FOR LANDSCAPE, MOWING AND SNOWPLOWING WORK FOR

## CONNECTICUT RESOURCES RECOVERY AUTHORITY LANDFILLS

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2 of 25 Agreement

This AGREEMENT FOR LANDSCAPE, MC	WING AND SNOWPLOWING WORK AT
CONNECTICUT RESOURCES RECOVERY	AUTHORITY [NAME OF ONE OR MORE
CRRA LANDFILLS TO BE ADDED AT TIME	OF AWARD] LANDFILL(S) (the "Agreement")
is made and entered into as of this 1st day of	by and between the <b>CONNECTICUT</b>
RESOURCES RECOVERY AUTHORITY, a	body politic and corporate, constituting a public
instrumentality and political subdivision of the St	ate of Connecticut, having its principal offices at
100 Constitution Plaza, 6 <sup>th</sup> Floor, Hartford, Conn	ecticut 06103 (hereinafter "CRRA" or "Owner")
and, having its	principal offices at
(hereinafter "Contractor")	

### PRELIMINARY STATEMENT

**WHEREAS** CRRA owns a certain parcel of real property located at 217 Sadds Mill Road in Ellington, Connecticut, upon which Ellington property CRRA formerly operated and now monitors and maintains a certain closed sanitary landfill known as the "Ellington Landfill;"

**WHEREAS** CRRA leases a certain parcel of real property located at 180 Leibert Road in Hartford, Connecticut, upon which Hartford property CRRA formerly operated and now monitors, maintains, and is in the process of closing a certain sanitary landfill known as the "Hartford Landfill;"

**WHEREAS** CRRA leases a certain parcel of real property located at 25 Pent Road in Wallingford, Connecticut, upon which Wallingford property CRRA formerly operated and now monitors and maintains a certain sanitary landfill known as the "Wallingford Landfill;"

**WHEREAS** CRRA owns a certain parcel of real property located at 866 River Road (Route 110) in Shelton, Connecticut, upon which Shelton property CRRA formerly operated and now monitors and maintains a certain sanitary landfill known as the "Shelton Landfill;"

**WHEREAS** CRRA owns a certain parcel of real property located at 109 Nichols Drive (the intersection of Highland Avenue and Highview Street) in Waterbury, Connecticut, upon which Waterbury property CRRA formerly operated and now monitors and maintains a certain sanitary landfill known as the "Waterbury Landfill;"

**WHEREAS** CRRA now desires to enter into this Agreement with Contractor in order for Contractor to provide certain landscape, mowing, snowplowing, and other work at one or more of either the Ellington, Hartford, Shelton Wallingford, and Waterbury Landfills from time to time in accordance with the Contract Documents.

**NOW, THEREFORE**, in consideration of the mutual covenants, promises, and representations contained herein, and for other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the parties hereto agree as follows.

3 of 25 Agreement

## 1. DEFINITIONS, CONSTRUCTION AND INTERPRETATION

#### 1.1 Definitions

As used in this Agreement and in other Contract Documents (as defined herein) the following terms shall have the meanings as set forth below:

## 1.1.1 Addenda

"Addenda" means written or graphic documents issued prior to the bid due date, which clarify, correct or change any or all of the Contract Documents.

## 1.1.2 <u>Contract Documents</u>

"Contract Documents" means this Agreement (including all exhibits attached hereto), Notice To Contractors – Request For Bids, Instructions To Bidders, Addenda, Contractor's bid (including all documentation accompanying such bid, all other documentation submitted in connection with such bid, and all post-bid documentation submitted prior to the Notice Of Award), Notice Of Award, any written amendments to any of the Contract Documents and any change order issued pursuant to Section 3.8.

## 1.1.3 Effective Date

"Effective Date" means the date set forth above in this Agreement.

## 1.1.4 Laws And Regulations

"Laws And Regulations" means any and all applicable current or future laws, rules, regulations, ordinances, codes, orders and permits of any and all federal, state and local governmental and quasi-governmental bodies, agencies, authorities and courts having jurisdiction.

## 1.1.5 Project

"Project" means all of the Work associated with this Agreement.

## 1.1.6 Notice Of Award

"Notice Of Award" means written notification from CRRA to an apparent successful bidder which states that CRRA has accepted such bidder's bid and sets forth the remaining conditions that must be fulfilled by such bidder before CRRA executes the Agreement.

## 1.1.7 Work

"The Work" means landscape, mowing, and snowplowing work as detailed in Exhibit A

## 1.1.8 Sites

"Sites" means those areas of the Properties upon which the Work is to be performed, furnished and completed by Contractor in accordance with the Contract Documents.

# 1.2 Construction And Interpretation

For purposes of this Agreement:

- a) Capitalized terms used herein shall have the meanings set forth herein;
- b) Whenever nouns or pronouns are used in this Agreement, the singular shall mean the plural, the plural shall mean the singular, and any gender shall mean all genders or any other gender, as the context may require;
- c) Words that have well-known technical or trade meanings are used herein in accordance with such recognized meanings unless otherwise specifically provided;
- d) All accounting terms not otherwise defined herein have the meanings assigned to them in accordance with "generally accepted accounting principles," and the term "generally accepted accounting principles" with respect to any computation required or permitted hereunder shall mean such accounting principles that are generally accepted as of the Effective Date of this Agreement;
- e) The words "herein", "hereof" and "hereunder" and words of similar import refer to this Agreement as a whole and not to any particular Article, Section or Subsection;
- f) Reference to any particular party shall include that party's employees and the authorized agents of that party;
- g) All references to agreements are references to the agreements as the provisions thereof that may be amended, modified or waived from time to time; and,
- h) The captions contained in this Agreement have been inserted for convenience only and shall not affect or be effective to interpret, change or restrict the terms of provisions of this Agreement.
- i) All Exhibits to this Agreement are incorporated into the body of this Agreement as part hereof.

#### 2. TERM OF AGREEMENT

## 2.1 Term

The term of this Agreement shall commence on the Effective Date, as per section 1.1.3 herein. Work is to commence on July 1, 2012 (the "Commencement Date") and shall terminate, unless otherwise terminated or extended in accordance with the terms and provisions hereof, on June 30, 2015 unless otherwise terminated or extended in accordance with the terms and conditions hereof. This Agreement shall become effective on the Commencement Date.

Contractor shall retain and maintain accurate records and documents relating to the performance of Work under this Agreement for a minimum of three (3) years after final payment by CRRA for the Work hereunder and shall make them available for inspection and audit by CRRA. Contactor's obligations under this paragraph shall survive the termination or expiration of this Agreement.

## 2.2 Time is of the Essence

Contractor hereby acknowledges and agrees that time is of the essence with respect to Contractor's performance of the Work hereunder. Accordingly, upon the Commencement Date of the Agreement, Contractor shall immediately commence performance of the Work and continue to perform the same during the term of this Agreement in order to complete all of the Work by the Termination Date of the Agreement.

#### 2.3 Termination

CRRA may terminate this Agreement at any time by providing Contractor with ten (10) days' prior written notice of such termination. Upon receipt of such written notice from CRRA, Contractor shall immediately cease performance of all Work, unless otherwise directed in writing by CRRA. Prior to any termination of this Agreement, Contractor shall remove all of its personnel and equipment associated with this Agreement from the Landfills, restore any part of the Landfills, any of the improvements located or to be located thereon, including but not limited to any access roads, or any of the Work that requires restoration pursuant to the terms and conditions of Section 4.4 hereof

Upon termination of this Agreement pursuant to this Section 4.3,

- (a) CRRA shall pay Contractor for all Work performed and completed by Contractor prior to the termination date, provided:
  - (1) Such Work has been performed and completed by Contractor in conformance with the Standards;
  - (2) Payment for such Work has not been previously made or is not disputed by CRRA;
  - (3) Contractor is not in default hereunder; and,

- (4) Contractor has performed and completed all its obligations under this Section 4.3 and Section 4.4 hereof to CRRA's satisfaction, and
- (b) CRRA shall have no further liability hereunder.

Except for the payment that may be required pursuant to the preceding sentence, CRRA shall not be liable to Contractor in any other manner whatsoever in the event CRRA exercises its right to terminate this Agreement.

## 2.4 Restoration

Unless otherwise directed in writing by CRRA, Contractor shall:

- (a) Restore any part of the Landfills or any of the improvements located or to be located thereon, other than those areas of the Landfills or such improvements improved by Contractor pursuant to this Agreement, disturbed or damaged by Contractor or any of its directors, officers, employees, agents, subcontractors or materialmen to the same condition existing immediately prior to such disturbance or damage; and
- (b) Restore or repair any completed Work so disturbed or damaged to the condition required by the Contract Documents for acceptance of such Work by CRRA.

## 3. SCOPE OF WORK

## 3.1 Contractor's Responsibilities

Contractor shall be responsible for furnishing all labor, tools, materials, equipment and incidentals thereto to render landscape, mowing and snowplowing work at the Landfills, including, but not limited to, the work described in **Exhibit A** (the "Scope of Work") attached hereto and made a part hereof (collectively, the "Work"), as such Work may be requested from time to time by an Authorized Representative of CRRA on the terms specified in this Agreement.

## 3.2 Performance and Completion of the Work

All Work shall be performed and completed by Contractor as an independent contractor and in a good workmanlike manner consistent and in accordance with:

- (a) Any and all instructions, guidance and directions provided by CRRA to Contractor;
- (b) The Contract Documents;
- (c) Sound landscape, mowing and snowplowing practices;

- (d) The highest industry standards applicable to Contractor and its performance of the Work hereunder; and
- (e) Any terms of, where applicable, CRRA's MSW Facilities; and
- (f) All Laws And Regulations.

Items (a) through (f) above are hereinafter collectively referred to as the "Standards."

Contractor shall obtain any locally required building or other permits required for the Work, and Contractor shall also assist and fully cooperate with CRRA in obtaining any other applicable permits necessary to begin and complete the Work.

## 3.3 CRRA's Responsibilities

CRRA shall be responsible for administering this Agreement, accepting the Work that is performed and completed by Contractor in accordance with the Contract Documents, and receiving and paying invoices for such Work.

## 3.4 Authorized Representative Of CRRA

Contractor will only perform Work upon request from an Authorized Representative of CRRA. For purposes of this Agreement, the terms "Authorized Representative of CRRA" or "Authorized Representative" shall mean CRRA's President (the "President"), CRRA's Director of Operations and Environmental Affairs, CRRA's Enforcement/Recycling Director or any person designated in writing to Contractor by CRRA's President or Director of Operations and Environmental Affairs. Any Work performed at the request of anyone who is not an Authorized Representative shall not be paid for by CRRA.

#### 3.5 Direction of Work

CRRA and/or its Authorized Representative may, where necessary or desired, provide Contractor with instructions, guidance and directions in connection with Contractor's performance of the Work hereunder. CRRA reserves the right to determine whether Contractor will, upon completion of any phase of the Work, proceed to any or all remaining phases of the Works. If CRRA determines that Contractor shall not proceed with the remaining Work, CRRA shall terminate this Agreement in accordance with Section 2.3 hereof.

# 3.6 CRRA's Inspection Rights

Contractor's performance of the Work hereunder, as well as Contractor's work products resulting from such performance, are subject to inspection by CRRA. Inspections may be conducted at any time by CRRA. In the event of an inspection, Contractor shall provide to CRRA any documents or other materials that may be necessary in order for CRRA to conduct the inspection. If, after any such inspection, CRRA is unsatisfied with Contractor's performance of the Work hereunder or any of the work products resulting therefrom, Contractor

shall, at the direction of CRRA, render such performance or work products satisfactory to CRRA at no additional cost or expense to CRRA and without any extension of or addition to any schedule included in a Request. For purpose of this Section 3.6, CRRA shall mean CRRA and/or its Authorized Representative.

#### 3.7 Access

CRRA hereby grants to Contractor, during such times as directed by CRRA, access to only those areas of the Landfills necessary for Contractor to perform the Work hereunder, provided that:

- (a) Contractor shall not interfere with any other operations or activities being conducted at such Landfills by either CRRA or any other person or entity;
- (b) Contractor directly coordinates with CRRA on such access and Contractor's storage of any equipment or materials on the Landfills; and
- (c) Contractor is in compliance with all of the terms and conditions of this Agreement.

CRRA reserves the right to revoke the access granted to Contractor herein if Contractor fails to comply with any of the foregoing conditions of access.

## 3.8 Change in Scope of Work

In the event that CRRA determines during the term of this Agreement that any revisions, modifications or changes are necessary to the Scope Of Work as set forth in Section 3.1 hereof, then pursuant to CRRA's request, Contractor shall promptly commence and perform the work required for such revisions, modifications or changes, which work shall be performed in accordance with the Standards unless otherwise specifically agreed to in writing by CRRA and Contractor. If any adjustment(s) to the Contract Price is required as a result of such revisions, modifications or changes, CRRA and Contractor shall mutually agree in writing on the amount of such adjustment(s). Contractor shall promptly commence and perform any work required by such revisions, modifications or changes even if CRRA and Contractor cannot agree on the amount of such adjustment(s). If Contractor determines that a change in scope is necessary to complete the Work, Contractor shall notify CRRA in writing within three (3) business days.

#### 3.9 Landfill and Subsurface Conditions

All information and data shown or indicated in the Contract Documents with respect to underground facilities, surface conditions, subsurface conditions or other conditions at or contiguous to the Landfills are furnished for information only and CRRA does not assume any responsibility for the accuracy or completeness of such information and data. Contractor acknowledges and agrees that CRRA does not assume any responsibility for such information and data and that Contractor is solely responsible for investigating and satisfying itself as to all actual and existing conditions at the Landfills, including but not limited to sur-

face conditions, subsurface conditions and underground facilities. Contractor has carefully studied all such information and data and Contractor has obtained and carefully studied (or assumes responsibility for having done so) all such additional or supplementary examinations, investigations, explorations, tests, studies and data concerning conditions (including but not limited to surface conditions, subsurface conditions and underground facilities) at or contiguous to the Landfills and all other conditions or factors which may affect cost, progress, performance, furnishing or completion of the Work or which relate to any aspect of the means, methods, techniques, sequences, and procedures of construction or performance of the Work to be employed by Contractor and safety precautions and programs incident thereto. Contractor does not consider that any additional examinations, investigations, explorations, tests, studies or data are necessary for Contractor to conclusively determine, and Contractor has so determined, that the Work can be performed, furnished and completed in accordance with the terms and conditions of the Contract Documents. In the event that the information or data shown or indicated in the Contract Documents with respect to underground facilities or surface, subsurface or other conditions at or contiguous to the Landfills differs from conditions encountered by Contractor during performance of the Work, there shall be no increase in the Contract Prices as a result of such differing conditions, unless CRRA, in its sole and absolute discretion, agrees to such increase and/or extension.

#### 3.10 Methane Gases

Contractor acknowledges the presence of methane gases at the Landfills and that, during the term of this Agreement, methane gases may be collected from such Landfills. Contractor covenants and agrees that it and its employees, agents, sub-consultants and materialmen shall take all necessary precautions with respect to the presence of methane gases at all times at the Landfills, including, but not limited to, prohibiting the presence of any open flames, sparks, smoking or any other activity which might ignite any of the methane gases present at the Landfills.

## 3.11 Proprietary Information

Contractor shall not use, publish, distribute, sell or divulge any information obtained from CRRA by virtue of this Agreement for Contractor's own purposes or for the benefit of any person, firm, corporation or other entity (other than CRRA) without the prior written consent of CRRA. Any report or other work product prepared by Contractor in connection with the performance of the Work hereunder shall be owned solely and exclusively by CRRA and cannot be used by Contractor for any purpose beyond the scope of this Agreement without the prior written consent of CRRA. Any material designated by CRRA in accordance with applicable law as confidential shall not be disclosed to any third parties without the prior written consent of CRRA. However, Contractor acknowledges that CRRA is subject to the Connecticut Freedom of Information Act and CRRA must disclose certain documents in accordance with said statutes. Contractor retains all of its rights in its inventions, expressions, know how, techniques, skills, knowledge and experience and materials used by it generally or provided by it generally to clients, and Contractor shall not be restricted in any way with respect thereto. The restrictions and agreements set forth in this Section 3.11 shall not apply to any information:

- a. Which at the time disclosed to or obtained by Contractor is in the public domain;
- b. Which becomes part of the public domain through no act, omission or fault of Contractor;
- c. Which Contractor's records demonstrate was developed independently by Contractor or was received by Contractor from a third party which Contractor had no reason to believe had any confidentiality or fiduciary obligation to CRRA with respect to such information;
- d. Which is required to be disclosed by law, including, without limitation, pursuant to the terms of a subpoena or other similar document; provided, however, Contractor shall give prior timely notice of such disclosure to CRRA to permit CRRA to seek a protective order, and, absent the entry of such protective order, Contractor shall disclose only such Confidential Information that Contractor is advised by its counsel must be disclosed by law; or

Following the lapse of five years after disclosure of such information to Contractor

## 3.12 Books and Records

Contractor shall maintain proper books and records containing complete and correct information on all Work performed by Contractor pursuant to this Agreement in accordance with generally accepted accounting principles and practices. CRRA has the right to inspect and review all such books and records during Contractor's business hours.

### 3.13 Status of Contractor

CRRA and Contractor acknowledge and agree that Contractor is acting as an independent contractor in performing any Work for CRRA hereunder and that Contractor shall perform such Work in its own manner and method subject to the terms of this Agreement. Nothing in this Agreement shall be construed or interpreted as creating a partnership, a joint venture, an agency, a master-servant relationship, an employer-employee relationship or any other relationship between CRRA and Contractor other than that of an owner and an independent contractor. Contractor is expressly forbidden from transacting any business in the name of or on account of CRRA, and Contractor has no power or authority to assume or create any obligation or responsibility for or on behalf of CRRA in any manner whatsoever.

#### 3.14 Subcontractors

Contractor shall consult with CRRA and obtain its approval before hiring any subcontractors to perform any Work hereunder. Contractor shall require all of its subcontractors to abide by the terms and conditions of this Agreement. Moreover, Contractor's subcontracts with such subcontractors shall specifically provide that, in the event of a default by Contractor thereunder or under this Agreement, CRRA may directly enforce such subcontracts and make payments thereunder. Contractor shall provide CRRA with all contracts, amendments, books, records, accounts, correspondence and other materials necessary to enforce such

subcontracts. Also Contractor's subcontracts with its subcontractors shall specifically include CRRA as a third party beneficiary and shall provide that such subcontractors shall not be excused from any of their obligations under such subcontracts by reason of any claims, setoffs, or other rights whatsoever that they may have with or against Contractor other than through such subcontracts.

## 3.15 Contractor's Employees

All persons employed by Contractor shall be subject and responsible solely to the direction of Contractor and shall not be deemed to be employees of CRRA.

## 3.16 CRRA Right to Exclude Certain Individuals

Without limitation of Operator's overall responsibility for the acts and omissions of all on-site personnel and other employees, CRRA reserves the right to exclude anyone from the site who CRRA reasonably believes is a danger to themselves or any other Person, or the site.

## 3.17 Notice of Damage or Theft

Operator shall immediately upon learning of an incident of damage to or theft of any CRRA equipment or property provide the CRRA with notice of the same, followed by an incident report. Such incident report shall be in a format acceptable to CRRA but shall at a minimum contain the date and time of the incident (if known), a description of the missing or damaged equipment or property, and the party(ies) responsible for such theft or damage.

#### 4. COMPENSATION AND PAYMENT

# 4.1 Compensation

For the Work performed and expenses incurred under this Agreement, Contractor shall be paid by CRRA on the basis set forth on **Exhibit C** attached hereto and made a part hereof. Contractor shall be reimbursed for Work actually performed on a unit cost basis as specified in the "Payment Rate Schedule" in **Exhibit C**. In no case shall the Contractor be paid more for the Work for the term of this Agreement than the amount set forth in **Exhibit C** as the "Total Price."

Contractor will not be paid for costs or expenses for Work that exceed the Not-To-Exceed Contract Price of **Exhibit C**. CRRA does not guarantee that the Not-To-Exceed Contract Price of **Exhibit C** or any amount of monies will be paid to Contractor during the term of this Agreement.

Under certain circumstances, CRRA may approve the reimbursement of out of certain out of pocket travel expenses if such expenses are the result of CRRA directions to the Contractor, and that CRRA, at its sole and absolute discression agrees that such expenses are both neces-

sary and outside the compensation set forth on Exhibit C. Out-of-pocket expenses shall be reimbursed at cost provided they are consistent with CRRA's Travel and Expense Reporting document attached hereto and made a part hereof as **Exhibit E**, except that Contractor will be deemed to have met CRRA's "Receipt" requirements of such document if Contractor provides to CRRA with each billing the following:

- (a) Receipts for all items greater than or equal to \$25 and
- (b) Copies of Contractor's expense forms itemizing expenses incurred in providing Work to CRRA.

Contractor shall be solely responsible for the reporting of and payment of federal, state and local income taxes, FICA and FUTA contributions and shall maintain any insurance coverage required by state or federal law in addition to any insurance required hereunder.

Contractor shall not be compensated for any time spent preparing any billing documentation, or any information requested by CRRA's in house accountants/auditors or outside auditors, State of Connecticut auditors, or CRRA in house accounting department, or related materials.

# 4.2 Payment Procedure

Contractor shall submit an invoice to CRRA each month for all of the Work performed and all of the costs and expenses incurred in the immediately preceding month pursuant to this Agreement.

Such invoice will contain at least the following information.

- (a) A description of the Work performed;
- (b) A separate listing of the price for each item of the Work performed;
- (c) The time period covered by the invoice; and
- (d) The contract number for this Agreement (to be provided by CRRA).

**Exhibit D**, attached hereto and made a part hereof, is the format for all monthly bills.

Contractor shall not be compensated for any time spent preparing any billing documentation or related materials.

If CRRA determines, in its sole discretion, that:

- (a) The Work for which Contractor is requesting payment have been properly performed and completed in conformance with the Standards,
- (b) Contractor is not in default hereunder,
- (c) CRRA does not dispute the amount of the payment requested, and

(d) The bill contains all of the information required hereunder,

then CRRA shall pay the amount requested within thirty (30) calendar days after its receipt of such bill.

If, however, CRRA determines that:

- (a) Any of the Work for which Contractor has requested payment is not in conformance with the Standards,
- (b) Such bill does not contain all the requisite information, or
- (c) Contractor is in default hereunder,

then CRRA may in its sole and absolute discretion withhold all or a portion of the payment requested by Contractor, and Contractor shall, if requested by CRRA, immediately take, at Contractor's sole cost and expense, all action necessary to render such Work and/or bill in conformance with the Standards, or to cure such default.

CRRA shall have no obligation under this Agreement to pay for any Work that CRRA determines have not been performed and/or completed in conformance with the Standards, and CRRA shall have no obligation to pay Contractor any amount due Contractor under this Agreement if Contractor is in default hereunder. If CRRA disputes the amount in any written request for payment submitted by Contractor, CRRA shall have the right to withhold the disputed amount until the dispute is settled. CRRA shall notify Contractor of any disputed amount and the reason(s) for disputing such amount.

# 4.3 Accounting Obligations

Contractor shall maintain books and accounts of the costs incurred by Contractor in performing the Work pursuant to this Agreement by contract number and in accordance with generally accepted accounting principles and practices. CRRA, during normal business hours, for the duration of this Agreement, shall have access to such books and accounts to the extent required to verify such costs incurred.

## 4.4 Withholding Taxes And Other Payments

No FICA (social security) payroll tax, state or federal income tax, federal unemployment tax or insurance payments, state disability tax or insurance payments or state unemployment tax or insurance payments shall be paid or deposited by CRRA with respect to Contractor, nor be withheld from payment to Contractor by CRRA. No workers' compensation insurance has been or will be obtained by CRRA on account of the Work to be performed hereunder by Contractor, or any of Contractor's employees or subcontractors. Contractor shall be responsible for paying or providing for all of the taxes, insurance and other payments described or similar to those described in this Section 4.4 and Contractor hereby agrees to indemnify CRRA and hold CRRA harmless against any and all such taxes, insurance or payments, or

similar costs which CRRA may be required to pay in the event that Contractor's status hereunder is determined to be other than that of an independent contractor.

#### 4.5 State of Connecticut Taxes

Pursuant to Section 22a-270 of the *Connecticut General Statutes* (as the same may be amended or superceded from time to time), CRRA is exempt from all State of Connecticut taxes and assessments ("Connecticut Taxes"), and the payment thereof. Without limiting the generality of the preceding sentence, the sale of any services or tangible personal property to be incorporated into or otherwise consumed in the operation of a CRRA Project is exempt from Connecticut Taxes, including without limitation Connecticut sales and use taxes, wherever purchased. Accordingly, Contractor shall not include in the fees, and Contractor shall not charge or pass through any Connecticut Taxes to CRRA, including that portion of any combined tax or assessment representing any Connecticut Taxes, regardless of whether Contractor has incurred any Connecticut State Taxes in its performance of the Agreement.

CRRA expresses no opinion as to the eligibility for any tax exemption, or refund or other reimbursement, including without limitation any Connecticut Taxes, with respect to tangible personal property purchased at any location for use in the performance of Work contemplated by this Agreement.

Contractor should consult with its tax advisor and/or its attorney, and the Connecticut Department of Revenue Services ("DRS") and any other applicable tax authority, with regard to such tax authorities' policies, procedures, recordkeeping and filing requirements for reimbursement of any taxes, including without limitation Connecticut Taxes, paid in the performance of Work contemplated by this Agreement, and whether or not there is a mechanism available to Contractor for the reimbursement of taxes, including without limitation Connecticut Taxes, paid on fuel purchased for use in the performance of the Work contemplated by this Agreement.

Contractor and CRRA agree that Contractor is and shall act as an independent contractor. Notwithstanding Contractor's status as an independent contractor, but without limiting Contractor's obligation hereunder to pay, and be solely responsible for, any Connecticut taxes levied, imposed or applicable to the Work, for the sole purpose of allowing CRRA to benefit from the aforesaid exemption, CRRA shall designate, and Contractor has agreed to act, as CRRA's agent in purchasing services and equipment, machinery, parts, materials, supplies, inventories, fuel, and other items necessary to perform the Work hereunder for the account of CRRA, and with funds provided as reimbursement therefore by CRRA.

#### 4.6 Mechanic's Liens

Contractor shall claim no interest in the Landfills or any equipment, fixtures or improvements located or to be located thereon, including but not limited to the Landfills or any part thereof. Contractor shall not file any mechanic's liens or other liens or security interests against CRRA or any of its properties, including but not limited to the Landfills. Contractor shall defend, indemnify and hold harmless CRRA against all costs associated with the filing

of such liens or interests by Contractor or any of its subcontractors or materialmen. Before any subcontractor or materialman of Contractor commences any Work hereunder, Contractor shall deliver to CRRA an original waiver of mechanic's liens properly executed by such subcontractor or materialman. If any mechanic's lien is filed against CRRA or any of its properties in connection with the Work hereunder, Contractor shall cause the same to be canceled and discharged of record within fifteen (15) days after the filing of such lien and, if Contractor fails to do so, CRRA may, at its option but without any obligation to do so, make any payment necessary to obtain such cancellation or discharge and the cost thereof, at CRRA's election, shall be either deducted from any payment due to Contractor hereunder or reimbursed to CRRA promptly upon demand by CRRA to Contractor.

### 5. INDEMNIFICATION

# 5.1 Contractor's Indemnity

Contractor shall at all times defend, indemnify and hold harmless CRRA and its board of directors, officers, agents and employees from and against any and all claims, damages, losses, judgments, liability, workers' compensation payments and expenses (including but not limited to attorneys' fees) arising out of injuries to the person (including death), damage to property or any other damages alleged to have been sustained by: (a) CRRA or any of its directors, officers, agents, employees or other contractors, or (b) Contractor or any of its directors, officers, agents, employees, subcontractors or materialmen, or (c) any other person, to the extent any such injuries, damage or damages are caused or alleged to have been caused in whole or in part by the acts, omissions or negligence of Contractor or any of its directors, officers, agents, employees, subcontractors or materialmen. Contractor further undertakes to reimburse CRRA for damage to property of CRRA caused by Contractor or any of its directors, officers, agents, employees, subcontractors or materialmen, or by faulty, defective or unsuitable material or equipment used by it or any of them. The existence of insurance shall in no way limit the scope of this indemnification. Contractor's obligations under this Section 5.1 shall survive the termination or expiration of this Agreement.

## 6. INSURANCE

## 6.1 Required Insurance

At all times during the term of this Agreement, Contractor shall, at its sole cost and expense, procure and maintain the insurance coverages described below for claims which may arise from or in connection with the work set forth in the scope of work hereunder (the "Work") performed by the Contractor and those for whom they are legally responsible.

(a) Minimum Scope of Insurance

Coverage shall be at least as broad as:

- 1. Commercial General Liability insurance as specified by the most recent version of ISO Form Number CG 001 (occurrence).
- 2. Automobile Liability insurance as specified by the most recent edition of ISO Form Number CA 0001, Symbol 1 (any auto). An MCS 90 Endorsement and a CA 9948 Endorsement shall be attached if any hazardous materials are transported by the (Contractor/Contractor) during its performance of the Work.
- 3. Workers' Compensation insurance as required by all states in which the Work is being done and Employer's Liability insurance.
- 4. Contractor's Pollution Liability Insurance
- (b) Contractor is allowed to use Excess/Umbrella insurance to meet the limits set forth in the agreement
- (c) Minimum Limits of Insurance for the Ellington, Waterbury, and Wallingford Landfills

Contractor performing work at the Ellington, Waterbury, or Wallingford landfills shall maintain the following limits of liability for the insurance described in 6.1.a above:

- 1. Commercial General Liability:
  - a. \$3,000,000 Each Occurrence for Bodily Injury & Property Damage.
  - b. \$3,000,000 General Aggregate
  - c. \$3,000,000 Products & Completed Operations Aggregate
  - d. \$1,000,000 Personal & Advertising Injury
- 2. Automobile Liability:
  - a. \$1,000,000 Combined Single Limit Each Accident for Bodily Injury and Property Damage.
  - b. Include Owned, Hired and Non-Owned Auto Liability
- 3. Workers' Compensation: Statutory limits.

Employer's Liability: \$1,000,000 - Each Accident \$1,000,000 Disease - Policy Limit \$1,000,000 Disease - Each Employee

4. Contractor's Pollution Liability Insurance with a limit not less than \$3,000,000. CPL coverage to include endorsement for transportation coverage, as well as disposal locations to which MSW is taken as "non-owned disposal sites" (also known as NODS coverage). Provide such endorsements as evidence this coverage has been provided.

(d) Minimum Limits of Insurance for the Hartford and Shelton Landfills

Contractor performing work at the Hartford or Shelton Landfill shall maintain the following limits of liability for the insurance described in 6.1.a above. Contractor is allowed to use Excess/Umbrella insurance to meet the limits set forth in the agreement:

- 1. Commercial General Liability:
  - a. \$5,000,000 Each Occurrence for Bodily Injury & Property Damage.
  - b. \$5,000,000 General Aggregate
  - c. \$5,000,000 Products & Completed Operations Aggregate
  - d. \$1,000,000 Personal & Advertising Injury
- 2. Automobile Liability:
  - a. \$1,000,000 Combined Single Limit Each Accident for Bodily Injury and Property Damage.
  - b. Include Owned, Hired and Non-Owned Auto Liability
- 3. Workers' Compensation: Statutory limits.

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Employer's Liability:
$1,000,000 - Each Accident
$1,000,000 Disease - Policy Limit
$1,000,000 Disease - Each Employee
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- 4. Contractor's Pollution Liability Insurance with a limit not less than \$5,000,000. CPL coverage to include endorsement for transportation coverage, as well as disposal locations to which MSW is taken as "non-owned disposal sites" (also known as NODS coverage). Provide such endorsements as evidence this coverage has been provided.
- (e) Deductibles, Self-insured Retentions and Uninsured Losses

The Contractor shall be responsible for payment of all deductibles and self-insured retentions on any of the insurance policies required under this Agreement. The Contractor is also responsible for the payment of all losses arising out of its performance of the Work that may not be covered by the insurance policies required under this Agreement.

(e) Other Insurance Provisions

All policies required under this Agreement shall contain the following provisions:

- 1. CRRA, its subsidiaries, officials and employees are to be covered as additional insureds on a primary and non-contributing basis on the following insurance policies purchased by the Contractor:
  - a. Commercial General Liability
  - b. Automobile Liability

## c. Contractor's Pollution Liability

- 2. The Contractor agrees to notify CRRA at least thirty (30) days in advance of any cancellation or change to insurance coverages required under this Agreement. Notice of cancellation or change in coverage shall be provided to CRRA's Risk Manager by fax to 860-757-7740, or by e-mail to lmartin@crra.org, or by correspondence to CRRA, 100 Constitution Plaza, 6<sup>th</sup> Floor, Hartford, Connecticut 06103-1722.
- 3. The Contractor should waive (and require their insurers to waive) subrogation rights against CRRA for losses and damages incurred under the insurance policies required by this Agreement.
- 4. The Contractor's insurance shall apply separately to each insured against whom claim is made or suit is brought, except with respect to the limits of the insurer's liability.

## (e) Acceptability of Insurance

Insurance is to be placed with insurers with current A.M. Best ratings of not less than A-VIII, and be lawfully authorized to conduct business in the state(s) or jurisdiction(s) where the Work is being performed, unless otherwise approved by CRRA.

## (f) Verification of Coverage

Contractor shall furnish CRRA with a Certificate of Insurance evidencing the coverages required under this Agreement. All certificates are to be received and approved by CRRA before the Work commences. Contractor shall provide new Certificates of Insurance upon renewal, replacement or addition of any insurance required under this Agreement.

# (g) Subcontractors

Contractor shall either include all subcontractors as insureds under its insurance policies or shall require subcontractors to provide their own insurance subject to all of the requirements stated herein.

#### 6.2 Other Conditions

CRRA shall not, because of accepting, rejecting, approving, or receiving any certificate of insurance required hereunder, incur any liability for:

- (a) The existence, non-existence, form or legal sufficiency of the insurance described on such certificate.
- (b) The solvency of any insurer, or

(c) The payment of losses.

#### 7. MISCELLANEOUS

## 7.1 Non-Discrimination

Contractor agrees to the following:

- (a) Contractor agrees and warrants that in the performance of the Work for CRRA Contractor will not discriminate or permit discrimination against any person or group of persons on the grounds of race, color, religious creed, age, marital status, including civil union status, national origin, ancestry, sex, sexual orientation, gender identity or expression, mental retardation or physical disability, including, but not limited to, blindness, unless it is shown by Contractor that such disability prevents performance of the Work involved, in any manner prohibited by the laws of the United States or of the State of Connecticut. Contractor further agrees to take affirmative action to insure that applicants with job related qualifications are employed and that employees are treated when employed without regard to their race, color, religious creed, age, marital status, national origin, ancestry, sex, sexual orientation, gender identity or expression, mental retardation, or physical disability, including, but not limited to, blindness, unless it is shown by Contractor that such disability prevents performance of the Work involved;
- (b) Contractor agrees, in all solicitations or advertisements for employees placed by or on behalf of Contractor, to state that it is an "affirmative action-equal opportunity employer" in accordance with regulations adopted by the Connecticut Commission on Human Rights and Opportunities (The "Commission");
- (c) Contractor agrees to provide each labor union or representative of workers with which Contractor has a collective bargaining agreement or other contract or understanding and each vendor with which Contractor has a contract or understanding, a notice to be provided by the Commission, advising the labor union, workers' representative and vendor of Contractor's commitments under Sections 4a-60 and 4a-60a of the *Connecticut General Statutes* and to post copies of the notice in conspicuous places available to employees and applicants for employment;
- (d) Contractor agrees to comply with each applicable provision of Sections 4a-60, 4a-60a, 46a-68e, and 46a-68f, inclusive, of the *Connecticut General Statutes* and with each regulation or relevant order issued by the Commission pursuant to Sections 46a-56, 46a-68e, and 46a-68f of the *Connecticut General Statutes*; and
- (e) Contractor agrees to provide the Commission with such information requested by the Commission, and permit access to pertinent books, records and accounts

concerning the employment practices and procedures of Contractor as related to the applicable provisions of Sections 4a-60, 4a-60a and 46a-56 of the *Connecticut General Statutes*. If this Agreement is a public works contract, Contractor agrees and warrants that it will make good faith efforts to employ minority business enterprises as subcontractors and suppliers of materials in such public works project.

## 7.2 Entire Agreement

This Agreement constitutes the entire agreement and understanding between the parties hereto and concerning the subject matter hereof, and supersedes any previous agreements, written or oral, between the parties hereto and concerning the subject matter hereof.

## 7.3 Governing Law

This Agreement shall be governed by, and construed, interpreted and enforced in accordance with the laws of the State of Connecticut as such laws are applied to contracts between Connecticut residents entered into and to be performed entirely in Connecticut.

## 7.4 Assignment

This Agreement may not be assigned in whole or in part by either party without the prior written consent of the other party or such assignment shall be void.

# 7.5 Bankruptcy

In the event of any proceedings, voluntary or involuntary, in bankruptcy or insolvency by or against the Contractor, the inability of the Contractor to meet its debts as they become due, or in the event of the appointment, with or without Contractor's consent, of an assignee for the benefit of creditors or of a receiver, then CRRA shall be entitled, at its sole option, to cancel any unfilled part of this Agreement without any liability whatsoever to CRRA.

## 7.6 Intellectual Property

Contractor warrants that it has a full, unconditional, and irrevocable right and title to sell, transfer, deliver or perform the goods or services, or to practice the methods, which are the subject of this Agreement. To the extent that such goods, services, methods or other deliverables are or may be protected by or subject to any laws, regulations, statutes, codes, or other provisions relating to any intellectual property or related rights (including but not limited to patents, trademarks, trade dress, trade secrets, logos, brand names, copyrights and other intellectual property rights) (hereinafter the "Intellectual Property"), Contractor further warrants that it is either (i) the sole and exclusive owner of and has the exclusive right to use (free and clear of any obligation to pay royalties or any similar obligation and free and clear of all mortgages, liens or other encumbrances) the Intellectual Property; or (ii) it has valid and effective licenses permitting it to make, use, sell, transfer, practice, or otherwise use, the Intellectual Property. Contractor further warrants that it

has the right to grant any licenses or sublicenses necessary for it or CRRA to perform under this Agreement and/or for CRRA to receive, purchase or use the goods, services or deliverables which are the subject of this Agreement. There is no claim or demand of any person or entity pertaining to, and there is no pending or threatened action, suit, proceeding or investigation relating to, or the outcome of which could affect, the rights of the Contractor or CRRA with respect to the Intellectual Property. Without limiting any right of CRRA contained in Section 5.1 (Contractor's Indemnity) Contractor shall hold harmless and indemnify CRRA (including for all attorney's fees and costs) in the event that Contractor breaches any of the warranties set forth in this Section 7.6, or if in any other respect, any claims (including but not limited to claims for infringement) are asserted by any third-party with respect to Intellectual Property or other rights with respect to the goods, services, methods or other deliverables which are the subject of this Agreement.

## 7.7 Lobbying And Paying Finder's Fees

Pursuant to the *Connecticut General Statutes*, CRRA is prohibited from retaining or hiring a lobbyist as defined in section 1-91 of the *Connecticut General Statutes* or paying a finder's fee for any Work provided to CRRA. Therefore, Contractor shall not provide CRRA any lobbying services, or receive, pay, or distribute any finder's fees under this Agreement.

## 7.8 Restriction On Other Agreements

This Agreement shall not be construed to restrict either CRRA or Contractor from entering into other consulting agreements similar to this one with other parties provided however the employees of Contractor providing Work hereunder shall not render Work to another which would either be in conflict with the interests of CRRA or prevent Contractor from performing work herein.

#### 7.9 No Waiver

Failure to enforce any provision of this Agreement or to require at any time performance of any provision hereof shall not be construed to be a waiver of such provision, or to affect the validity of this Agreement or the right of any party to enforce each and every provision in accordance with the terms hereof. No waiver of any provision of this Agreement shall affect the right of CRRA or Contractor thereafter to enforce such provision or to exercise any right or remedy available to it in the event of any other default involving such provision or any other provision. Making payment or performing pursuant to this Agreement during the existence of a dispute shall not be deemed to be and shall not constitute a waiver of any claims or defenses of the party so paying or performing.

#### 7.10 Modification

This Agreement may not be amended, modified or supplemented except by a writing signed by the parties hereto that specifically refers to this Agreement. Any oral representations or letters by the parties or accommodations shall not create a pattern or practice or course of

dealing contrary to the written terms of this Agreement unless this Agreement is formally amended, modified or supplemented.

#### 7.11 Notices

All notices, requests, demands and other communications hereunder shall be in writing and shall be deemed to have been duly given if mailed via certified first class mail return receipt requested postage prepaid or overnight express mail service to the pertinent address below.

(a) If to CRRA:

Connecticut Resources Recovery Authority 100 Constitution Plaza, 6<sup>th</sup> Floor Hartford, Connecticut 06103 Attention: Senior Engineer

With a copy to:

Connecticut Resources Recovery Authority 100 Constitution Plaza, 6<sup>th</sup> Floor Hartford, Connecticut 06103 Attention: President

(b)	If to Contractor:		
		Attention:	<del></del>

# 7.12 Binding Effect

This Agreement shall inure to the benefit of and be binding upon the heirs, personal representatives, successors and assigns of the parties hereto.

# 7.13 Severability

CRRA and Contractor hereby understand and agree that if any part, term or provision of this Agreement is held by any court to be invalid, illegal or in conflict with any applicable law, the validity of the remaining portions of this Agreement shall not be affected, and the rights and obligations of the parties shall be construed and enforced as if this Agreement did not contain the particular part, term or provision held to be invalid, illegal or in conflict with any applicable law.

## 7.14 Counterparts

This Agreement may be executed in any number of counterparts by the parties hereto. Each such counterpart so executed shall be deemed to be an original and all such executed counterparts shall constitute but one and the same instrument.

## 7.15 Campaign Contribution And Solicitation Prohibitions

For all State contracts as defined in P.A. 07-1 having a value in a calendar year of \$50,000 or more or a combination or series of such agreements or contracts having a value of \$100,000 or more, the authorized signatory to this Agreement expressly acknowledges receipt of the State Elections Enforcement Commission's notice advising state contractors of state campaign contribution and solicitation prohibitions, and will inform its principals of the contents of the notice. See **Exhibit F** [SEEC Form 11].

# 7.16 Certification Concerning Nondiscrimination

At the time the Contractor submitted its bid to CRRA, it simultaneously executed a document entitled Certification Concerning Nondiscrimination and said document is attached hereto and made a part of this Agreement as **Exhibit G**.

## 7.17 Affidavit Concerning Consulting Fees

At the time of Contractor's execution of this Agreement, Contractor simultaneously executed a document entitled Affidavit Concerning Consulting Fees and said document is attached hereto and made a part of this Agreement as **Exhibit H**.

# 7.18 Contractor's Certification Concerning Gifts

At the time of Contractor's execution of this Agreement, Contractor simultaneously executed a document entitled Contractor's Certification Concerning Gifts and said document is attached hereto and made a part of this Agreement as **Exhibit I.** 

## 7.19 President's Certification Concerning Gifts

At the time of the President of CRRA's execution of this Agreement, the President of CRRA simultaneously executed a document entitled President's Certification Concerning Gifts and said document is attached hereto and made a part of this Agreement as **Exhibit J**.

[REMAINDER OF PAGE INTENTIONALLY LEFT BLANK]
[SIGNATURE PAGE FOLLOWS]

**IN W-ITNESS WHEREOF**, the parties hereto have set their hands and seals as of the day and year first written above.

CON	NECTICUT RESOURCES RECOVERY AUTHORITY
By:	Thomas D. Kirk Its President
[NA]	Duly Authorized  ME OF CONTRACTOR]
By:	Its
	Duly Authorized

# EXHIBIT A SCOPE OF WORK

## **ELLINGTON LANDFILL**

This Scope Of Work includes an anticipated frequency (i.e., bi-weekly, annually, etc.) for performance of the Work. However, the actual frequency will be determined by CRRA. Nothing in this Agreement shall be construed as a guarantee as to the amount of Work to be done. CRRA reserves the right to increase or decrease the frequency at which this Work is performed.

Contractor shall perform landscape, mowing and snowplowing services at the Ellington Landfill as follows. A site plan for the Ellington Landfill highlighting the areas described herein is included in **Exhibit B**.

#### 1. BI-WEEKLY MOWING

Note: The following is <u>NOT</u> included in the Scope Of Work: Mowing services in the areas from the front entrance gate to the scale house, around the scale house/office, along the paved roadway from the scale house/office to the transfer station and around the transfer station. These services are currently performed by others.

Contractor shall, on a bi-weekly basis or as directed by CRRA, perform the following services:

- (a) Mowing of all lawn and landscaped areas (except as noted above) and keeping these areas in neat and well landscaped condition. These areas include the following:
  - (1) The side of Route 140 (Sadds Mill Road) in front of the Landfill property, between the edge of the pavement and the perimeter Landfill fence;
  - (2) The sides of the paved access road from the transfer station to the thermal oxidizer station. Areas to be mowed will extend a minimum of fifteen (15) feet from the edge of pavement on both sides of the road; and
  - (3) The area around the outside of the thermal oxidizer station enclosure. This area will extend a minimum of fifteen (15) feet from the edge of the thermal oxidizer enclosure;
- (b) Trimming (using a power trimmer as needed) of areas around all planting and landscaped areas, trees, buildings, telephone poles, above-ground pipes, fencing, guardrails and other structures in the areas described in (a) above. In addition, all areas requiring mowing that are not accessible with a push or ride-on mowing equipment shall be trimmed.

Contractor shall inspect each area to be mowed and/or trimmed and pick up and properly dispose of all litter prior to performing mowing and trimming work.

It is anticipated that Bi-Weekly Mowing Services will be required approximately eleven (11) times per year.

## 2. LANDFILL MOWING

The landfill consists of a large mound with steep side-slopes (on the order of 3 horizontal to 1 vertical (3H:1V)). The landfill has a soil cover (in excess of two feet thick) over the waste materials and the soil cover is densely vegetated and has an irregular surface.

There are 10 above-ground landfill gas extraction wells protruding from the central portion of the landfill surface and 44 extraction wells located on the north and west perimeter of the site. There are also a number of other utilities and structures. The Contractor must protect all existing gas wells, piping, utilities and structures on the landfill during mowing. Damage to any such wells, piping, utilities and structures by Contractor shall be immediately reported to CRRA and repaired by CRRA at Contractor's sole expense.

Contractor shall immediately repair any damage to the vegetation caused by mowing activities (disturbance of the root zone or ruts caused by Contractor's equipment) by leveling and seeding the damaged area and providing straw or equivalent mulch until vegetation is re-established. Any such damage to vegetation shall be immediately reported to CRRA and repaired by Contractor at Contractor's sole expense.

Contractor shall, on an annual basis during the first two weeks of August, or as directed by CRRA, perform the following services:

- (a) Mowing of all vegetation, with the exception of areas of large trees and shrubbery (which are to be maintained in-place as directed by CRRA). Areas shall be mowed to a uniform finished height of approximately six (6) inches.
- (b) Mowing, using a power trimmer or similar equipment, of all areas that are not accessible with mowing equipment.
- (c) Trimming of all vegetation from around all above-ground piping, gas wells, utilities and structures located on the Landfill.

CRRA makes no representations or guarantees that these areas can be mowed with standard mowing equipment.

A Landfill Mowing Service shall be complete within three (3) weeks of its start.

#### 3. GAS SYSTEM VEGETATIVE CONTROL

Currently, the landfill gas system includes 10 extraction wells located on the landfill, 44 extraction wells located on the north and west perimeter of the site, and 18 perimeter gas monitoring probes around the perimeter of the site.

Contractor shall, on a monthly basis or as directed by CRRA, perform the following services:

- (a) Clearing and maintaining of continuous walking paths for access to all gas extraction wells and perimeter gas monitoring probes GP-1 through GP-14. All vegetation shall be cleared to a maximum ground height of six (6) inches and a minimum width of five (5) feet and all overhead vegetation within eight (8) feet of the ground shall be cleared.
- (b) Clearing and maintaining of vegetation around all gas extraction wells. All vegetation shall be cleared to a maximum ground height of six (6) inches and a minimum radius of five (5) feet and all overhead vegetation within eight (8) feet of the ground shall be cleared.
- (c) Clearing and maintaining of overhead vegetation outside the thermal oxidizer station enclosure. All overhead vegetation within fifteen (15) feet of the fence line shall be cleared.

It is anticipated that Gas System Vegetative Control Services will be required approximately five (5) times per year. A Landfill Mowing Service (Section 2) will include all areas subject to Gas System Vegetative Control. Therefore, in a month in which a Landfill Mowing Service occurs, a separate Gas System Vegetative Control Service will not be required, nor will CRRA pay for a Gas System Vegetative Control Service during the month the Landfill Mowing occurs.

## 4. DRAINAGE SYSTEM VEGETATIVE CONTROL

Contractor shall, on an annual basis or as directed by CRRA, clear brush and excessive vegetation from all drainage channels, ditches, berms, rock lined downchutes and other drainage features and maintain these drainage systems free of accumulated vegetation.

#### 5. NON-ROUTINE WORK

CRRA's may direct Contractor to perform additional, non-routine work as part of this agreement. Work will be performed based on a mutually acceptable scope of work and price, to be determined at that time and agreed upon by CRRA and Contractor. Non-routine work may include, but not be limited to:

- a. Erosion repair
- b. Repair or replacement of cover soils
- c. Repair or construction of drainage facilities

d. Maintenance, planting, or removal of vegetation

Such work shall only be performed if mutually agreed to by CRRA and Contractor.

## 6. SNOWPLOWING

Note: the following is NOT included in the scope of work: Plowing services in the areas from the front entrance gate to the scale, along the paved roadway from the scale to the transfer station and around the transfer station. These services are currently performed by others.

Contractor shall plow the following areas whenever three (3) or more inches of frozen precipitation falls at the site, or whenever directed to do so by CRRA:

- (a) The paved road from the transfer station exit road to the thermal oxidizer station, including the turnaround area adjacent to the thermal oxidizer station. Contractor shall take care not to block the entrance gate for the thermal oxidizer station with snow;
- (b) The paved access road encircling a portion of the perimeter of the site from just inside the site entrance to the end, including the turnaround area; and
- (c) The paved access road starting at the transfer station access road and continuing to the top of the landfill and back.

Plowing shall be complete by the end of the business day following the end of the precipitation.

Contractor shall be paid for snow plowing services based on the depth of snow plowed as indicated in **Exhibit C**, "Contract Price." The depth of snow shall be measured and mutually agreed upon by CRRA and the Contractor.

#### 7. SITE ACCESSIBILITY

The Contractor may access the Landfill for the purposes of performing the Work hereunder when requested of CRRA. The Landfill is no longer in operation and is staffed on a regular basis by transfer station operations personnel only. CRRA staff or an authorized representative of CRRA must be present on-site when landscape, mowing or snowplowing services are performed, unless otherwise approved by CRRA. Contractor must provide CRRA with 24 hours notice when landscape, mowing or snowplowing services are to be performed. Contractor may access the Site only during the normal business hours, Mondays through Fridays, 7:00 a.m. to 3:00 p.m.

# EXHIBIT A SCOPE OF WORK

## HARTFORD LANDFILL

This Scope Of Work includes an anticipated frequency (i.e., bi-weekly, annually, etc.) for performance of the Work. However, the actual frequency will be determined by CRRA. Nothing in this Agreement shall be construed as a guarantee as to the amount of Work to be done. CRRA reserves the right to increase or decrease the frequency at which this Work is performed.

Contractor shall perform landscape and mowing services at the Hartford Landfill as follows. Site plans (2) for the Hartford Landfill highlighting the areas described herein is included in  $\underline{\mathbf{Exhibit}}$   $\underline{\mathbf{B}}$ .

#### 1. BI-WEEKLY MOWING

Contractor shall, on a bi-weekly basis or as directed by CRRA, perform the following services:

- (a) Mowing of all lawn and landscaped areas and keeping these areas in neat and well landscaped condition. These areas include the following:
  - (1) Both sides of Leibert Road from the intersection of Jennings Road to the Landfill entrance including the Leibert Road cul-de-sac area at the Landfill entrance. Grass shall be neatly mowed around all posts, fencing, and guardrails in this area using a power trimmer as necessary.
  - (2) All areas around the Landfill entrance, including around all paved parking areas and side roads. The areas mowed and landscaped around the Landfill entrance, paved parking areas and side roads shall extend a minimum of 15 feet from the edge of paved parking areas and side roads or to the perimeter fence on the south side of the Landfill, whichever is less;
  - (3) Both sides of the main entrance roadway from the main gate to the leachate storage tank. The areas mowed and landscaped for the main entrance roadway shall extend a minimum of 15 feet from the road shoulder or to the perimeter fence on the south side of the roadway, whichever is less; and
  - (4) Areas around all buildings and structures, including the scale house, the pretreatment building, the enclosed gas flare compound, the pump-house near the landfill entrance, the wheel wash building, the above ground fuel tank, the equipment wash-pad, the diesel fuel tank and the leachate storage tank. The areas mowed and landscaped around the above buildings and structures shall extend a minimum of 15 feet from the edge of each

structure. Connecting lawns for all of the buildings and structures shall also be mowed and landscaped.

(b) Trimming (using a power trimmer as needed) of areas around all planting and landscaped areas, trees, buildings, telephone poles, above-ground pipes, fencing, guardrails and other structures in the areas described in (a) above. In addition, all areas requiring mowing that are not accessible with a push or ride-on mowing equipment shall be trimmed.

Contractor shall inspect each area to be mowed and/or trimmed and pick up and properly dispose of all litter prior to performing mowing and trimming work.

It is anticipated that Bi-Weekly Mowing Services will be required approximately eleven (11) times per year.

## 2. LANDFILL MOWING

The landfill consists of a large mound, in excess of 100 feet in height, with steep slopes (as steep as 2 horizontal to 1 vertical (2H:1V)). A portion of the landfill (approximately 62 of 96 acres) has a soil cover a minimum of 18" thick over the waste materials and the soil cover is densely vegetated and has an irregular surface. The remaining area will be capped with an exposed, synthetic membrane, and therefore, will not require mowing.

Within the vegetated area of the landfill there are approximately 60 above-ground landfill gas extraction wells protruding from the landfill surface, as well as a number of other utilities and structures. Throughout the landfill, stormwater is conveyed through a series of aluminized corrugated metal half-pipes and concrete lined downchutes. Several crushed stone "bridges" are installed within the half-pipes to allow mowing equipment to cross the half-pipes and gain access to all areas of the landfill slopes. The Contractor must protect all existing gas wells, piping, utilities and structures on the landfill during mowing. Damage to any such wells, piping, utilities and structures by Contractor shall be immediately reported to CRRA and repaired by CRRA at the Contractor's sole expense.

Contractor shall immediately repair any damage to the vegetation caused by mowing activities (disturbance of the root zone or ruts caused by Contractor's equipment) by leveling and seeding the damaged area and providing straw or equivalent mulch until vegetation is re-established. Any such damage to vegetation shall be immediately reported to CRRA and repaired by Contractor at Contractor's sole expense.

The Landfill Mowing shall occur in two distinct waste disposal areas which are described below:

(a) Bulky Waste/Interim Ash Area - This area is where bulky and non-processible wastes are deposited, the vegetated portion of which is approximately 45 acres in size within the western and southern portions of the site. Slopes in this area are as steep as 2H:1V.

(b) Phase 1 Ash Area - This area consists of approximately 17 acres located at the northern end of the site. Slopes in this area are as steep as 2H:1V.

Contractor shall, on an annual basis during the first two weeks of August, or as directed by CRRA, perform the following services:

- (a) Mowing of all vegetation in the areas described by (a) and (b) above as directed annually by CRRA. Areas shall be moved to a uniform finished height of approximately six (6) inches. CRRA reserves the right to direct Contractor to mow all vegetated areas of the landfill, no vegetated areas of the landfill, or some portion of the vegetated areas of the landfill.
- (b) Mowing, using a power trimmer or similar equipment, of all areas that are not accessible with mowing equipment.
- (c) Trimming of all vegetation from around all above-ground piping, gas wells, utilities and structures located on the landfill.

CRRA makes no representations or guarantees that these areas can be mowed with standard mowing equipment.

A Landfill Mowing Service shall be complete within three (3) weeks of its start.

**Exhibit C**, "Contract Price," provides a price for landfill mowing on a per acre basis for both the "Flat" 4% slope areas and the "Steep" 2H:1V and 3H:1V areas. Contractor shall be paid based on its bid pricing and the measured number of acres of respective "Flat" and "Steep" areas mowed. The measurement shall be determined by CRRA. The Contractor may be present for CRRA's measurement determining the number of acres mowed if it so desires

The total maximum landfill area that may be mowed, as determined by CRRA is approximately 62 acres, including approximately 25 acres of "Flat" area and approximately 37 acres of "Steep" slope area.

## 3. TRIMMING AND PRUNING

Contractor shall, on an annual basis or as directed by CRRA, trim and prune all landscape plantings including; evergreens, shrubbery, bushes and ornamental trees located in all areas mowed as part of the Bi-Weekly Mowing Services (Section 1). Contractor shall prune and remove all overgrowth, dead limbs and branches.

Contractor shall also prune all vegetation within five (5) feet of the existing perimeter access roadways located on the northeast, north, and west sides of the landfill.

Trimming And Pruning Services shall be provided once per year for each type of planting, either in the Spring or Fall, as appropriate to the species of planting.

## 4. WEEDING AND MULCHING

Contractor shall, on an annual basis in July or as directed by CRRA, perform the following services:

- (a) Weeding of landscape beds and removing unwanted vegetation from around the planting areas; and
- (b) Providing a three inch depth of bark mulch around all plantings within the beds.

All landscape plantings shall be kept in good and neat condition.

## 5. GAS AND GROUNDWATER WELL VEGETATIVE CONTROL

Currently, the landfill has approximately 60 gas extraction wells within the Bulky Waste/Interim Ash Area. It also has 4 groundwater flow control wells on the south side of the Landfill property.

Contractor shall, on a monthly basis or as directed by CRRA, perform the following services:

- (a) Clearing and maintaining of walking paths for access to all gas extraction wells and groundwater flow control wells. All vegetation shall be cleared to a maximum ground height of six (6) inches and a minimum width of five (5) feet.
- (b) Clearing and maintaining of vegetation around all gas extraction wells and groundwater flow control wells. All vegetation shall be cleared to a maximum ground height of six (6) inches and a minimum radius of five (5) feet.

It is anticipated that Gas and Groundwater Well Vegetative Control Services will be required approximately five (5) times per year. A Landfill Mowing Service (Section 2) will include all areas subject to Gas and Groundwater Well Vegetative Control Services. Therefore, in a month in which a Landfill Mowing Service occurs, a separate Gas and Groundwater Well Vegetative Control Service will not be required.

#### 6. DRAINAGE SYSTEM VEGETATIVE CONTROL

Contractor shall, on an annual basis or as directed by CRRA, clear brush and excessive vegetation form all drainage channels, ditches, berms, rock-lined downchutes and other drainage features, and maintain these drainage systems free of accumulated vegetation.

## 7. NON-ROUTINE WORK

CRRA's may direct Contractor to perform additional, non-routine work as part of this agreement. Work will be performed based on a mutually acceptable scope of work and price, to be determined at that time and agreed upon by CRRA and Contractor. Non-routine work may include, but not be limited to:

- a. Erosion repair
- b. Repair or replacement of cover soils
- c. Repair or construction of drainage facilities
- d. Maintenance, planting, or removal of vegetation

Such work shall only be performed if mutually agreed to by CRRA and Contractor.

#### 8. SITE ACCESSIBILITY

The Contractor may access the Landfill for the purposes of performing the Work hereunder when requested of CRRA. The Landfill is currently in operation and is manned on a regular basis by CRRA personnel. CRRA staff or CRRA's landfill operator or an authorized representative of CRRA must be present on-site when landscape or mowing services are performed, unless otherwise approved by CRRA. Contractor must provide CRRA with 24 hours notice when landscape or mowing services are to be performed. Contractor may access the site only during the normal business hours, Mondays through Fridays, 7:00 a.m. to 4:30 p.m. All personnel employed by the contractor shall sign in at the scale house each day prior to performing work.

# **EXHIBIT A**

## SCOPE OF WORK

## SHELTON LANDFILL

This Scope Of Work includes an anticipated frequency (i.e., bi-weekly, annually, etc.) for performance of the Work. However, the actual frequency will be determined by CRRA. Nothing in this Agreement shall be construed as a guarantee as to the amount of Work to be done. CRRA reserves the right to increase or decrease the frequency at which this Work is performed.

Contractor shall perform landscape, mowing and snowplowing services at the Shelton Landfill as follows. A site plan for the Shelton Landfill highlighting the areas described herein is included in **Exhibit B**.

#### 1. BI-WEEKLY MOWING SERVICES

Contractor shall, on a bi-weekly basis or as directed by CRRA, perform the following services:

- (a) Mowing of all lawn and landscaped areas (except as noted above) and keeping these areas in neat and well landscaped condition. These areas include the following:
  - (1) Grassed areas along the Landfill side of Route 110 (River Road) from the southerly property line (the Far Mill River Bridge) to the northerly property line (the Family Golf Center);
  - (2) All areas around the Landfill entrance (south gate);
  - (3) All paved parking areas and side roads;
  - (4) Both sides of the main entrance roadway starting at the main gate and proceeding as far as the Southeast Ash area. Areas to be mowed will extend a minimum of 15 feet from the road shoulder for the main entrance roadway; and
  - (5) Areas around all buildings and structures, including the gray house/office at 866 River Road, the scalehouse (trailer), the pretreatment building, the enclosed gas flare compound, the generator enclosure, the gas-to energy facility and the maintenance garage near the Landfill entrance. The areas mowed and landscaped around the above buildings and structures shall extend a minimum of 15 feet from the edge of each structure. Connecting lawns for all of the buildings and structures shall also be mowed and landscaped.

(b) Trimming (using a power trimmer as needed) of areas around all planting and landscaped areas, trees, buildings, telephone poles, above-ground pipes, fencing, guardrails and other structures in the areas described in (a) above. In addition, all areas requiring mowing that are not accessible with a push or ride-on mowing equipment shall be trimmed.

Contractor shall inspect each area to be mowed and/or trimmed and pick up and properly dispose of all litter prior to performing mowing and trimming work.

It is anticipated that Bi-Weekly Mowing Services will be required approximately 11 times per year.

## 2. LANDFILL MOWING SERVICES

The Landfill consists of several sub-areas three of which are included in the Landfill Mowing Services. The three areas are as follows:

- (a) The Primary Landfill (the main 40+ acre landfill mound that is also referred to as the MSW/interim ash area). The primary Landfill consists of a large "mound", in excess of 120 feet in height, with steep sideslopes (in excess of 3 (horizontal) to 1 (vertical)). This section of the Landfill has of a thick soil cover materials (in excess of three feet in most locations) over the waste and the soil cover is densely vegetated and has an irregular surface.
- (b) The Northeast Ash Area (a 3.5 acre mound located in the extreme northeast corner of the Site) The Northeast Area consists of a 3.5-acre mound approximately 70 feet in height. This area has very steep slopes (2 horizontal to 1 vertical) which are terraced approximately every 20 feet. The area is covered with dense vegetation and a two-foot thick soil cap overlying a synthetic liner (HDPE) cover.
- (c) The Southeast Ash Area (a 6.5 acre mound located in the extreme southeast corner of the Site). The Southeast Area occupies about 6.5 acres and is roughly 50 feet in height. This area has very steep slopes (2 horizontal to 1 vertical) which are terraced approximately every 20 feet. The area is covered with dense vegetation and a two-foot thick soil cap overlying a synthetic liner (HDPE) cover.

There are over 70 above ground landfill gas extraction wells protruding from the cover over the Primary Landfill surface, as well as a number of other utilities and structures. For the Northeast and Southeast Ash Areas, the slopes and surfaces of the terraces are equipped with a permanent erosion control fabric. There are also several drainage structures on each area consisting of rock filled gabion basket downchutes, as well as a limited number of other exposed structures, piping, utilities, etc., on or around the landfill areas. The Contractor is to protect all existing gas wells, buried and above-ground piping, utilities, erosion control matting and structures on the landfill during mowing. Damage to any such gas wells, buried

and above-ground piping, utilities, erosion control matting and structures by Contractor shall be immediately reported to CRRA and repaired by CRRA at Contractor's sole expense.

Contractor shall immediately repair any damage to the vegetation caused by mowing activities (disturbance of the root zone or ruts caused by Contractor's equipment) by leveling and seeding the damaged area and providing straw or equivalent mulch until vegetation is re-established. Any such damage to vegetation shall be immediately reported to CRRA and repaired by Contractor at Contractor's sole expense.

Contractor shall, on an annual basis between the last week of July and the second week of August, or as directed by CRRA, perform the following services:

- (a) Mowing of all vegetation, with the exception of areas of large trees and shrubbery (which are to be maintained in-place as directed by CRRA). Areas shall be mowed to a uniform finished height of approximately 6 inches.
- (b) Mowing, using a power trimmer or similar equipment, of all areas that are not accessible with mowing equipment.
- (c) Trimming of all vegetation from around all above-ground piping, gas wells, utilities and structures located on the Landfill.

CRRA makes no representations or guarantees that these areas can be mowed with standard mowing equipment.

A Landfill Mowing Service shall be complete within three (3) weeks of its start.

#### 3. TRIMMING AND PRUNING SERVICES

Contractor shall, on an annual basis or as directed by CRRA, perform the following services:

- (a) Trimming and pruning of all landscape plantings including; evergreens, shrubbery, bushes and ornamental trees located in all areas mowed as part of the Bi-Weekly Mowing Services (Section 1), with the exception of landscape plantings along Route 110 (River Road).
- (b) Pruning and removing all overgrowth, dead limbs and branches.
- (c) Trimming of woody vegetation within five (5) feet of the gravel access roadways around the Southeast and Northeast Ash Areas.
- (d) Pruning of woody vegetation below and within a ten (10) foot radius of all onsite overhead electrical and communications wires.

All landscape plantings shall be kept in good and neat condition.

Trimming And Pruning Services shall be provided once per year for each type of planting, either in the Spring or Fall, as appropriate to the species of planting.

## 4. GAS SYSTEM VEGETATIVE CONTROL SERVICES

Currently, the landfill gas system includes over 70 extraction wells located on the Primary Landfill and 12 continuous monitoring wells located on the north and west perimeter of the site.

Contractor shall, on a monthly basis or as directed by CRRA, perform the following services:

- (a) Clearing and maintaining of continuous walking paths for access to all gas extraction and monitoring wells. All vegetation shall be cleared to a maximum ground height of six (6) inches and a minimum width of five (5) feet and all overhead vegetation within eight (8) feet of the ground shall be cleared.
- (b) Clearing and maintaining of vegetation around all gas extraction wells. All vegetation shall be cleared to a maximum ground height of six (6) inches and a minimum radius of five (5) feet and all overhead vegetation within eight (8) feet of the ground shall be cleared.
- (c) Clearing and maintaining of overhead vegetation outside the thermal oxidizer station enclosure and the generator enclosure. All overhead vegetation within 20 feet of the fence line shall be cleared.
- (d) Removal of all vegetation inside the thermal oxidizer enclosure and mowing of all vegetation inside the generator enclosure, without damaging any of the conduits, electrical wires, or other components of the facility.
- (e) Removal of all vegetation around the Northeast and Southeast vaults and lift stations, and the main lift station.

It is anticipated that Gas System Vegetative Control Services will be required approximately five (5) times per year. A Landfill Mowing Service (Section 2) will include all areas subject to Gas System Vegetative Control. Therefore, in a month in which a Landfill Mowing Service occurs, a separate Gas System Vegetative Control Service will not be required.

#### 5. DRAINAGE SYSTEM VEGETATIVE CONTROL SERVICES

Contractor shall, on an annual basis or as directed by CRRA, clear brush and excessive vegetation from all drainage channels, ditches, berms, rock lined downchutes and other drainage features and maintain these drainage systems free of accumulated vegetation.

#### 6. METAL HYDROXIDE CELL MOWING SERVICES

Contractor shall, on a monthly basis or as directed by CRRA, perform the following services on the Metal Hydroxide (Hazardous Waste) Landfill Cell:

- (a) Mowing of the vegetative grass cover. Grass shall be cut to a depth of two (2) to three (3) inches; and
- (b) Trimming of all grass around signs, fencing and posts where needed.

It is anticipated that Metal Hydroxide Cell Monthly Mowing Services will be required approximately five (5) times per year.

#### 7. SNOWPLOWING SERVICES

Contractor shall plow the following areas whenever three (3) or more inches of frozen precipitation falls at the site:

- (a) All paved areas from the north gate at the southern entrance to the site, past the old scale, and down to the flare station;
- (b) The paved and gravel access road to the top of the Primary Landfill;
- (c) The gravel access road to the metal hydroxide area; and
- (d) The gravel perimeter access road around the Primary Landfill, the Northeast Ash Area and the Southeast Ash Area, including all connecting roads.
- (e) Contractor shall clear snow from in front of all swinging gates including the north gate of the southern entrance to the site, the gate near the old truck wash, the gates at the flare and generator enclosure, and the gate at the north end of the landfill.

Plowing shall be complete by the end of the business day following the end of the precipitation.

Contractor shall be paid for snow plowing services based on the depth of snow plowed as indicated in **Exhibit C**, "Contract Price." The depth of snow shall be measured and mutually agreed upon by CRRA and the Contractor.

## 8. SANDING SERVICES

Contractor shall provide a price per event to sand any area on site requested by CRRA. Price shall include the amount of sand deployed per event. Sanding shall only be done when specifically requested by CRRA.

Sanding shall be complete within 24 hours of receiving a request by CRRA.

#### 9. NON-ROUTINE WORK

CRRA's may direct Contractor to perform additional, non-routine work as part of this agreement. Work will be performed based on a mutually acceptable scope of work and price, to be determined at that time and agreed upon by CRRA and Contractor. Non-routine work may include, but not be limited to:

- a. Erosion repair
- b. Repair or replacement of cover soils
- c. Repair or construction of drainage facilities
- d. Maintenance, planting, or removal of vegetation

Such work shall only be performed if mutually agreed to by CRRA and Contractor.

#### 10. SITE ACCESSIBILITY

The Contractor may access the Landfill for the purposes of performing the Work hereunder when requested of CRRA. The Landfill is no longer in operation and is not staffed on a regular basis by CRRA personnel. CRRA staff, an authorized representative of CRRA or CRRA's landfill gas system operator must be present on-site when landscape, mowing or snowplowing services are performed, unless otherwise approved by CRRA. Contractor must provide CRRA with 24 hours notice when landscape, mowing or snowplowing services are to be performed. Contractor may access the Site only during the normal business hours, Mondays through Fridays, 8:00 a.m. to 4:00 p.m.

### **EXHIBIT A**

### **SCOPE OF WORK**

#### WALLINGFORD LANDFILL

This Scope Of Work includes an anticipated frequency (i.e., bi-weekly, annually, etc.) for performance of the Work. However, the actual frequency will be determined by CRRA. Nothing in this Agreement shall be construed as a guarantee as to the amount of Work to be done. CRRA reserves the right to increase or decrease the frequency at which this Work is performed.

Contractor shall perform landscape and mowing services at the Wallingford Landfill as follows. A site plan for the Wallingford Landfill highlighting the areas described herein is included in **Exhibit B**.

#### 1. BI-WEEKLY MOWING

Contractor shall, on a bi-weekly basis or as directed by CRRA, perform the following services:

- (a) Mowing of all lawn and landscaped areas (except as noted above) and keeping these areas in neat and well landscaped condition. These areas include the following:
  - (1) The Landfill entrance, from the front entrance gate to the scale house and around the scale house:
  - (2) Along the exterior of the Landfill between Pent Road, Ball Road and South Cherry Street and the Landfill perimeter fence;
  - (3) Inside the perimeter fence along Ball Road and South Cherry Street within 10 feet of the fence; and
  - (4) The areas along the interior east side of the Landfill, between the perimeter fence and the dirt access road at the toe of the Primary Landfill slope, on both the north and south sides of the scalehouse (approximately 3.5 acres).
- (b) Trimming (using a power trimmer as needed) of areas around all planting and landscaped areas, trees, buildings, telephone poles, above-ground pipes, fencing, guardrails and other structures in the areas described in (a) above. In addition, all areas requiring mowing that are not accessible with a push or ride-on mowing equipment shall be trimmed.

Contractor shall inspect each area to be mowed and/or trimmed and pick up and properly dispose of all litter prior to performing mowing and trimming work.

It is anticipated that Bi-Weekly Mowing Services will be required approximately 11 times per year.

#### 2. LANDFILL MOWING

The various components of the Landfill consist of large mounds with steep side slopes (in excess of 3 (horizontal) to 1 (vertical)). The Landfill components are covered with approximately 24 inches of clean fill over the waste material and the fill is densely vegetated.

The Contractor is to protect all piping, utilities and structures on the Landfill during mowing. Damage to any such wells, piping, utilities and structures by Contractor shall be immediately reported to CRRA and repaired at Contractor's sole expense. Damage to any such gas wells, utilities and structures by Contractor shall be immediately reported to CRRA and repaired by CRRA at Contractor's sole expense.

Contractor shall immediately repair any damage to the vegetation caused by mowing activities (disturbance of the root zone or ruts caused by Contractor's equipment) by leveling and seeding the damaged area and providing straw or equivalent mulch until vegetation is re-established. Any such damage to vegetation shall be immediately reported to CRRA and repaired by Contractor at Contractor's sole expense.

Contractor shall, on an annual basis between the last week of July and the second week of August, or as directed by CRRA, perform the following services:

- (a) Mowing of all vegetation, with the exception of areas of large trees and shrubbery (which are to be maintained in-place as directed by CRRA). Areas shall be mowed to a uniform finished height of approximately six (6) inches.
- (b) Mowing, using a power trimmer or similar equipment, of all areas that are not accessible with mowing equipment.
- (c) Trimming of all vegetation from around all above-ground piping, gas wells, utilities and structures located on the Landfill.

CRRA makes no representations or guarantees that these areas can be mowed with standard mowing equipment.

A Landfill Mowing Service shall be complete within three (3) weeks of its start.

#### 3. DRAINAGE SYSTEM VEGETATIVE CONTROL

Contractor shall, on an annual basis or as directed by CRRA, clear brush and excessive vegetation from all drainage channels, ditches, berms, rock lined downchutes and other drainage features and maintain these drainage systems free of accumulated vegetation.

#### 4. METAL HYDROXIDE CELL MOWING

Contractor shall, on a monthly basis or as directed by CRRA, perform the following services on the Metal Hydroxide (Hazardous Waste) Landfill Cell:

- (a) Mowing of the vegetative grass cover. The minimum depth of grass, as-cut, shall be two (2) inches; and
- (b) Trimming of all grass around signs, fencing and posts where needed.

It is anticipated that Metal Hydroxide Cell Monthly Mowing Services will be required approximately five (5) times per year.

#### 5. NON-ROUTINE WORK

CRRA's may direct Contractor to perform additional, non-routine work as part of this agreement. Work will be performed based on a mutually acceptable scope of work and price, to be determined at that time and agreed upon by CRRA and Contractor. Non-routine work may include, but not be limited to:

- a. Erosion repair
- b. Repair or replacement of cover soils
- c. Repair or construction of drainage facilities
- d. Maintenance, planting, or removal of vegetation

Such work shall only be performed if mutually agreed to by CRRA and Contractor.

#### 6. SITE ACCESSIBILITY

The Contractor may access the Landfill for the purposes of performing the Work hereunder when requested of CRRA. The Landfill is no longer in operation and is staffed on a regular basis only by personnel at the resident drop-off area. CRRA staff or an authorized representative of CRRA must be present on-site when landscape or mowing services are performed, unless otherwise approved by CRRA. Contractor must provide CRRA with 24 hours notice when landscape or mowing services are to be performed. Contractor may access the Site only during the normal business hours, Mondays through Fridays, 8:00 a.m. to 4:00 p.m.

# EXHIBIT A SCOPE OF WORK

#### WATERBURY LANDFILL

This Scope Of Work includes an anticipated frequency (i.e., bi-weekly, annually, etc.) for performance of the Work. However, the actual frequency will be determined by CRRA. Nothing in this Agreement shall be construed as a guarantee as to the amount of Work to be done. CRRA reserves the right to increase or decrease the frequency at which this Work is performed.

Contractor shall perform landscape and mowing services at the Waterbury Landfill as follows. A site plan for the Waterbury Landfill highlighting the areas described herein is included in **Exhibit B**.

#### 1. LANDFILL MOWING

The Landfill consists of a mound with one section of steep side slopes (approximately 3 (horizontal) to 1 (vertical)). The Landfill is capped with approximately 24 inches of clean fill over the waste material and the fill is densely vegetated. The total area to be mowed is approximately six acres.

The Contractor is to protect all wells, drainage structures, and any other utilities on the Landfill during mowing. Damage to any such wells, piping, utilities and structures by Contractor shall be immediately reported to CRRA and repaired at Contractor's sole expense. Damage to any such gas wells, utilities and structures by Contractor shall be immediately reported to CRRA and repaired by CRRA at Contractor's sole expense.

Contractor shall immediately repair any damage to the vegetation caused by mowing activities (disturbance of the root zone or ruts caused by Contractor's equipment) by leveling and seeding the damaged area and providing straw or equivalent mulch until vegetation is re-established. Any such damage to vegetation shall be immediately reported to CRRA and repaired by Contractor at Contractor's sole expense.

Contractor shall, on an annual basis between the last week of July and the second week of August, or as directed by CRRA, perform the following services:

- (a) Mowing of all vegetation, with the exception of the perimeter trees and shrubbery (which are to be maintained in-place as directed by CRRA). Areas shall be mowed to a uniform finished height of approximately six (6) inches.
- (b) Mowing, using a power trimmer or similar equipment, of all areas that are not accessible with mowing equipment.

(c) Trimming of all vegetation from around all drainage channels, ditches, berms, rock lined downchutes and other drainage features and maintain these drainage systems free of accumulated vegetation.

CRRA makes no representations or guarantees that these areas can be mowed with standard mowing equipment.

A Landfill Mowing Service shall be complete within one (1) week of its start.

#### 2. NON-ROUTINE WORK

CRRA's may direct Contractor to perform additional, non-routine work as part of this agreement. Work will be performed based on a mutually acceptable scope of work and price, to be determined at that time and agreed upon by CRRA and Contractor. Non-routine work may include, but not be limited to:

- a. Erosion repair
- b. Repair or replacement of cover soils
- c. Repair or construction of drainage facilities
- d. Maintenance, planting, or removal of vegetation

Such work shall only be performed if mutually agreed to by CRRA and Contractor.

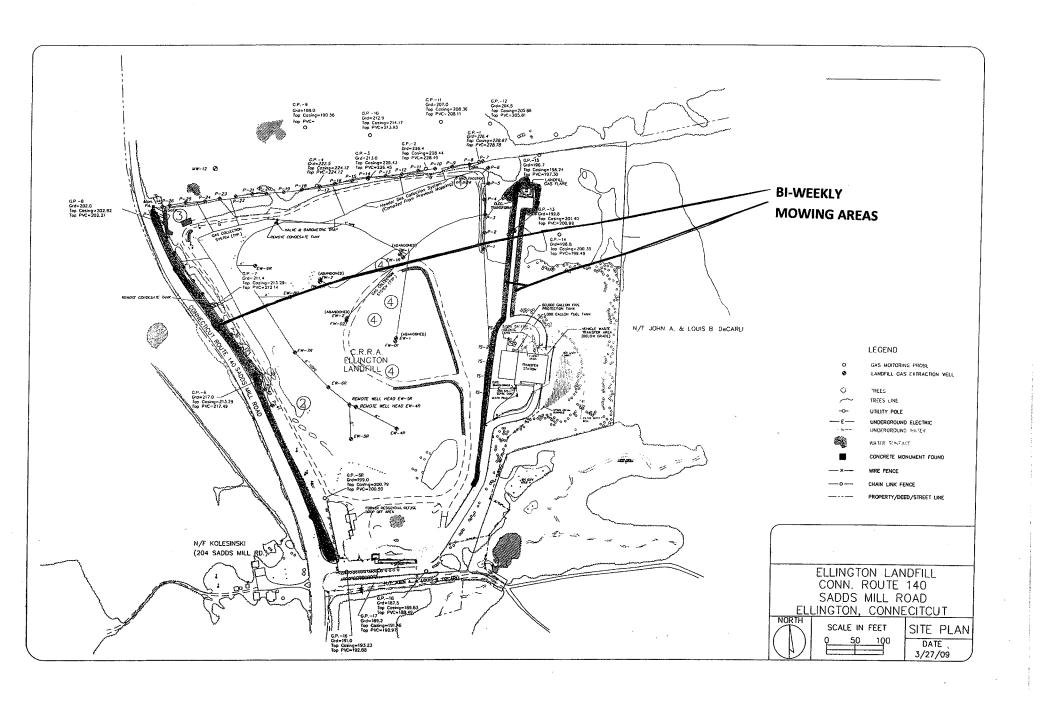
#### 3. SITE ACCESSIBILITY

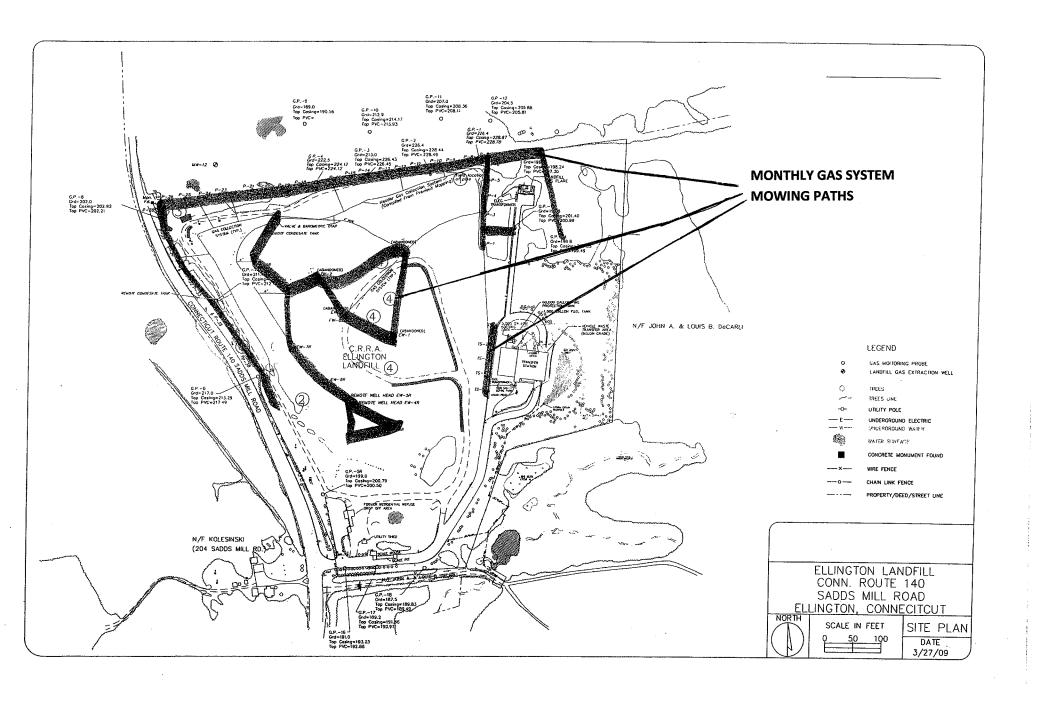
The Contractor may access the Landfill for the purposes of performing the Work hereunder when requested of CRRA. The Landfill is no longer in operation and is not staffed on a regular basis. CRRA staff or an authorized representative of CRRA must be present on-site when landscape or mowing services are performed, unless otherwise approved by CRRA. Contractor must provide CRRA with 24 hours notice when landscape or mowing services are to be performed. Contractor may access the Site only during the normal business hours, Mondays through Fridays, 8:00 a.m. to 4:00 p.m.

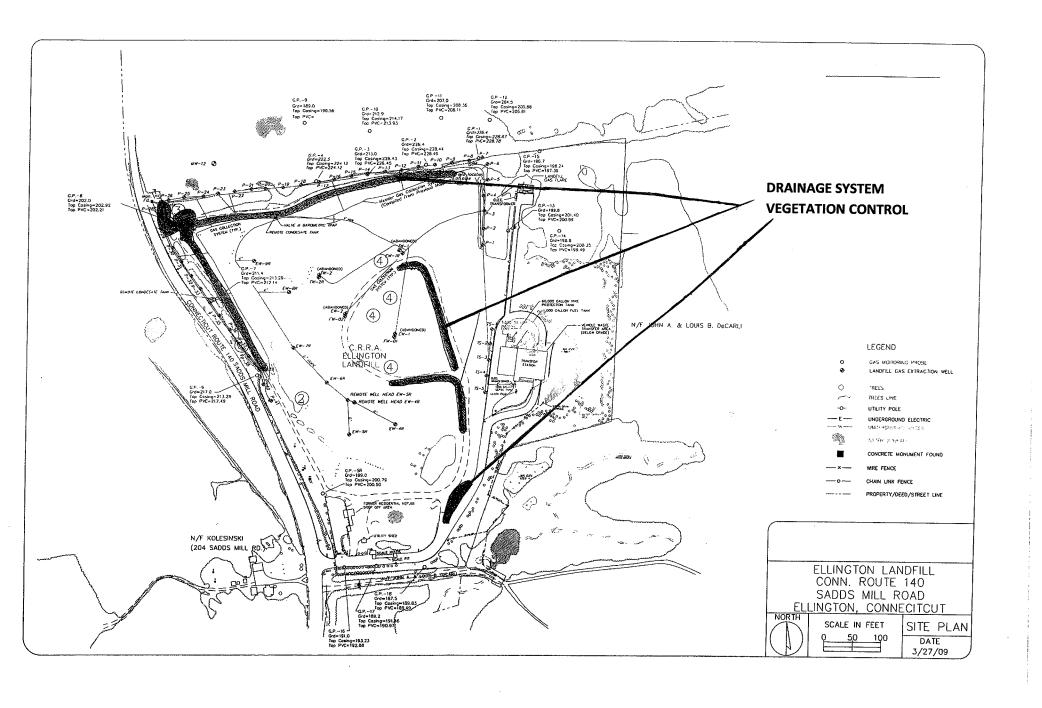
### SITE PLANS

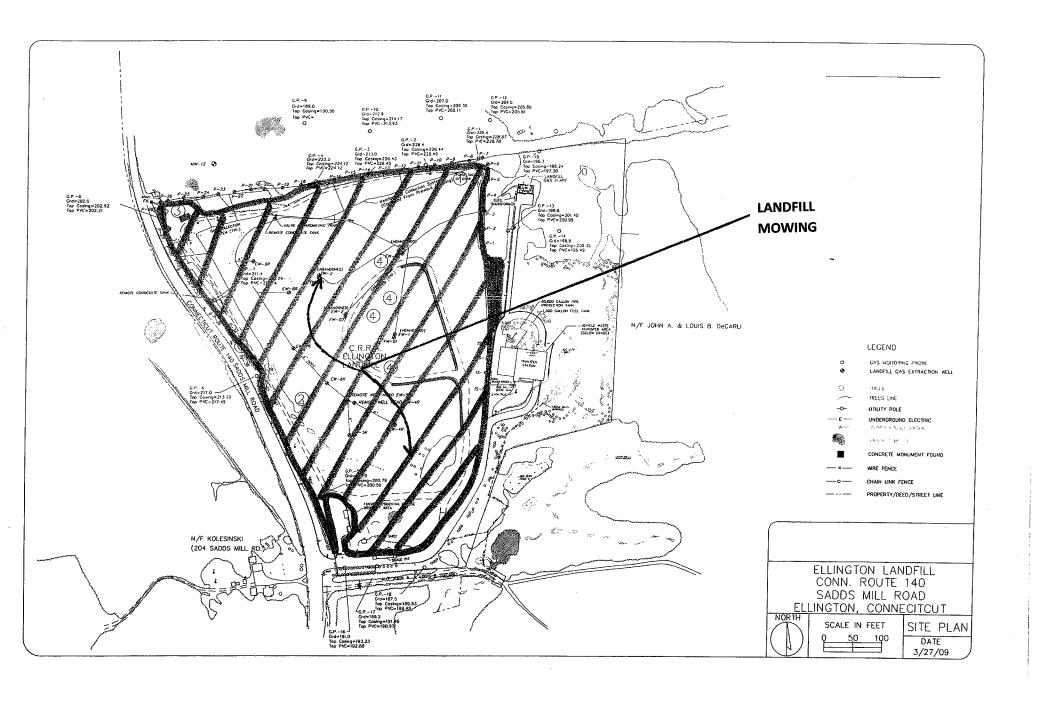
The following pages show the site plans for the CRRA landfills, including:

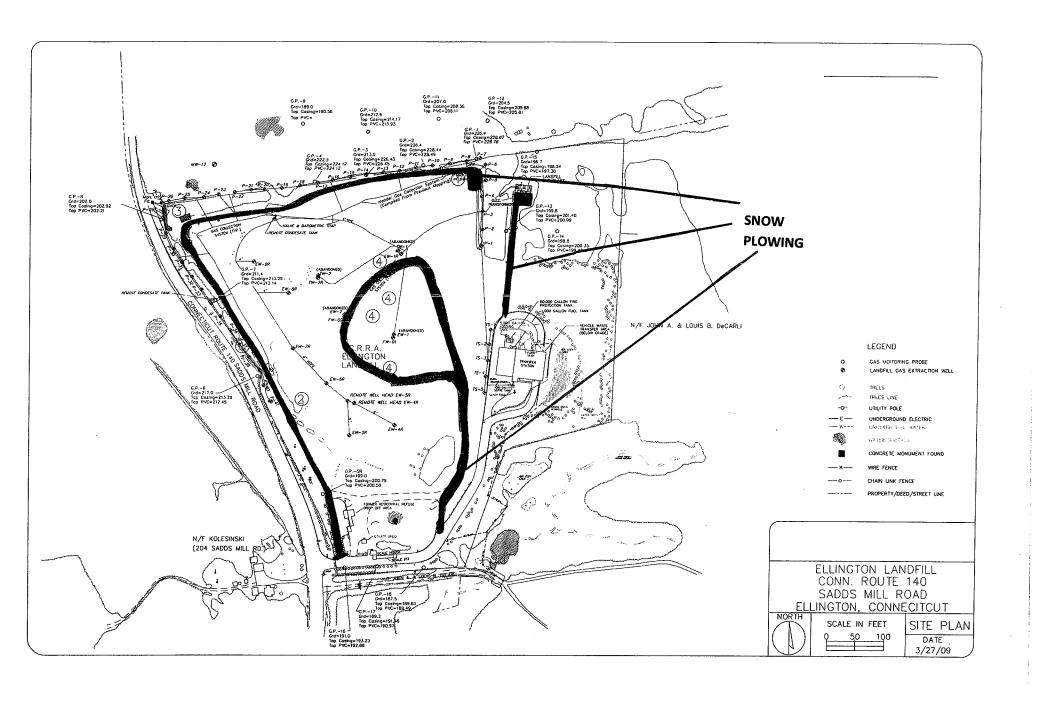
- B1: Site Plan for Ellington Landfill
- B2: Site Plan for Hartford Landfill
- B3: Site Plan for Shelton Landfill
- B4: Site Plan for Wallingford Landfill
- B5: Site Plan for Waterbury Landfill









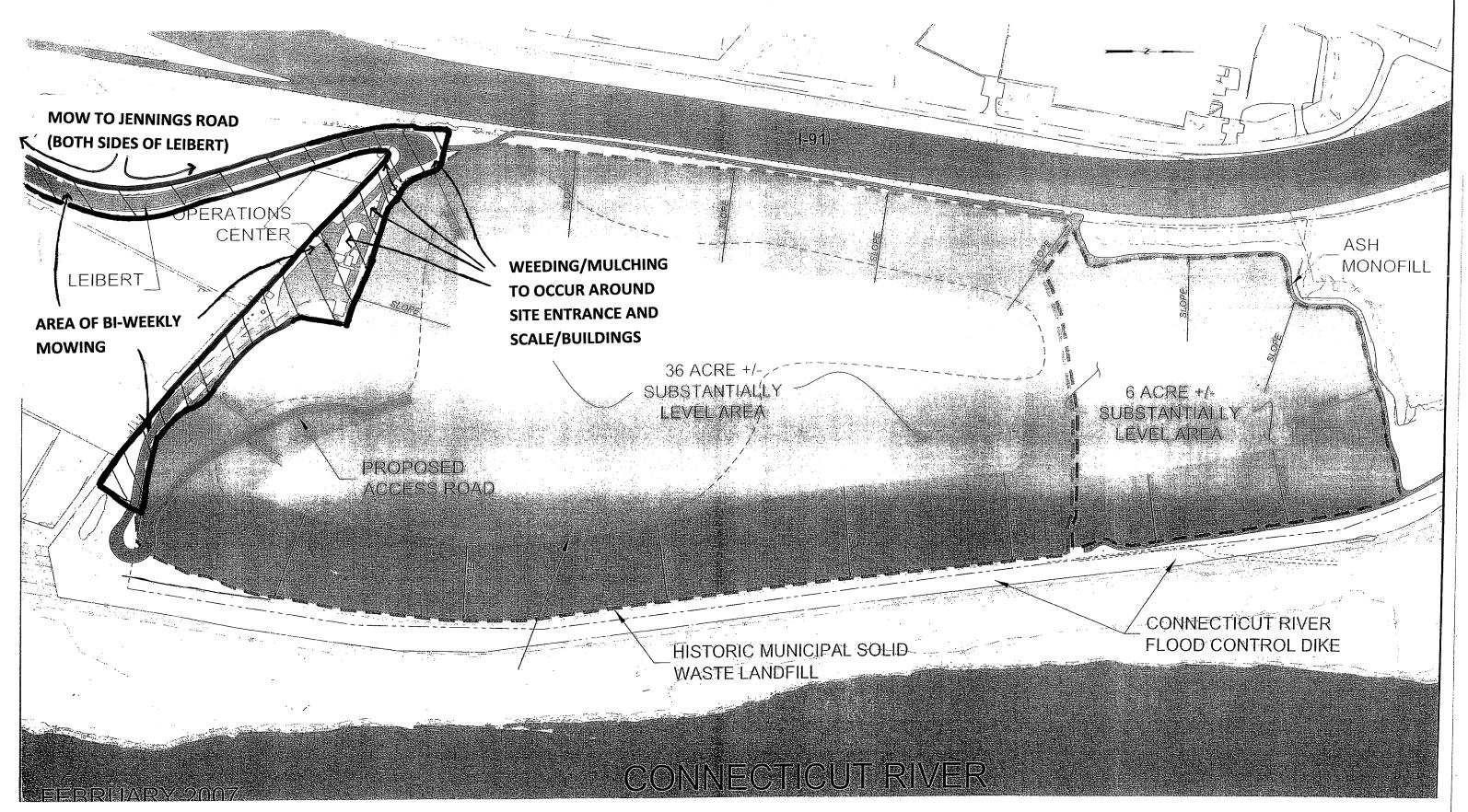


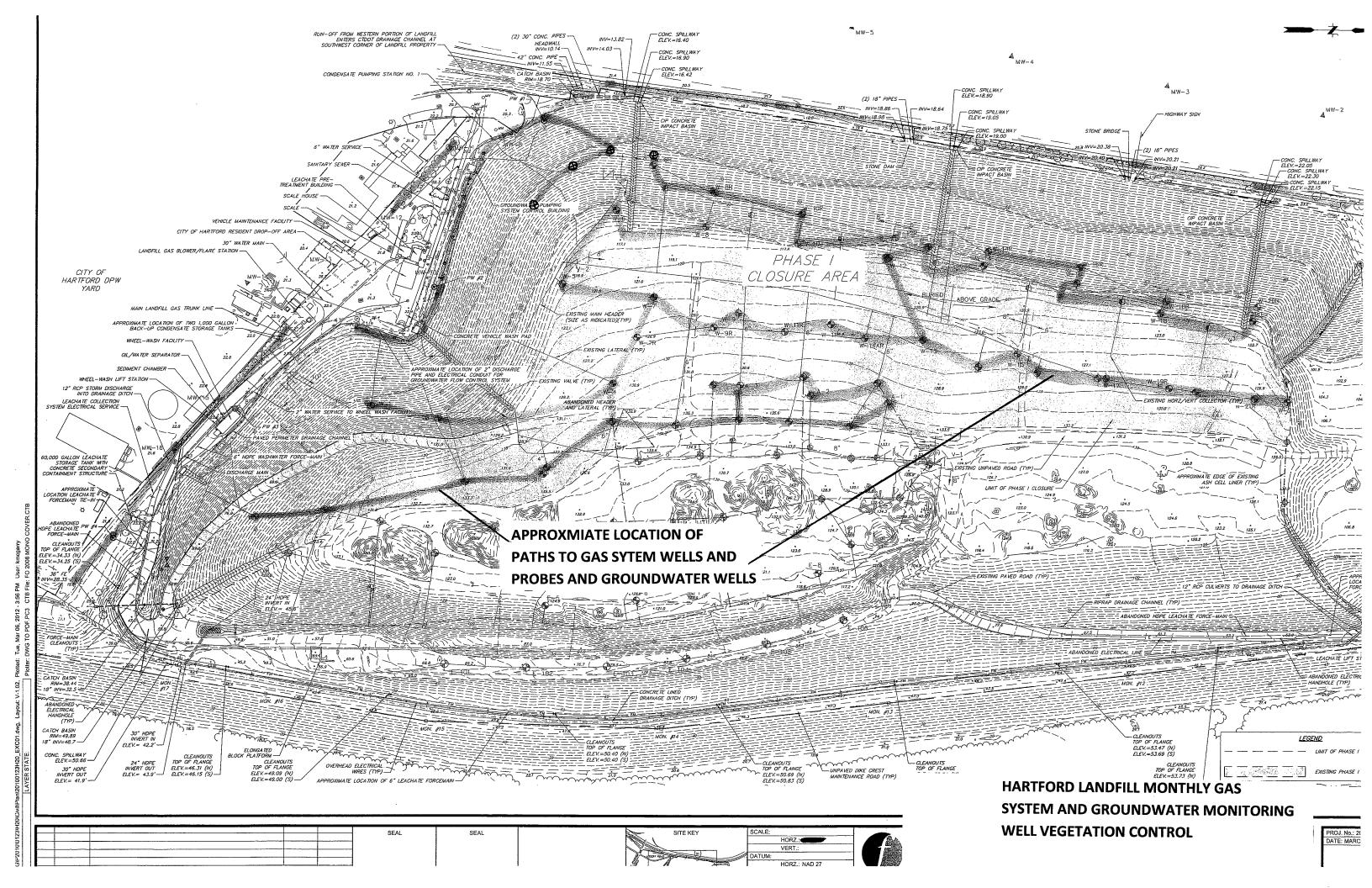


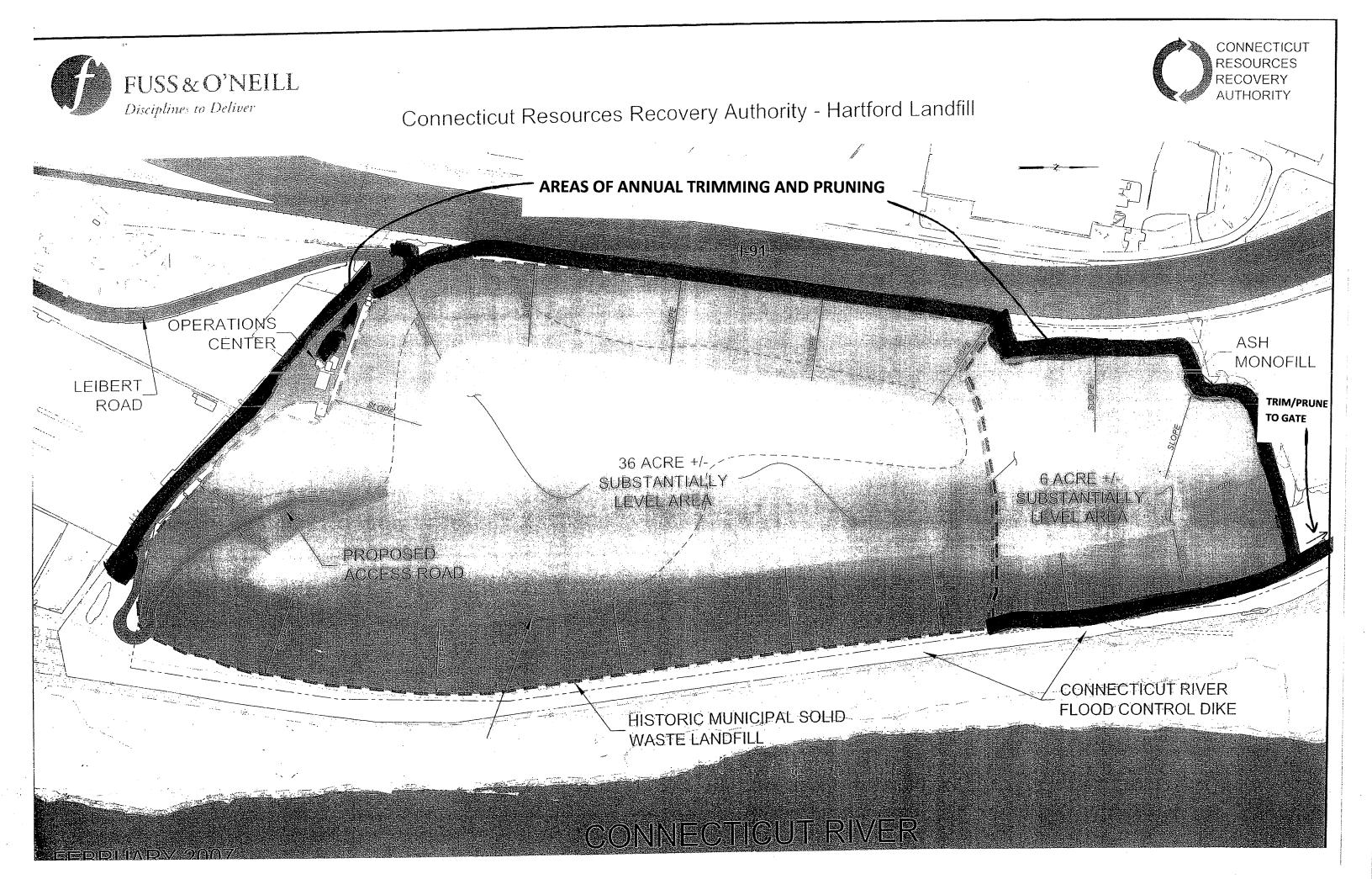
# AREA OF BI-WEEKLY MOWING

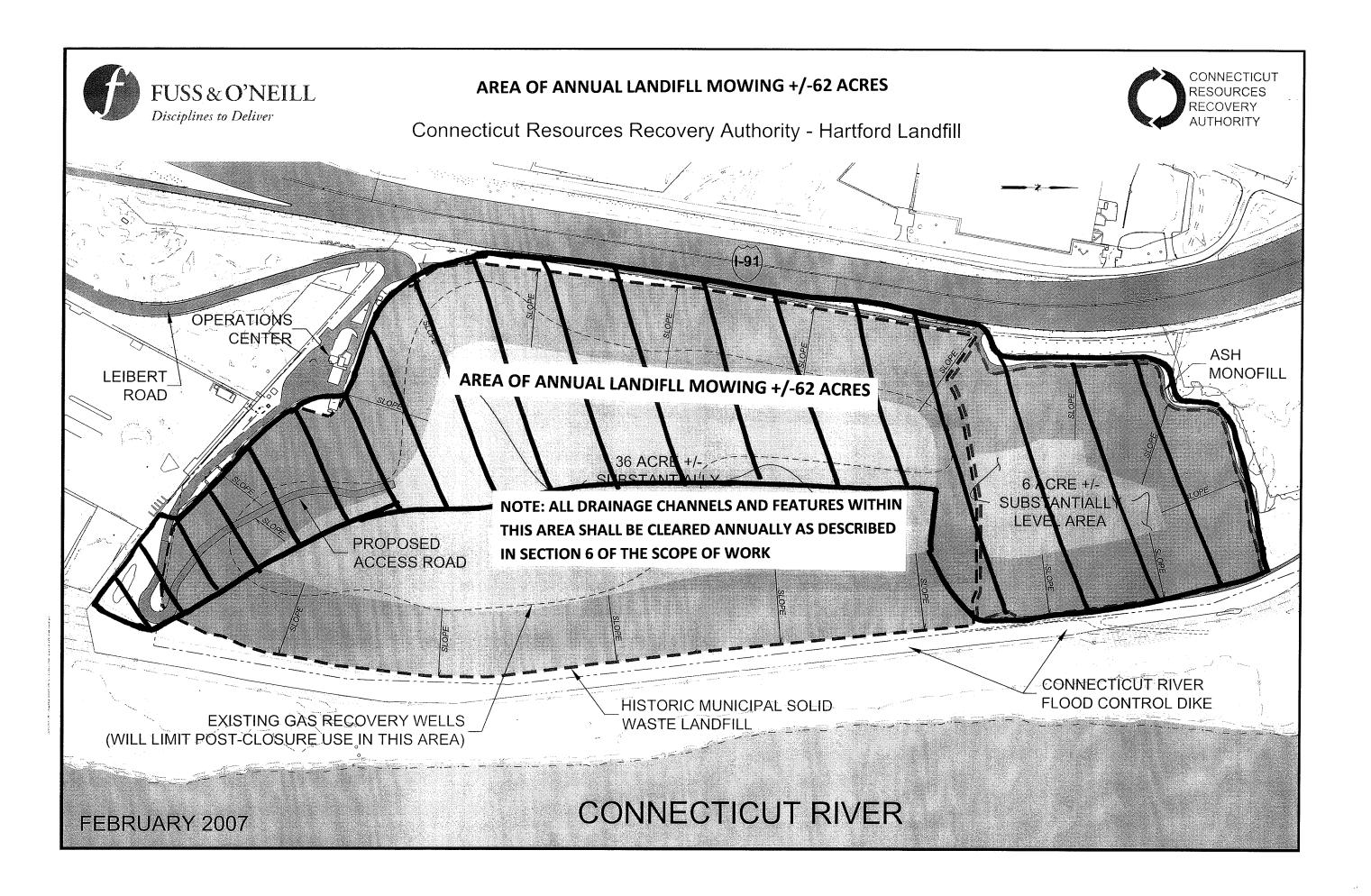


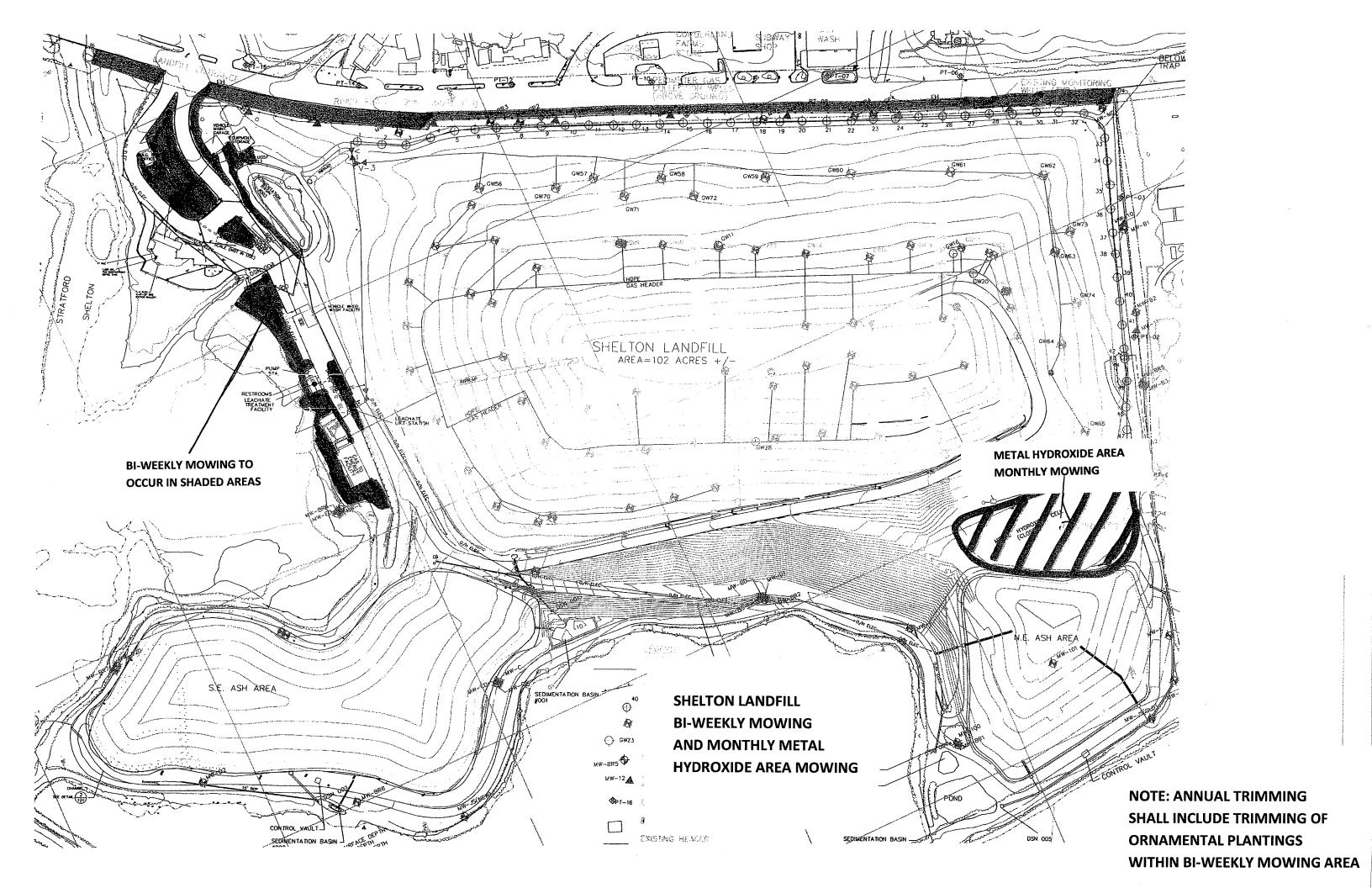
Connecticut Resources Recovery Authority - Hartford Landfill

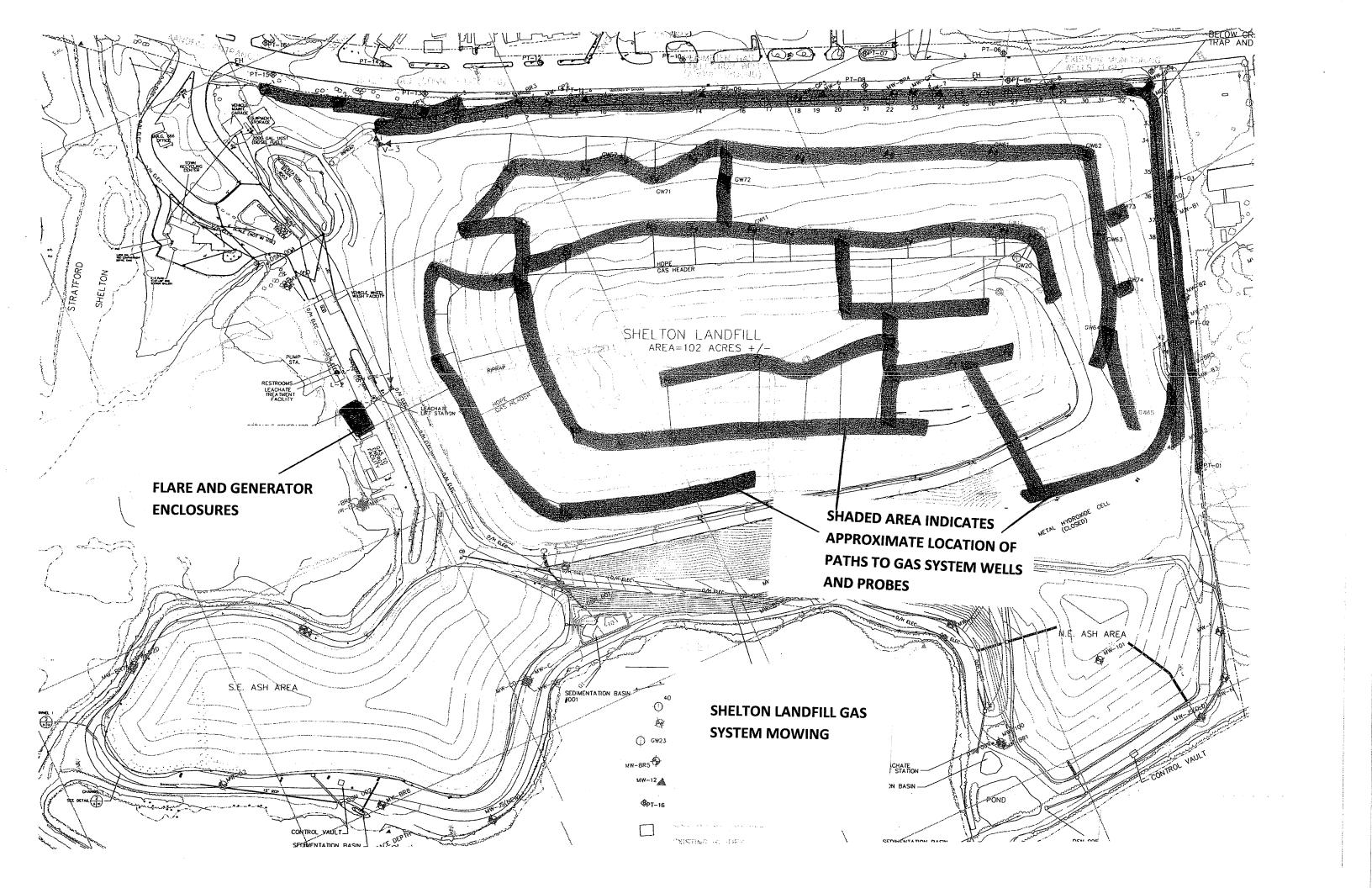


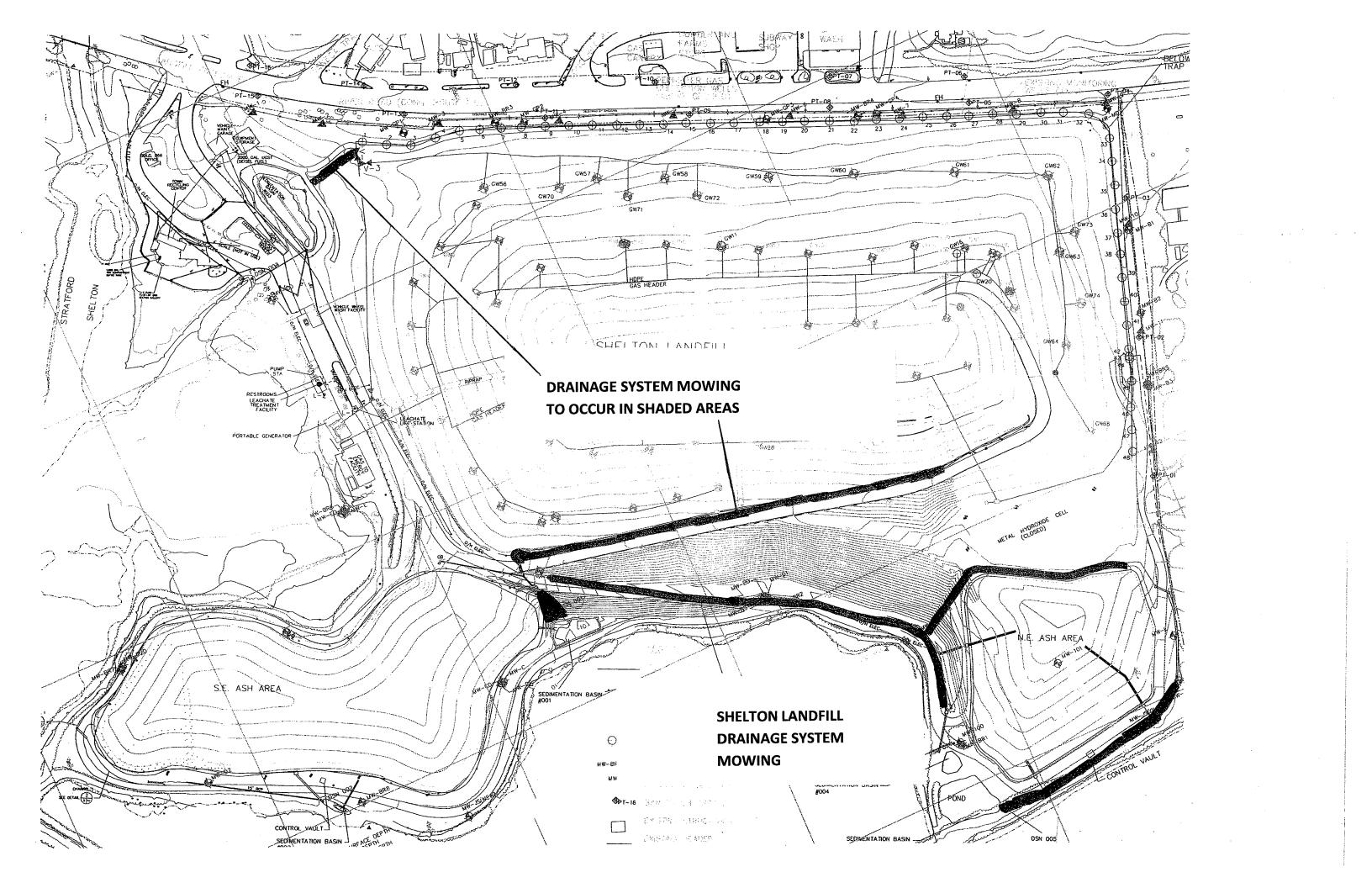


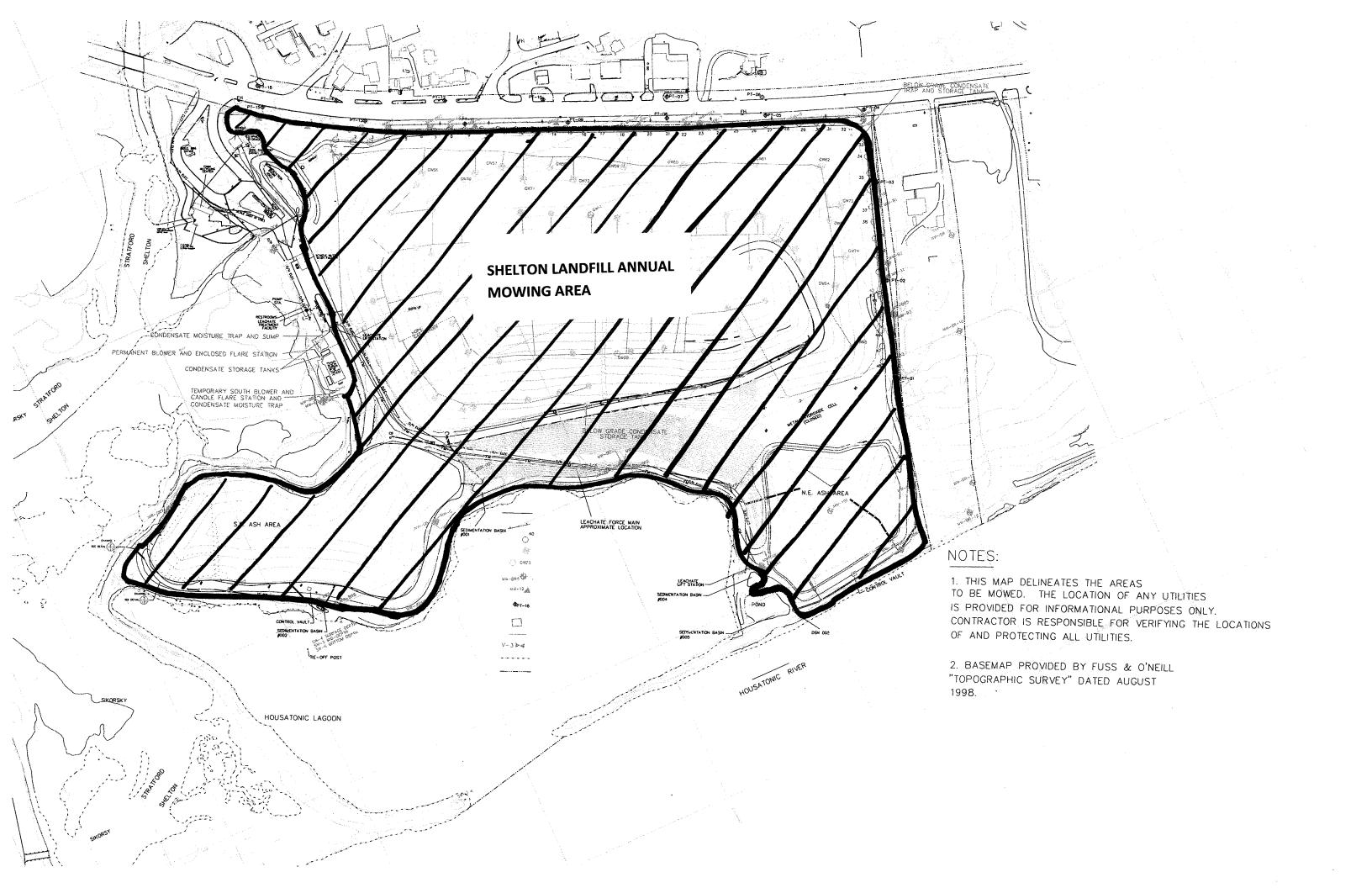


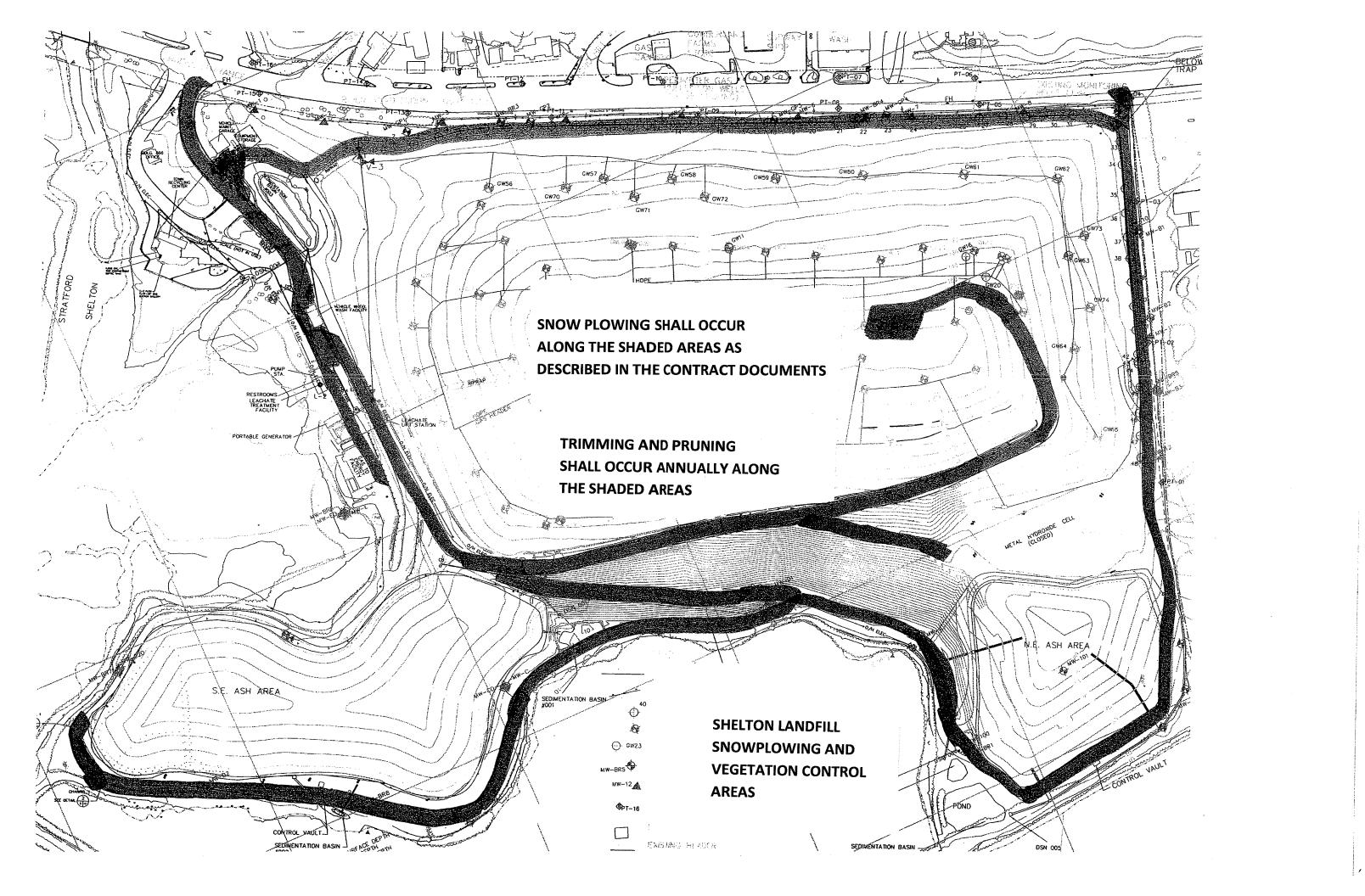


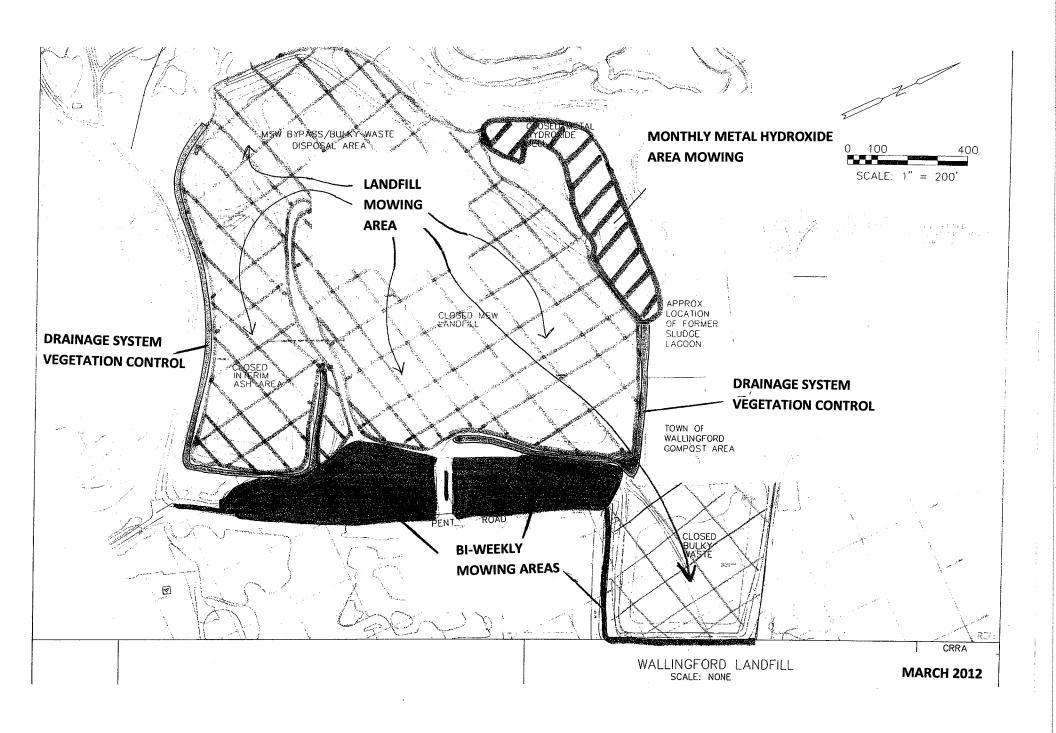


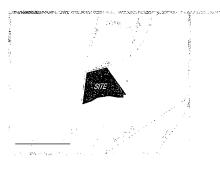












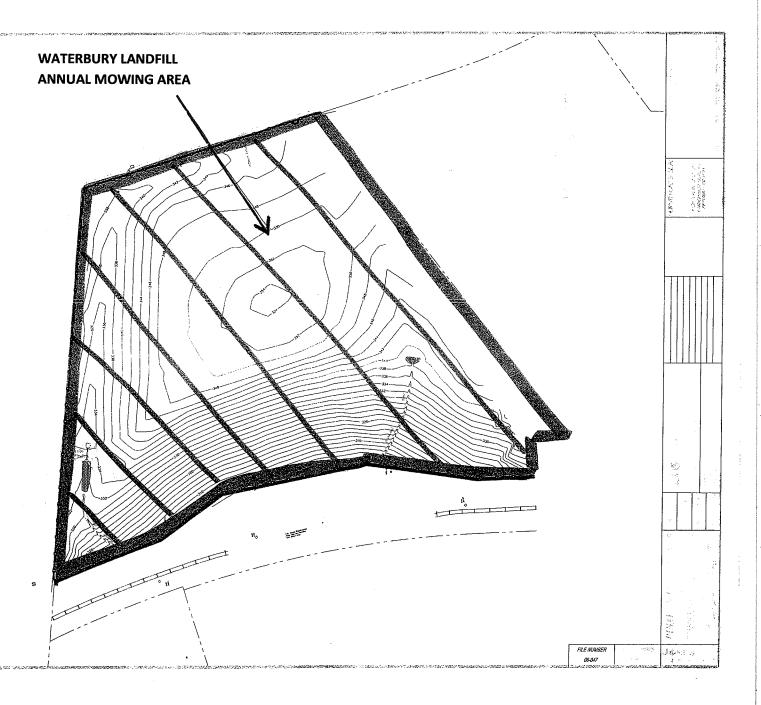
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### **COMPENSATION SCHEDULE**

[The Bidder's "Bid Payment Rate Schedule Form" that was submitted to CRRA by the Bidder with their Bid, as such Form may be modified based on negotiations between CRRA and Bidder over prices, will be added by CRRA.]

### **MONTHLY BILL FORMAT**

Name of Contracto	or:				
Contract Number	er:				
Billing Perio	d:	:			
Project Nam		Landscape, Mowing And Snowplowing Work At Connecticut Resources Recovery Authority [NAME OF LANDFILL(S)] Landfill(s)			
Purchase Order Number	er:				
TASK (Insert Task N	umber and N	lame; Use a separa	ite set of t	ables for	each task.)
Personnel	Title	Work Performed	Hours	Rate	Amount
(Insert Name of Person who worked on Task) (Insert Name of Person					
who worked on Task)					
(Insert Name of Person who worked on Task)					
Subtotal Personnel					
Ancillary Services/Equipment Units			Rate	Amount	
(Insert Name of Ancillary Services/Equipment used for Task)					
(Insert Name of Ancillary Services/Equipment used for Task) (Insert Name of Ancillary Services/Equipment used for Task)					
Subtotal Ancillary Services/Equipment					
Subcontractors		Invoice Amount*	Mark- up	Amount	
(Insert name of subcontractor who worked on Task)					
(Insert name of subcontractor who worked on Task)					
(Insert name of subcontractor who worked on Task)					
Subtotal Subcontractors					
Subtotal for Task (Insert Task Number)					
TOTAL (Insert hilling per	ind for which h	ill is haina suhmittad)			



# TRAVEL POLICY AND EXPENSE REPORTING

BOARD OF DIRECTORS POLICY AND PROCEDURE NUMBER 032

APPROVED BY CRRA BOARD OF DIRECTORS SEPTEMBER 29, 2005

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# CONNECTICUT RESOURCES RECOVERY AUTHORITY TRAVEL POLICY AND EXPENSE REPORTING

#### 1. GENERAL STATEMENT

This Travel Policy and Expense Reporting guide presents the policies that all CRRA employees (hereafter "employee(s)") must adhere to in the planning and conducting of their business travel and their reimbursement requests. CRRA requires that all travel expenditures and their accountings meet the Internal Revenue Service requirements of "ordinary, necessary and reasonable" and should be conservative and consistent with the nature of the business assignment. These policies safeguard CRRA and protect the employee from being assessed additional taxable income. All employees are expected to fully comply with the policies and instructions in this guide. Reimbursements for actual and necessary expenses made to Directors of CRRA shall be made consistent with the provisions of this Travel Policy And Expense Reporting guide; however, as stated in the Connecticut General Statutes, Directors shall not be required to obtain pre-approval from the President for any expenses.

#### 2. APPROVALS

Prior written approval by the President or the employee's Division Head at least one (1) week in advance is required for all overnight trips out of state, except in an emergency. It is the obligation of the employee to obtain this prior approval and no reimbursement will be made without this approval.

Prior written approval by the President or the employee's Division Head at least one (1) week in advance is required for all employee trips that are for educational seminars, professional conferences, vendor-initiated field trips, and industry organization events.

To obtain written approval, the employee must complete the overnight travel form, and, if a cash advance is requested, complete a cash advance form that estimates the out-of-pocket expenses, and submit the competed form(s) to the appropriate Division Head or President in as far in advance as possible of departure date.

#### 3. TRANSPORTATION

Transportation expenses should be kept to a minimum. The most direct and practical route should be selected.

1 of 5 P&P No.: 032 Effective Date: 09/29/05

#### 3.1 Rental Automobile

Rental car expenses will be paid by CRRA and whenever possible should be billed directly to CRRA to take advantage of CRRA's tax-exempt status and any other discounts available to CRRA.

#### 3.1.1 Insurance

#### 3.1.1.1 Business Use Of A Rental Automobile

Employees on business do not need to purchase additional insurance coverage (collision damage waiver or excess liability) from the rental company. The Corporate Insurance Program covers these risks. Please note that all vehicles must be rented in CRRA's name to have CRRA's policy cover the employee.

#### 3.1.1.2 Personal Use Of A Rental Automobile

Employees are prohibited from using a CRRA rental automobile for personal use. Personal use that is incidental to CRRA business use will be covered by the CRRA insurance policy as long as the vehicle was rented in CRRA's name. Incidental usage is defined as usage of the vehicle that is directly related to business usage (e.g. mileage to get meals on a business trip).

#### 3.2 Business Use Of Employee's Car

#### 3.2.1 Reimbursement Rate

The reimbursement rate for an employee's use of their personal automobile for CRRA business is the IRS approved rate, as adjusted from time to time by the IRS, for employee use of their personal car on business. The above mileage reimbursement allowance for business use of an employee's vehicle is calculated in a manner that takes into account all auto-related expenses, including the cost of carrying insurance (without a deductible). Therefore, CRRA will not reimburse an employee for vehicle damage or personal liability that occurs while a personal automobile is being used on CRRA business if the employee drives their personal vehicle 2,500 miles per year or more. This includes any deductible that may apply. However, if an employee's vehicle is driven on company business 2,500 miles or less annually, and is involved in a motor vehicle accident, CRRA will reimburse the employee through the normal expense reimbursement process for their physical damage deductible up to a maximum of \$500.00 per accident. Evidence of the payment of the deductible by the employee must be provided to CRRA in order to receive reimbursement. (Traveling on business does not include any travel involved in commuting to or from work, lunch time errands or anything other than authorized business use). Before an employee seeks the foregoing reimbursement for the use of his personal automobile, the

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employee shall provide CRRA with written evidence of his personal automobile insurance with limits as required by the Connecticut General Statutes. The foregoing written proof shall be kept on file in the CRRA Finance Division.

#### 3.2.2 Mileage Calculation

In all travel away from the CRRA office, the employee will be reimbursed using the shortest distance between points. For travel from Hartford to a CRRA facility, the President shall cause the shortest distance to be determined and the President shall cause such determination to be made available to employees. Unless approved by an employee's Division Head, employees shall use the distances determined by the President in all requests for reimbursement for travel from Hartford to a CRRA facility. An employee may request and the employee's Division Head may approve distances other than those determined by the President in extraordinary circumstances when, for reasons beyond the control of the employee, the route of the shortest distance was not reasonably available for use.

In calculating mileage, the normal commute mileage to and from the employee's home to the employee's assigned place of work must be deducted from the total trip mileage. For example, if the total trip mileage equals 100 miles, and normal commute mileage equals 20 miles, CRRA will reimburse the employee for 80 miles. This is in accordance with Internal Revenue Service and State of Connecticut policy.

#### 3.2.3 Tolls/Parking

No receipts are necessary for tolls or parking unless they exceed five (\$5.00) dollars

#### Air Travel

All air travel requires prior approval from the CRRA President. For approved travel, CRRA will reimburse employees only for coach accommodations. Employees are encouraged to inquire about discount packages and to take advantage of the least costly route whenever possible. When an employee plans a trip, the reservations should be made as far in advance as practical to obtain the lowest rate. All approved air travel for the previous month shall be reported to the CRRA Board of Directors at its next Board Meeting.

#### 3.4 Taxis

Taxi service may be used when no other form of public transportation is available or when the cost of a taxi is close to the cost of public transportation. Employees are encouraged to use courtesy cars, airport limousines, or buses whenever possible. Since some taxi services do not provide receipts, you should have the back of your business card signed, dated, and the amount of the fare indicated by the driver.

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#### 3.5 CRRA Owned Automobiles

Please refer to the CRRA Vehicle Usage Policy adopted by the CRRA Board of Directors at its November 21, 2003, Board of Directors Meeting.

#### 4. MEALS

Permissible expenditures for meals and tips depend on location and circumstances. Only reasonable and customary charges will be allowed and reimbursed by CRRA. An exception may be granted by the President in unusual circumstances. In-state breakfast, lunch, and dinner will not be reimbursed unless they involve a business meeting.

#### 5. LODGING

Lodging accommodations in reasonable and economically priced single occupancy rooms, including customary tips, are reimbursable if the employee has to stay away from home overnight because of unfinished business or an early morning business meeting.

Employees should request government rates at the time of making reservations.

#### 6. INCIDENTALS

The incidentals allowance encompasses such things as gratuities and one telephone call a day of reasonable duration to the employee's home. It is anticipated that the cost of such calls generally will appear on the employee's hotel bill.

#### 7. PERSONAL EXPENSES

Some travel expenses are considered personal and CRRA will not reimburse them. The following, while not all inclusive, lists examples of such personal expenses that are not reimbursable expenses: amusements, athletic events, barbers, books for personal reading, athletic court or gym costs, damage to luggage, fines, hair stylists, magazines, newspapers, movies, and saunas.

#### 8. OTHER BUSINESS EXPENSES

With prior approval of the President, CRRA will reimburse an employee for the incidental costs necessary to further an important CRRA business purpose. Any foregoing expense must be reported to the Board at the Board's next Board of Directors meeting. Any such expense must be documented by showing the following:

- The name(s) of the person or persons and the location and nature of the expense.
- The business relationship with CRRA.
- The specific business reason for the expense.
- The actual business conducted.

4 of 5 P&P No.: 032 Effective Date: 09/29/05 CRRA will not reimburse the cost of home entertaining.

#### 9. **EXPENSE REPORTING**

All expense reporting must be submitted to CRRA using the CRRA expense reimbursement form(s) within twenty working days after the day the employee returns from his/her trip.

#### 10. RECEIPTS

Employees shall obtain receipts for all travel expenses, exclusive of mileage reimbursement. This includes receipts for all meals, airfare, bus fare, taxi, toll or parking charges in excess of \$5.00 dollars, limousine, hotel, and registration fees. Travel expenses in excess of the stated guidelines herein will be reimbursed only if all receipts accompany expense vouchers. Expenses submitted without a receipt, except for gratuity and certain transfer charges, may not be reimbursed.

Original receipts are required for all entertainment.

#### 11. EXCEPTIONS

Exceptions to these travel and expense guidelines will be authorized only upon the prior authorization of President when the circumstances warrant. Any such exception to these travel and expense guidelines should be documented and the President should notify the CRRA Board of Directors of such exception at the Board's next Board Meeting.

#### **ORIGINAL**

Approved by: **Board of Directors** 

Effective Date: 05/20/04

#### **REVISION 1**

Jim Bolduc, Chief Financial Officer Prepared by:

Approved by: **Board of Directors** 

Effective Date: 09/29/05

> 5 of 5 Effective Date: 09/29/05

P&P No.: 032

#### **SEEC FORM 11**

## NOTICE TO EXECUTIVE BRANCH STATE CONTRACTORS AND PROSPECTIVE STATE CONTRACTORS OF CAMPAIGN CONTRIBUTION AND SOLICITATION BAN

This notice is provided under the authority of Connecticut General Statutes 9-612(g)(2), as amended by P.A. 07-1, and is for the purpose of informing state contractors and prospective state contractors of the following law (italicized words are defined on the following page):

#### Campaign Contribution and Solicitation Ban

No state contractor, prospective state contractor, principal of a state contractor or principal of a prospective state contractor, with regard to a state contract or state contract solicitation with or from a state agency in the executive branch or a quasi-public agency or a holder, or principal of a holder of a valid prequalification certificate, shall make a contribution to, or solicit contributions on behalf of (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of Governor, Lieutenant Governor, Attorney General, State Comptroller, Secretary of the State or State Treasurer, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee;

In addition, no holder or principal of a holder of a valid prequalification certificate, shall make a contribution to, or solicit contributions on behalf of (i) an exploratory committee or candidate committee established by a candidate for nomination or election to the office of State senator or State representative, (ii) a political committee authorized to make contributions or expenditures to or for the benefit of such candidates, or (iii) a party committee.

#### **Duty to Inform**

State contractors and prospective state contractors are required to inform their principals of the above prohibitions, as applicable, and the possible penalties and other consequences of any violation thereof.

#### **Penalties for Violations**

Contributions or solicitations of contributions made in violation of the above prohibitions may result in the following civil and criminal penalties:

<u>Civil penalties</u>--\$2000 or twice the amount of the prohibited contribution, whichever is greater, against a principal or a contractor. Any state contractor or prospective state contractor which fails to make reasonable efforts to comply with the provisions requiring notice to its principals of these prohibitions and the possible consequences of their violations may also be subject to civil penalties of \$2000 or twice the amount of the prohibited contributions made by their principals.

<u>Criminal penalties</u>—Any knowing and willful violation of the prohibition is a Class D felony, which may subject the violator to imprisonment of not more than 5 years, or \$5000 in fines, or both.

#### **Contract Consequences**

Contributions made or solicited in violation of the above prohibitions may result, in the case of a state contractor, in the contract being voided.

Contributions made or solicited in violation of the above prohibitions, in the case of a prospective state contractor, shall result in the contract described in the state contract solicitation not being awarded to the prospective state contractor, unless the State Elections Enforcement Commission determines that mitigating circumstances exist concerning such violation.

The State will not award any other state contract to anyone found in violation of the above prohibitions for a period of one year after the election for which such contribution is made or solicited, unless the State Elections Enforcement Commission determines that mitigating circumstances exist concerning such violation.

Landscape, Mowing, And Snowplowing Work For CRRA Landfills Form of Agreement Exhibit F

Additional information and the entire text of P.A 07-1 may be found on the website of the State Elections Enforcement Commission, <a href="https://www.ct.gov/seec">www.ct.gov/seec</a>. Click on the link to "State Contractor Contribution Ban."

#### **Definitions**:

"State contractor" means a person, business entity or nonprofit organization that enters into a state contract. Such person, business entity or nonprofit organization shall be deemed to be a state contractor until December thirty-first of the year in which such contract terminates. "State contractor" does not include a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person's capacity as a state or quasi-public agency employee.

"Prospective state contractor" means a person, business entity or nonprofit organization that (i) submits a response to a state contract solicitation by the state, a state agency or a quasi-public agency, or a proposal in response to a request for proposals by the state, a state agency or a quasi-public agency, until the contract has been entered into, or (ii) holds a valid pregualification certificate issued by the Commissioner of Administrative Services under section 4a-100. "Prospective state contractor" does not include a municipality or any other political subdivision of the state, including any entities or associations duly created by the municipality or political subdivision exclusively amongst themselves to further any purpose authorized by statute or charter, or an employee in the executive or legislative branch of state government or a quasi-public agency, whether in the classified or unclassified service and full or part-time, and only in such person's capacity as a state or quasipublic agency employee.

"Principal of a state contractor or prospective state contractor" means (i) any individual who is a member of the board of directors of, or has an ownership interest of five per cent or more in, a state contractor or prospective state contractor, which is a business entity, except for an individual who is a member of the board of directors of a nonprofit organization, (ii) an individual who is employed by a state contractor or prospective state contractor, which is a business entity, as president, treasurer or executive vice president, (iii) an individual who is the chief executive officer of a state contractor or prospective state contractor, which is not a business entity, or if a state contractor or prospective state contractor has no such officer, then the officer who duly possesses comparable powers and duties, (iv) an officer or an employee of any state contractor or prospective state contractor who has managerial or discretionary responsibilities with respect to a state contract, (v) the spouse or a dependent child who is eighteen years of age or older of an individual described in this subparagraph, or (vi) a political committee established or controlled by an individual described in this subparagraph or the business entity or nonprofit organization that is the state contractor or prospective state contractor.

"State contract" means an agreement or contract with the state or any state agency or any quasi-public agency, let through a procurement process or otherwise, having a value of fifty thousand dollars or more, or a combination or series of such agreements or contracts having a value of one hundred thousand dollars or more in a calendar year, for (i) the rendition of services, (ii) the furnishing of any goods, material, supplies, equipment or any items of any kind, (iii) the construction, alteration or repair of any public building or public work, (iv) the acquisition, sale or lease of any land or building, (v) a licensing arrangement, or (vi) a grant, loan or loan guarantee. "State contract" does not include any agreement or contract with the state, any state agency or any quasi-public agency that is exclusively federally funded, an education loan or a loan to an individual for other than commercial purposes.

"State contract solicitation" means a request by a state agency or quasi-public agency, in whatever form issued, including, but not limited to, an invitation to bid, request for proposals, request for information or request for quotes, inviting bids, quotes or other types of submittals, through a competitive procurement process or another process authorized by law waiving competitive procurement.

"Managerial or discretionary responsibilities with respect to a state contract" means having direct, extensive and substantive responsibilities with respect to the negotiation of the state contract and not peripheral, clerical or ministerial responsibilities.

"Dependent child" means a child residing in an individual's household who may legally be claimed as a dependent on the federal income tax of such individual.

"Solicit" means (A) requesting that a contribution be made, (B) participating in any fund-raising activities for a candidate committee, exploratory committee, political committee or party committee, including, but not limited to, forwarding tickets to potential contributors, receiving contributions for transmission to any such committee or bundling contributions, (C) serving as chairperson, treasurer or deputy treasurer of any such committee, or (D) establishing a political committee for the sole purpose of soliciting or receiving contributions for any committee. Solicit does not include: (i) making a contribution that is otherwise permitted by Chapter 155 of the Connecticut General Statutes; (ii) informing any person of a position taken by a candidate for public office or a public official, (iii) notifying the person of any activities of, or contact information for, any candidate for public office; or (iv) serving as a member in any party committee or as an officer of such committee that is not otherwise prohibited in this section.

### **CERTIFICATION CONCERNING NONDISCRIMINATION**

[The successful proposer's Certification Concerning Nondiscrimination that was submitted with the successful proposer's proposal will be added by CRRA.]



# AFFIDAVIT CONCERNING CONSULTING FEES

Pursuant to Section 4a-81 of the Connecticut General Statutes, this Affidavit must be completed and properly executed under penalty of false statement by a chief official of the successful bidder/proposer/statement of qualifications submitter for an Agreement (the "Contractor"). Such chief official of the Contractor must be the person who is properly authorized to execute the Agreement on behalf of the Contractor. This Affidavit must be properly executed at the same time that the Contractor executes the Agreement. If the Contractor fails to execute this Affidavit, the Contractor shall be disqualified for the Agreement.

I, the undersigned, am over the age of eighteen and unders	stand and appreciate the obligation of an oath.
I am	(title) Of
	(firm name), an entity dul
formed and existing under the laws of	(name of state or commonwealth)
("Contractor").	

I certify that I am authorized to execute and deliver this affidavit on behalf of Contractor, as follows:

- 1. Contractor seeks to enter into the "Landscape, Mowing, and Snowplowing Work for Connecticut Resource Recovery Authority Landfills" (the "Agreement") with the Connecticut Resources Recovery Authority ("CRRA");
- 2. Except as disclosed in Table 1 below and except for a consulting agreement that is with a consultant who is registered under the provisions of Chapter 10 of the Connecticut General Statutes<sup>1</sup> as of the date this Affidavit is submitted, Contractor has not entered into any consulting agreement<sup>2</sup> in connection with the Agreement whereby any duties of the consultant pursuant to said consulting agreement<sup>2</sup> require that consultant pursue communications concerning business of CRRA, whether or not direct contact with CRRA, a CRRA official, a CRRA employee, a state agency, a state or public official, or a state employee was expected or made;
- 3. Contractor shall amend this Affidavit whenever Contractor enters into any new consulting agreement<sup>2</sup> during the term of the Agreement; and
- 4. The statements set forth herein are true, to the best of my knowledge and belief, subject to the penalties of false statement.
- Pursuant to Section 1-94 of Chapter 10 the Connecticut General Statutes, a lobbyist as defined in the Chapter is required to register with the Office of State Ethics.
- Pursuant to Section 41-81 of the Connecticut General Statutes, for the purposes of this Affidavit, "consulting agreement" means "any written or oral agreement to retain the services, for a fee, of a consultant for the purposes of (A) providing counsel to a contractor, vendor, consultant or other entity seeking to conduct, or conducting, business with the state, (B) contacting, whether in writing or orally, any executive, judicial, or administrative office of the state, including any department, institution, bureau, board, commission, authority, official or employee for the purpose of solicitation, dispute resolution, introduction, requests for information, or (C) any other similar activity related to such contract. Consulting agreement does not include any agreements entered into with a consultant who is registered under the provisions of chapter 10 as of the date such affidavit is submitted in accordance with the provisions of this section.

### **TABLE 1: Disclosure of Consulting Agreements**

(If Contractor has not entered into any consulting agreements<sup>2</sup> in connection with the Agreement, Contractor should enter "None" in the space provided for the "Name of Consultant.")

Name of Consultant:				
Name of Consultant's Firm:				
Description of the Basic Terms of the Consulting Agreement:				
Brief Description of the Services Provided:				
Is the Consultant a Former Sta	Is the Consultant a Former State Employee or Public Official?    Yes   No			
	above concerning whether or no "Yes," the following information			
Name of Former Agency:				
Date Employment Terminated:				
By (Signature):				
Name (Print):				
Title:				
Sworn to before me this	day of		20	
Notary Public/Commissioner o	Notary Public/Commissioner of the Superior Court Commission Expiration Date			



# CONTRACTOR'S CERTIFICATION CONCERNING GIFTS

# LANDSCAPE, MOWING, AND SNOWPLOWING WORK FOR CONNECTICUT RESOURCE RECOVERY AUTHORITY LANDFILLS

(This CERTIFICATION is to be signed by an authorized officer of the Contractor or the Contractor's managing general partner.)

Section 4-252 of the *Connecticut General Statutes* requires that a Contractor (i.e., the successful bidder/proposer/statement of qualifications submitter for an Agreement) complete and properly execute this Certification Concerning Gifts at the same time that the Contractor executes the Agreement. If the Contractor fails to make the required certifications, the Contractor shall be disqualified for the Agreement.

I,	, a duly authorized officer and/or repre-	sentative
of_		(firm name)
(the	"Contractor"), being duly sworn, hereby depose and say that:	•

- 1. I am over eighteen (18) years of age and believe in the obligations of an oath; and
- 2. The Contractor has submitted a bid for the "LANDSCAPE, MOWING, AND SNOWPLOWING WORK FOR CRRA LANDFILLS" AGREEMENT (the "Agreement") to the Connecticut Resources Recovery Authority ("CRRA"), has been selected by CRRA as the successful bidder/proposer/statement of qualifications submitter for the Agreement and is prepared to enter into the Agreement with CRRA; and
- 3. No gifts were made between February, 2012 and the date of execution of the Agreement, by
  - (a) The Contractor,
  - (b) Any principals and key personnel of the Contractor who participated substantially in preparing the Contractor's bid/proposal/statement of qualifications for or the negotiation of the Agreement, or
  - (c) Any agent of the Contractor or principals and key personnel who participated substantially in preparing the Contractor's bid/proposal/statement of qualifications for or the negotiation of the Agreement

to

- (1) Any public official or employee of CRRA who participated substantially in the preparation of the bid/proposal/qualifications solicitation for or the negotiation or award of the Agreement (such CRRA employees are listed in Table 2 below), or
- (2) Any public official or state employee of any state agency who has supervisory or appointing authority over CRRA (such public officials and state employees are listed in Table 3 below); and

- 4. No such principals and key personnel of the Contractor or agent of the Contractor or principals and key personnel knows of any action by Contractor to circumvent the prohibition on gifts by providing for any other principals and key personnel, official, employee or agent of the Contractor to provide a gift to any such public official or state employee; and
- 5. The Contractor made the bid/proposal/statement of qualifications for the Agreement without fraud or collusion with any person;
- 6. The information set forth herein is true, to the best of my knowledge and belief, subject to the penalties of false statement.

TABLE 2:	CRRA Substantial Participants in the Preparation of the Request for Bids/Proposal
	for the Agreement

David Bodendorf, Senior Environmental Engineer

	Roger Guzowski, Cor	ntract and Procurement Manager	
	Peter Egan, Director	of Operations and Environmental Affairs	
	Tom Kirk, President		
TABLE 3:	Public Officials and Appointing Authorit	State Employees of State Agencies Wh y over CRRA	o Have Supervisory or
	Governor Dannel P. N	<i>l</i> lalloy	
	Senator Donald E. Wi	illiams, Jr., President Pro Tempore of the	Senate
	Senator John McKinn	ey, Minority Leader of the Senate	
	Representative Christ	topher G. Donovan, Speaker of the House	of Representatives
	Representative Lawre	ence F. Cafero, Jr., Minority Leader of the	House of Representatives
Signa	ature:		
			<u> </u>
		haina full	various demons and agree that
he/she is the		, being fully	_
ne/sne is the			
		regoing statement concerning gifts, and, caid statement is true to his/her best knowled	
Sworn to befo	ore me this	day of	20
Notary Publ	lic/Commissioner of the	Superior Court	

For the purposes of this Certification Concerning Gifts, the following terms are defined as follows:

- "Gift" means anything of value, which is directly and personally received, unless consideration of equal or greater value is given in return. "Gift" shall <u>not</u> include:
  - (1) A political contribution otherwise reported as required by law or a donation or payment as described in subdivision (9) or (10) of subsection (b) of section 9-333b of the Connecticut General Statutes:
  - (2) Services provided by persons volunteering their time, if provided to aid or promote the success or defeat of any political party, any candidate or candidates for public office or the position of convention delegate or town committee member or any referendum question;
  - (3) A commercially reasonable loan made on terms not more favorable than loans made in the ordinary course of business:
  - (4) A gift received from (A) an individual's spouse, fiance or fiancee, (B) the parent, brother or sister of such spouse or such individual, or (C) the child of such individual or the spouse of such child;
  - (5) Goods or services (A) which are provided to the state (i) for use on state property, or (ii) to support an event or the participation by a public official or state employee at an event, and (B) which facilitate state action or functions. As used in this Affidavit Concerning Gifts, "state property" means (i) property owned by the state, or (ii) property leased to an agency in the Executive or Judicial Department of the state;
  - (6) A certificate, plaque or other ceremonial award costing less than one hundred dollars;
  - A rebate, discount or promotional item available to the general public;
  - (8) Printed or recorded informational material germane to state action or functions;
  - (9) Food or beverage or both, costing less than fifty dollars in the aggregate per recipient in a calendar year, and consumed on an occasion or occasions at which the person paying, directly or indirectly, for the food or beverage, or his representative, is in attendance;
  - Food or beverage or both, costing less than fifty dollars per person and consumed at a publicly noticed legislative reception to which all members of the General Assembly are invited and which is hosted not more than once in any calendar year by a lobbyist or business organization. For the purposes of such limit, (A) a reception hosted by a lobbyist who is an individual shall be deemed to have also been hosted by the business organization which he owns or is employed by, and (B) a reception hosted by a business organization shall be deemed to have also been hosted by all and employees of the business organization who are lobbyists. In making the calculation for the purposes of such fifty-dollar limit, the donor shall divide the amount spent on food and beverage by the number of persons whom the donor reasonably expects to attend the reception:
  - (11) Food or beverage or both, costing less than fifty dollars per person and consumed at a publicly noticed reception to which all members of the General Assembly from a region of the state are

- invited and which is hosted not more than once in any calendar year by a lobbyist or business organization. For the purposes of such limit, (A) a reception hosted by a lobbyist who is an individual shall be deemed to have also been hosted by the business organization which he owns or is employed by, and (B) a reception hosted by a business organization shall be deemed to have also been hosted by all owners and employees of the business organization who are lobbyists. In making the calculation for the purposes of such fifty-dollar limit, the donor shall divide the amount spent on food and beverage by the number of persons whom the donor reasonably expects to attend the reception. As used in this subdivision, "region of the state" means the established geographic service area of the organization hosting the reception;
- (12) Gifts costing less than one hundred dollars in the aggregate or food or beverage provided at a hospitality suite at a meeting or conference of an interstate legislative association, by a person who is not a registrant or is not doing business with the state of Connecticut:
- (13) Admission to a charitable or civic event, including food and beverage provided at such event, but excluding lodging or travel expenses, at which a public official or state employee participates in his official capacity, provided such admission is provided by the primary sponsoring entity;
- (14) Anything of value provided by an employer of (A) a public official, (B) a state employee, or (C) a spouse of a public official or state employee, to such official, employee or spouse, provided such benefits are customarily and ordinarily provided to others in similar circumstances; or
- (15) Anything having a value of not more than ten dollars, provided the aggregate value of all things provided by a donor to a recipient under this subdivision in any calendar year shall not exceed fifty dollars.
- "Participated substantially" means participation that is direct, extensive and substantive, and not peripheral, clerical or ministerial.
- "Principals and key personnel" means officers, directors, shareholders, members, partners and managerial employees.



# PRESIDENT'S CERTIFICATION CONCERNING GIFTS

# LANDSCAPE, MOWING, AND SNOWPLOWING WORK FOR CONNECTICUT RESOURCE RECOVERY AUTHORITY LANDFILLS

## Awarded To [NAME OF CONTRACTOR/CONSULTANT]

(This CERTIFICATION is to be signed by the President of CRRA at the time the Agreement is executed by him/her.)

By submission of this Certification, the President of the Connecticut Resources Recovery Authority ("CRRA") hereby certifies that the selection of the most qualified or highest ranked person, firm or corporation for the "LANDSCAPE, MOWING, AND SNOWPLOWING WORK FOR CRRA LANDFILLS" AGREEMENT was not the result of collusion, the giving of a gift or the promise of a gift, compensation, fraud or inappropriate influence from any person.

Signature:	:	
Name:	: Thomas D. Kirk	
Title:	: President	
State Of:	Connecticut	
County Of:	: Hartford	
Resources F of gifts or th	D. Kirk, being fully sworn, deposes and says that he is the President of Recovery Authority, that he has read the forgoing statement concerning of the promise of gifts, compensation, fraud or inappropriate influence and, untifies that each and every part of said statement is true.	ollusion, the giving
Sworn to be	pefore me thisday of	20
Notary Publi	blic/Commissioner of the Superior Court	